1	KAMALA D. HARRIS					
2	Attorney General of California E. A. JONES III	FILED STATE OF CALIFORNIA				
3	Supervising Deputy Attorney General State Bar No. 71375	MEDICAL BOARD OF CALIFORNIA SACRAMENTO November 3, 20 10				
4	California Department of Justice 300 So. Spring Street, Suite 1702	BY Deat ANALYST				
5	Los Angeles, CA 90013 Telephone: (213) 897-2543					
6	Facsimile: (213) 897-9395 Attorneys for Complainant					
7	BEFORE THE					
8	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA					
9	STATE OF C	CALIFORNIA				
10	In the Matter of the Accusation and Petition to	Case No. 800-2016-027060				
11	Revoke Probation Against:					
12	MICHAEL ROLAND PUDE VIVIAN, M.D. 260 Maple Court, #205	ACCUSATION AND				
13	Ventura, CA 93003	PETITION TO REVOKE PROBATION				
14	Physician's and Surgeon's Certificate No. G					
15	78890, Respondent.					
16	Kespondent.					
17						
18	Complainant alleges:	·				
19		RTIES				
20	1. Kimberly Kirchmeyer (Complainant) brings this Accusation and Petition to Revoke					
21	Probation solely in her official capacity as the Executive Director of the Medical Board of					
22	California, Department of Consumer Affairs.					
23	2. On or about May 18, 1994, the Medical Board of California issued Physician's and					
24	Surgeon's Certificate Number G 78890 to MICHAEL ROLAND PUDE VIVIAN, M.D.					
25	(Respondent). The Physician's and Surgeon's Certificate was in effect at all times relevant to the					
26	charges brought herein and will expire on February 28, 2018, unless renewed.					
27	3. In a disciplinary action entitled "In the Matter of Accusation Against Michael Roland					
28	Pude Vivian, M.D.," Case No. 800-2014-005638, the Medical Board of California, issued a					
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decision, effective March 4, 2016, in which Respondent's Physician's and Surgeon's Certificate was revoked. However, the revocation was stayed and Respondent's Physician's and Surgeon's Certificate was placed on probation for a period of five (5) years with certain terms and conditions. A copy of that decision is attached as Exhibit A and is incorporated by reference.

4. On or about October 27, 2016, the Board issued a cease practice order to Respondent prohibiting him from practicing medicine until a final decision of the Board on an accusation and/or a petition to revoke probation.

## **JURISDICTION**

- 5. This Accusation and Petition to Revoke Probation is brought before the Medical Board of California (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 6. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 7. Section 2004 of the Code states:
  - "The board shall have the responsibility for the following:
- "(a) The enforcement of the disciplinary and criminal provisions of the Medical Practice Act.
  - "(b) The administration and hearing of disciplinary actions.
- "(c) Carrying out disciplinary actions appropriate to findings made by a panel or an administrative law judge.
- "(d) Suspending, revoking, or otherwise limiting certificates after the conclusion of disciplinary actions.
- "(e) Reviewing the quality of medical practice carried out by physician and surgeon certificate holders under the jurisdiction of the board.
  - "(f) Approving undergraduate and graduate medical education programs.

"(g) Approving clinical clerkship and special programs and hospitals for the programs in subdivision (f).

- "(h) Issuing licenses and certificates under the board's jurisdiction.
- "(i) Administering the board's continuing medical education program."
- 8. Section 2234 of the Code states in relevant part:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

"(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.

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- 9. Section 2239 of the Code states in part as follows:
- "(a) The use or prescribing for or administering to himself or herself, of any controlled substance; or the use of any of the dangerous drugs specified in Section 4022, or of alcoholic beverages, to the extent, or in such a manner as to be dangerous or injurious to the licensee, or to any other person or to the public, or to the extent that such use impairs the ability of the licensee to practice medicine safely or more than one misdemeanor or any felony involving the use, consumption, or self-administration of any of the substances referred to in this section, or any combination thereof, constitutes unprofessional conduct. The record of the conviction is conclusive evidence of such unprofessional conduct.

A..."

- 10. Section 315.2 of the Code states:
- "(a) A board, as described in Section 315, shall order a licensee of the board to cease practice if the licensee tests positive for any substance that is prohibited under the terms of the licensee's probation or diversion program.
- "(b) An order to cease practice under this section shall not be governed by the provisions of Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code.

#### FIRST CAUSE TO REVOKE PROBATION

(Biological Fluid Testing)

- 11. At all times after the effective date of Respondent's probation, Condition 4 states that Respondent shall make daily contact with the Board or its designee to determine whether biological fluid testing is required. It further states Respondent shall be tested on the date of notification as directed by the Board or its designee.
- 12. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 4, referenced above. The facts and circumstances regarding this violation are as follows:
  - A. On or about September 25, 2016, Respondent was selected to provide a biological fluid sample at FirstLab. Respondent checked into the FirstLab program after 5:02 p.m. which was beyond the program's cut off time for testing for that day, thereby violating Condition 4 of his Probation Order. Respondent failed to provide the required biological fluid sample on September 25, 2016, thereby violating Condition 4 of his Probation Order.
  - B. On or about October 3, 2016, Respondent was selected to provide a biological fluid sample at FirstLab. Respondent failed to check into the FirstLab program that day to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order. Respondent failed to provide the required biological fluid sample on October 3, 2016, thereby violating Condition 4 of his Probation Order.
  - C. On or about October 4, 2016, Respondent failed to check into the FirstLab to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order.
  - D. On or about October 5, 2016, Respondent failed to check into the FirstLab to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order.
  - E. On or about October 13, 2016, Respondent was selected to provide a biological fluid sample at FirstLab. Respondent failed to check into the FirstLab program that day to

determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order. Respondent failed to provide the required biological fluid sample on October 13, 2016, thereby violating Condition 4 of his Probation Order.

- F. On or about October 14, 2016, Respondent failed to check into the FirstLab to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order.
- G. On or about October 15, 2016, Respondent failed to check into the FirstLab to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order.
- H. On or about October 16, 2016, Respondent failed to check into the FirstLab to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order.
- I. On or about October 17, 2016, Respondent failed to check into the FirstLab to determine if he was required to be tested that day, thereby violating Condition 4 of his Probation Order.

## SECOND CAUSE TO REVOKE PROBATION

(Controlled Substances - Abstain from Use)

- 13. At all times after the effective date of Respondent's probation, Condition 2 stated that Respondent shall abstain completely from the personal use or possession of controlled substances as defined in the California Uniform Controlled Substances Act.
- 14. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 2, referenced above. The facts and circumstances regarding this violation are as follows:
  - A. From on or about June 17, 2016, through June 19, 2016, Respondent used amphetamine tablets, thereby violating Condition 2 of his Probation Order.

Amphetamine is a Schedule II controlled substance as defined in California Uniform Controlled Substance Act, Health and Safety Code section 11055, subdivision (d)(1), and a dangerous drug pursuant to Business and Professions Code section 4022.

#### FIRST CAUSE FOR DISCIPLINE

(Use of a Controlled Substance)

- 15. Respondent is subject to disciplinary action based on Code section 2239, subdivision (a), in that he used or administered to himself a controlled substance. The circumstances are as follows.
  - A. From on or about June 17, 2016, through June 19, 2016, Respondent used controlled substances, to wit, amphetamine tablets.

## **DISCIPLINE CONSIDERATIONS**

16. To determine the degree of discipline, if any, to be imposed on Respondent, Complainant alleges that on or about February 5, 2016, in a prior disciplinary action entitled "In the Matter of the Accusation Against Michael Roland Pude Vivian, M.D." before the Medical Board of California, in Case No. 800-2014-005638, Respondent's license was revoked, the revocation was stayed, and Respondent was placed on probation for five years for use of controlled substances. That decision is now final and is incorporated by reference as if fully set forth.

#### **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking the probation that was granted by the Medical Board of California in Case No. 800-2014-005638 and imposing the disciplinary order that was stayed thereby revoking Physician's and Surgeon's Certificate No. G 78890 issued to MICHAEL ROLAND PUDE VIVIAN, M.D.;
  - 2. Revoking or suspending his Physician's and Surgeon's Certificate No. G 78890;
- 3. Revoking, suspending or denying approval of his authority to supervise physician assistants, pursuant to section 3527 of the Code;
- 4. If placed on probation, ordering him to pay the Medical Board of California, the costs of probation monitoring; and

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1	5. Taking such other and further action as deemed necessary and proper.
2	
3	//-////
4	DATED: November 3, 2016  Movember 3, 2016  MOVEMBER STORY
5	KIMBERLY KIRCHMEYER  Executive Director
6	Medical Board of California Department of Consumer Affairs State of California
7	State of California  Complainant
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## Exhibit A

**Decision and Order** 

Medical Board of California Case No. 800-2014-005638

# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	)	
Michael Roland Pude Vivian, M.D.	) )	Case No. 8002014005638
Physician's and Surgeon's	)	
Certificate No. G 78890	)	
Respondent	) ) _)	

## **DECISION AND ORDER**

The attached Stipulation is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on March 4, 2016.

IT IS SO ORDERED: February 5, 2016.

MEDICAL BOARD OF CALIFORNIA

Howard Krauss, M.D., Chair

Panel B

1	Kamala D. Harris					
2	Attorney General of California E. A. JONES III					
3	Supervising Deputy Attorney General VLADIMIR SHALKEVICH					
	Deputy Attorney General State Bar No. 173955					
4	California Department of Justice					
5	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013					
6	Telephone: (213) 897-2148 Facsimile: (213) 897-9395					
7	Attorneys for Complainant					
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA					
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA					
10	STATE OF C					
11	In the Matter of the Accusation Against:	Case No. 800-2014-005638				
12	MICHAEL VIVIAN, M.D.	OAH No. 2015070755				
13	,	STIPULATED SETTLEMENT AND				
14	260 Maple Court, #205 Ventura, California 93003	DISCIPLINARY ORDER				
15	Physician's and Surgeon's Certificate G 78890,					
16	Respondent.					
17						
18	IT IS HEREBY STIPULATED AND A	GREED by and between the parties to the above-				
19	entitled proceedings that the following matters a	re true:				
20		<u>PARTIES</u>				
21						
22		Board of California. She brought this action solely in her official capacity and is represented in				
23	this matter by Kamala D. Harris, Attorney General of the State of California, by Vladimir					
24	Shalkevich, Deputy Attorney General.					
25	11	A to ("Pagenondent") is represented in this proceeding				
26	by attorney Courtney E. Pilchman, of 2030 Main Street, Suite 1300, Irvine, California 92614.					
27						
28						

3. On May 18, 1994, the Medical Board of California ("Board") issued Physician's and Surgeon's Certificate No. G 78890 to Michael Vivian, M.D. (Respondent). That license was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2014-005638 and will expire on February 29, 2016, unless renewed.

## **JURISDICTION**

- 4. Accusation No. 800-2014-005638 was filed before the Board and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on June 10, 2015. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2014-005638 is attached as Exhibit A and is incorporated herein by reference.

## **ADVISEMENT AND WAIVERS**

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2014-005638. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

## **CULPABILITY**

 Respondent admits the truth of each and every charge and allegation in Accusation No. 800-2014-005638.

10. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

#### **CONTINGENCY**

- Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

## DISCIPLINARY ORDER

IT IS HEREBY ORDERED THAT Physician's and Surgeon's Certificate No. G 78890 issued to Respondent Michael Vivian, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

## PSYCHIATRIC EVALUATION:

Within 30 calendar days of the effective date of this Decision, and on whatever periodic basis thereafter may be required by the Board or its designee, respondent shall undergo and complete a psychiatric evaluation (and psychological testing, if deemed necessary) by a Board-

appointed board certified psychiatrist, who shall consider any information provided by the Board or designee and any other information the psychiatrist deems relevant, and shall furnish a written evaluation report to the Board or its designee.

If the psychiatrist determines during the evaluation process that respondent is a threat to himself or others, the evaluator shall notify the Board within twenty-four (24) hours of such a determination.

The psychiatrist shall consider the following factors: respondent's license type; respondent's history; respondent's documented length of sobriety (i.e., length of time that has elapsed since respondent's last substance use); respondent's scope and pattern of substance abuse; respondent's treatment history, medical history and current medical condition; the nature, duration and severity of respondent's substance abuse problem or problems; and whether respondent is a threat to himself or the public.

Psychiatric Evaluations conducted prior to the effective date of this Decision shall not be accepted towards the fulfillment of this requirement. Respondent shall pay the cost of all psychiatric evaluations and psychological testing.

Respondent shall comply with all restrictions or conditions recommended by the evaluating psychiatrist within 15 calendar days after being notified by the Board or its designee.

2. <u>CONTROLLED SUBSTANCES - ABSTAIN FROM USE</u>. Respondent shall abstain completely from the personal use or possession of controlled substances as defined in the California Uniform Controlled Substances Act, dangerous drugs as defined by Business and Professions Code section 4022, and any drugs requiring a prescription. This prohibition does not apply to medications lawfully prescribed to Respondent by another practitioner for a bona fide illness or condition.

Within 15 calendar days of receiving any lawfully prescribed medications, Respondent shall notify the Board or its designee of the: issuing practitioner's name, address, and telephone number; medication name, strength, and quantity; and issuing pharmacy name, address, and telephone number.

If Respondent has a confirmed positive biological fluid test for any substance (whether or

not legally prescribed) and has not reported the use to the Board or its designee, Respondent shall receive a notification from the Board or its designee to immediately cease the practice of medicine. The Respondent shall not resume the practice of medicine until final decision on an accusation and/or a petition to revoke probation. An accusation and/or petition to revoke probation shall be filed by the Board within 15 days of the notification to cease practice. If the Respondent requests a hearing on the accusation and/or petition to revoke probation, the Board shall provide the Respondent with a hearing within 30 days of the request, unless the Respondent stipulates to a later hearing. A decision shall be received from the Administrative Law Judge or the Board within 15 days unless good cause can be shown for the delay. The cessation of practice shall not apply to the reduction of the probationary time period.

If the Board does not file an accusation or petition to revoke probation within 15 days of the issuance of the notification to cease practice or does not provide Respondent with a hearing within 30 days of such a request, the notification of cease practice shall be dissolved.

3. <u>ALCOHOL - ABSTAIN FROM USE</u>. Respondent shall abstain completely from the use of products or beverages containing alcohol.

If Respondent has a confirmed positive biological fluid test for alcohol, Respondent shall receive a notification from the Board or its designee to immediately cease the practice of medicine. The Respondent shall not resume the practice of medicine until final decision on an accusation and/or a petition to revoke probation. An accusation and/or petition to revoke probation shall be filed by the Board within 15 days of the notification to cease practice. If the Respondent requests a hearing on the accusation and/or petition to revoke probation, the Board shall provide the Respondent with a hearing within 30 days of the request, unless the Respondent stipulates to a later hearing. A decision shall be received from the Administrative Law Judge or the Board within 15 days unless good cause can be shown for the delay. The cessation of practice shall not apply to the reduction of the probationary time period.

If the Board does not file an accusation or petition to revoke probation within 15 days of the issuance of the notification to cease practice or does not provide Respondent with a hearing within 30 days of such a request, the notification of cease practice shall be dissolved.

## 4. BIOLOGICAL FLUID TESTING

Respondent shall immediately submit to biological fluid testing, at respondent's expense, upon request of the Board or its designee. "Biological fluid testing" may include, but is not limited to, urine, blood, breathalyzer, hair follicle testing, or similar drug screening approved by the Board or its designee. Respondent shall make daily contact with the Board or its designee to determine whether biological fluid testing is required. Respondent shall be tested on the date of the notification as directed by the Board or its designee. The Board may order a respondent to undergo a biological fluid test on any day, at any time, including weekends and holidays. Except when testing on a specific date as ordered by the Board or its designee, the scheduling of biological fluid testing shall be done on a random basis. The cost of biological fluid testing shall be borne by the respondent.

During the first year of probation, respondent shall be subject to 52 to 104 random tests. During the second year of probation and for the duration of the probationary term, up to five (5) years, respondent shall be subject to 36 to 104 random tests per year. Only if there has been no positive biological fluid tests in the previous five (5) consecutive years of probation, may testing be reduced to one (1) time per month. Nothing precludes the Board from increasing the number of random tests to the first-year level of frequency for any reason.

Prior to practicing medicine, respondent shall contract with a laboratory or service, approved in advance by the Board or its designee that will conduct random, unannounced, observed, biological fluid testing and meets all the following standards:

- (a) Its specimen collectors are either certified by the Drug and Alcohol Testing Industry Association or have completed the training required to serve as a collector for the United States Department of Transportation.
- (b) Its specimen collectors conform to the current United States Department of Transportation Specimen Collection Guidelines
- (c) Its testing locations comply with the Urine Specimen Collection Guidelines published by the United States Department of Transportation without regard to the type of test administered.

- (d) Its specimen collectors observe the collection of testing specimens.
- (e) Its laboratories are certified and accredited by the United States Department of Health and Human Services.
- (f) Its testing locations shall submit a specimen to a laboratory within one (1) business day of receipt and all specimens collected shall be handled pursuant to chain of custody procedures. The laboratory shall process and analyze the specimens and provide legally defensible test results to the Board within seven (7) business days of receipt of the specimen. The Board will be notified of non-negative results within one (1) business day and will be notified of negative test results within seven (7) business days.
- (g) Its testing locations possess all the materials, equipment, and technical expertise necessary in order to test respondent on any day of the week.
- (h) Its testing locations are able to scientifically test for urine, blood, and hair specimens for the detection of alcohol and illegal and controlled substances.
- (i) It maintains testing sites located throughout California.
- (j) It maintains an automated 24-hour toll-free telephone system and/or a secure on-line computer database that allows the respondent to check in daily for testing.
- (k) It maintains a secure, HIPPA-compliant website or computer system that allows staff access to drug test results and compliance reporting information that is available 24 hours a day.
- (1) It employs or contracts with toxicologists that are licensed physicians and have knowledge of substance abuse disorders and the appropriate medical training to interpret and evaluate laboratory biological fluid test results, medical histories, and any other information relevant to biomedical information.
- (m) It will not consider a toxicology screen to be negative if a positive result is obtained while practicing, even if the respondent holds a valid prescription for the substance.

Prior to changing testing locations for any reason, including during vacation or other travel, alternative testing locations must be approved by the Board and meet the requirements above.

The contract shall require that the laboratory directly notify the Board or its designee of

non-negative results within one (1) business day and negative test results within seven (7) business days of the results becoming available. Respondent shall maintain this laboratory or service contract during the period of probation.

A certified copy of any laboratory test result may be received in evidence in any proceedings between the Board and respondent.

If a biological fluid test result indicates respondent has used, consumed, ingested, or administered to himself a prohibited substance, the Board shall order respondent to cease practice and instruct respondent to leave any place of work where respondent is practicing medicine or providing medical services. The Board shall immediately notify all of respondent's employers, supervisors and work monitors, if any, that respondent may not practice medicine or provide medical services while the cease-practice order is in effect.

A biological fluid test will not be considered negative if a positive result is obtained while practicing, even if the practitioner holds a valid prescription for the substance. If no prohibited substance use exists, the Board shall lift the cease-practice order within one (1) business day.

After the issuance of a cease-practice order, the Board shall determine whether the positive biological fluid test is in fact evidence of prohibited substance use by consulting with the specimen collector and the laboratory, communicating with the licensee, his or her treating physician(s), other health care provider, or group facilitator, as applicable.

For purposes of this condition, the terms "biological fluid testing" and "testing" mean the acquisition and chemical analysis of a respondent's urine, blood, breath, or hair.

For purposes of this condition, the term "prohibited substance" means an illegal drug, a lawful drug not prescribed or ordered by an appropriately licensed health care provider for use by respondent and approved by the Board, alcohol, or any other substance the respondent has been instructed by the Board not to use, consume, ingest, or administer to himself.

If the Board confirms that a positive biological fluid test is evidence of use of a prohibited substance, respondent has committed a major violation, as defined in 16 CCR § 1361.52(a), and the Board shall impose any or all of the consequences set forth in 16 CCR § 1361.52(b), in addition to any other terms or conditions the Board determines are necessary for public protection

or to enhance respondent's rehabilitation.

## 5. SUBSTANCE ABUSE SUPPORT GROUP MEETINGS

Within thirty (30) days of the effective date of this Decision, respondent shall submit to the Board or its designee, for its prior approval, the name of a substance abuse support group which he shall attend for the duration of probation. Respondent shall attend substance abuse support group meetings at least once per week, or as ordered by the Board or its designee. Respondent shall pay all substance abuse support group meeting costs.

The facilitator of the substance abuse support group meeting shall have a minimum of three (3) years experience in the treatment and rehabilitation of substance abuse, and shall be licensed or certified by the state or nationally certified organizations. The facilitator shall not have a current or former financial, personal, or business relationship with respondent within the last five (5) years. Respondent's previous participation in a substance abuse group support meeting led by the same facilitator does not constitute a prohibited current or former financial, personal, or business relationship.

The facilitator shall provide a signed document to the Board or its designee showing respondent's name, the group name, the date and location of the meeting, respondent's attendance, and respondent's level of participation and progress. The facilitator shall report any unexcused absence by respondent from any substance abuse support group meeting to the Board, or its designee, within twenty-four (24) hours of the unexcused absence.

## PROFESSIONALISM PROGRAM (ETHICS COURSE).

Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's

expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

## 7. WORKSITE MONITOR FOR SUBSTANCE-ABUSING LICENSEE

Within thirty (30) calendar days of the effective date of this Decision, respondent shall submit to the Board or its designee for prior approval as a worksite monitor, the name and qualifications of one or more licensed physician and surgeon, other licensed health care professional if no physician and surgeon is available, or, as approved by the Board or its designee, a person in a position of authority who is capable of monitoring the respondent at work.

The worksite monitor shall not have a current or former financial, personal, or familial relationship with respondent, or any other relationship that could reasonably be expected to compromise the ability of the monitor to render impartial and unbiased reports to the Board or its designee. If it is impractical for anyone but respondent's employer to serve as the worksite monitor, this requirement may be waived by the Board or its designee, however, under no circumstances shall respondent's worksite monitor be an employee or supervisee of the licensee.

The worksite monitor shall have an active unrestricted license with no disciplinary action within the last five (5) years, and shall sign an affirmation that he or she has reviewed the terms and conditions of respondent's disciplinary order and agrees to monitor respondent as set forth by the Board or its designee.

Respondent shall pay all worksite monitoring costs.

The worksite monitor shall have face-to-face contact with respondent in the work

environment on as frequent a basis as determined by the Board or its designee, but not less than once per week; interview other staff in the office regarding respondent's behavior, if requested by the Board or its designee; and review respondent's work attendance.

The worksite monitor shall verbally report any suspected substance abuse to the Board and respondent's employer or supervisor within one (1) business day of occurrence. If the suspected substance abuse does not occur during the Board's normal business hours, the verbal report shall be made to the Board or its designee within one (1) hour of the next business day. A written report that includes the date, time, and location of the suspected abuse; respondent's actions; and any other information deemed important by the worksite monitor shall be submitted to the Board or its designee within 48 hours of the occurrence.

The worksite monitor shall complete and submit a written report monthly or as directed by the Board or its designee which shall include the following: (1) respondent's name and Physician's and Surgeon's Certificate number; (2) the worksite monitor's name and signature; (3) the worksite monitor's license number, if applicable; (4) the location or location(s) of the worksite; (5) the dates respondent had face-to-face contact with the worksite monitor; (6) the names of worksite staff interviewed, if applicable; (7) a report of respondent's work attendance; (8) any change in respondent's behavior and/or personal habits; and (9) any indicators that can lead to suspected substance abuse by respondent. Respondent shall complete any required consent forms and execute agreements with the approved worksite monitor and the Board, or its designee, authorizing the Board, or its designee, and worksite monitor to exchange information.

If the worksite monitor resigns or is no longer available, respondent shall, within five (5) calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within fifteen (15) calendar days. If respondent fails to obtain approval of a replacement monitor within sixty (60) calendar days of the resignation or unavailability of the monitor, respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring

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## VIOLATION OF PROBATION CONDITION FOR SUBSTANCE ABUSING

Failure to fully comply with any term or condition of probation is a violation of probation.

- If respondent commits a major violation of probation as defined by section 1361.52, subdivision (a), of Title 16 of the California Code of Regulations, the Board shall take one or more of the following actions:
- (1) Issue an immediate cease-practice order and order respondent to undergo a clinical diagnostic evaluation to be conducted in accordance with section 1361.5, subdivision (c)(1), of Title 16 of the California Code of Regulations, at respondent's expense. The cease-practice order issued by the Board or its designee shall state that respondent must test negative for at least a month of continuous biological fluid testing before being allowed to resume practice. For purposes of the determining the length of time a respondent must test negative while undergoing continuous biological fluid testing following issuance of a cease-practice order, a month is defined as thirty calendar (30) days. Respondent may not resume the practice of medicine until notified in writing by the Board or its designee that he or she may do so.
  - (2) Increase the frequency of biological fluid testing.
- (3) Refer respondent for further disciplinary action, such as suspension, revocation, or other action as determined by the Board or its designee.
- If respondent commits a minor violation of probation as defined by section В. 1361.52, subdivision (c), of Title 16 of the California Code of Regulations, the Board shall take one or more of the following actions:
  - (1) Issue a cease-practice order;
  - (2) Order practice limitations;
  - (3) Order or increase supervision of respondent;
  - (4) Order increased documentation;
  - (5) Issue a citation and fine, or a warning letter;
  - (6) Order respondent to undergo a clinical diagnostic evaluation to be conducted in

accordance with section 1361.5, subdivision (c)(1), of Title 16 of the California Code of Regulations, at respondent's expense;

- (7) Take any other action as determined by the Board or its designee.
- C. Nothing in this Decision shall be considered a limitation on the Board's authority to revoke respondent's probation if he or she has violated any term or condition of probation. If respondent violates probation in any respect, the Board, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

## 9. NOTICE OF EMPLOYER OR SUPERVISOR INFORMATION

Within seven (7) days of the effective date of this Decision, respondent shall provide to the Board the names, physical addresses, mailing addresses, and telephone numbers of any and all employers and supervisors. Respondent shall also provide specific, written consent for the Board, respondent's worksite monitor, and respondent's employers and supervisors to communicate regarding respondent's work status, performance, and monitoring.

For purposes of this section, "supervisors" shall include the Chief of Staff and Health or Well Being Committee Chair, or equivalent, if applicable, when the respondent has medical staff privileges.

Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 11. <u>SUPERVISION OF PHYSICIAN ASSISTANTS</u>. During probation, Respondent is prohibited from supervising physician assistants.
- 12. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 13. <u>QUARTERLY DECLARATIONS</u>. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

## 14. GENERAL PROBATION REQUIREMENTS.

## Compliance with Probation Unit

Respondent shall comply with the Board's probation unit and all terms and conditions of this Decision.

#### Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

### Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

#### License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

## Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 15. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 16. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine in California as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete a clinical training program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms

and conditions of probation: Obey All Laws; and General Probation Requirements.

- 17. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 18. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, Respondent may request to surrender his or her license. The Board reserves the right to evaluate Respondent's request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.
- 20. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

1 ;	ACCEPTANCE			
2 !	I have curefully read the above Stipulated Settlement and Disciplinary Order and have fully			
3	discussed it with my attorney. Courtney F. Pilchman. I understand the stipulation and the effect in			
+	will have on my Physician's and Surgeon's Certificate. Lenter into this Stipulated Settlement and			
5	Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the			
6	Decision and Order of the Medical Board of California.			
8	DATED: 12/17/2015			
1)	MICHAEL VIVIAN, M.D. Respondent			
10	I have read and fully discussed with Respondent MICHAEL VIVIAN M.D. the terms and			
11	conditions and other matters contained in the above Supulated Scitlement and Disciplinary Order.			
1.	Lapprove its form and content.			
15	DATED:			
•	COURTNEY F. PILCHMAN Attorney for Respondent			
] (2 ) 	UN <u>DORSEMEN</u> T			
	The foregoing Scipulated Settlement and Disciplinary Order is hereby respectfully			
18	submitted for consideration by the Medical Board of California			
G       	7. 7. 44 105			
Û	Dared: 21 Heuntolth 2015 Respectfully submitted.			
	KAMALA D. HARRIS Attorney General of California E. A. Joses III			
13	Supervising Deputy Attorney General  A			
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	VUSDINIR SHALKEVICH Deputy Attornes General Attorneys for Complainani			
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## Exhibit A

Accusation No. 800-2014-005638

#### FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA KAMALA D. HARRIS SACRAMENTO June 10, 2015 Attorney General of California 2 E. A. JONES III JUZICHNE ANALYST Supervising Deputy Attorney General VLADIMIR SHALKEVICH 3 Deputy Attorney General State Bar No. 173955 4 California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 5 Telephone: (213) 897-2148 6 Facsimile: (213) 897-9395 7 Attorneys for Complainant BEFORE THE 8 MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS 9 STATE OF CALIFORNIA 10 Case No. 800-2014-005638 In the Matter of the Accusation Against: 11 ACCUSATION MICHAEL VIVIAN, M.D. 12 260 Maple Court, #205 13 Ventura, CA 93303 Physician's and Surgeon's Certificate 14 No. G 78890, 15 Respondent. 16 17 Complainant alleges: 18 **PARTIES** 19 Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official 20 capacity as the Executive Director of the Medical Board of California, Department of Consumer 21 Affairs (Board). 22 On or about May 18, 1994, the Medical Board issued Physician's and Surgeon's 2. 23 Certificate Number G 78890 to Michael Vivian, M.D. (Respondent). The Physician's and 24 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought herein 25 and will expire on February 29, 2016, unless renewed. 26 111 27 28 (MICHAEL VIVIAN, M.D.) ACCUSATION NO. 800-2014-005638

### **JURISDICTION**

- This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
  - 4. Section 2227 of the Code states:
- "(a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter;
  - "(1) Have his or her license revoked upon order of the board.
- "(2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
- "(3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
- "(4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- "(5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- "(b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1."
  - 5. Section 2239 of the Code states:
- "(a) The use or prescribing for or administering to himself or herself, of any controlled substance; or the use of any of the dangerous drugs specified in Section 4022, or of alcoholic beverages, to the extent, or in such a manner as to be dangerous or injurious to the licensee, or to any other person or to the public, or to the extent that such use impairs the ability of the licensee to practice medicine safely or more than one misdemeanor or any felony involving the use,

consumption, or self-administration of any of the substances referred to in this section, or any combination thereof, constitutes unprofessional conduct. The record of the conviction is conclusive evidence of such unprofessional conduct.

"(b) A plea or verdict of guilty or a conviction following a plea of nolo contendere is deemed to be a conviction within the meaning of this section. The Division of Medical Quality<sup>1</sup> may order discipline of the licensee in accordance with Section 2227 or the Division of Licensing may order the denial of the license when the time for appeal has elapsed or the judgment of conviction has been affirmed on appeal or when an order granting probation is made suspending imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code allowing such person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, complaint, information, or indictment."

## CAUSE FOR DISCIPLINE

## (Use of Controlled Substances)

- 6. Respondent Michael Vivian, M.D. is subject to disciplinary action under section 2239, subdivision (a), in that Respondent used or administered to himself controlled substances or used dangerous drugs or alcoholic beverages, to the extent, or in such a manner as to be dangerous or injurious to himself, or to another person or to the public, or to the extent that such use impaired Respondent's ability to practice medicine safely. The circumstances are as follows:
- 7. Respondent is a board-certified psychiatrist. Between approximately July, 2013 and March 2014, Respondent had several unanticipated and unexplained absences from his office, and the appointments with some of his patients had to be cancelled. On or about May 21, 2014, a Nurse-Practitioner and a receptionist employed in the medical office where Respondent worked, discovered Respondent passed out in his office. They had difficulty waking him up.

Business and Professions Code section 2002, as amended and effective January 1, 2008, provides that, unless otherwise expressly provided, the term "board" as used in the State Medical Practice Act (Bus. & Prof. Code § 2000, et seq.) means the Medical Board of California, and references to the Division of Medical Quality and Division of Licensing in the Act or any other provision of law shall be deemed to refer to the Board.

- 8. Several days later, the Nurse-Practitioner spoke with Respondent and Respondent's spouse, and discovered that Respondent had an ongoing substance abuse problem. She urged him to seek treatment. Respondent, thereafter, had unexpected absences from his practice. When it became apparent to the Nurse-Practitioner that Respondent had not sought treatment by June 2, 2014, she made a complaint to the Medical Board of California.
- 9. The investigator assigned to the case scheduled a mutually agreed upon interview with Respondent and his attorney to take place on November 20, 2014. On November 18, 2014, Respondent's attorney cancelled the interview, and provided no explanation as to why the interview was cancelled.
- December 29, 2014. During the course of the interview, Respondent refused to answer any questions related to his use of drugs or alcohol, or treatment of any drug or alcohol related illness, or his current state of health. Respondent did, however, offer to provide a urine sample, and agreed to submit to a psychiatric evaluation by a Board-appointed evaluator. Respondent's urine sample, collected on December 29, 2014, was negative for any drug of abuse.
- 11. On or about March 20, 2015, Respondent submitted to a comprehensive psychiatric examination by a Board-appointed evaluator, a board-certified psychiatrist. Prior to the examination Respondent stated that he understood that because all information provided to the evaluator will be put into a report for the Medical board of California, no doctor/patient confidentiality existed.
- Respondent's drug abuse treatment and monitoring. These partial records showed that Respondent was admitted as an in-patient at College Hospital on June 19, 2014, and was discharged on July 8, 2014, and that his diagnosis was polysubstance dependence and depressive disorder NOS. Respondent also brought partial records from Hired Power, where he was enrolled in a monitoring program. He provided to the board-appointed evaluator a copy of a "compliance report" from Hired Power that showed a series of negative random drug tests, as well as several "no show" and missed drug tests in late October 2014, which were marked "excused."

- 13. Respondent related to the Board-appointed evaluator that he had some recreational marijuana use in high school and college. When he was in medical school and residency, however, this was very rare. He might have used some marijuana after finals time, but primarily he was focused on his studies. Around 2004 or 2005 he began using amphetamine<sup>2</sup> and cocaine. Respondent refused to tell the board-appointed evaluator how his addiction started and how he obtained the drugs. Respondent related that he was able to use the amphetamine and cocaine recreationally and then recognized that this was not working. He started going to a 12-step group in 2006 but still had intermittent use, with periods of sobriety lasting for three to six months. In 2007, he entered Impact House, a residential chemical dependency treatment, where he stayed for 90 days. This was for amphetamine abuse. He successfully completed this program and continued to attend AA-based 12-step programs, maintaining sobriety for five years.
- 14. Respondent told the Board-appointed evaluator that in 2012 he had multiple psychosocial stressors surrounding his father's death, following which Respondent began to use amphetamine. At first, his use was intermittent. He would snort the amphetamine in lines and would use several lines each time, generally using the amphetamine in his office after hours. On or about May 21, 2014, Respondent used a great deal of amphetamine he recalled that it would be 10 lines. At around three o'clock in the morning, he recognized that he needed to come down, and in order to come down, he used his office samples of Saphris. This was the first time that he had used Saphris. Normally, Respondent used either alcohol or Scroquel<sup>4</sup> to come down from the amphetamine. Respondent commented to the Board-appointed evaluator that Saphris had a "bigger punch" than he intended. Respondent confirmed that in the morning, when other employees in his office found him passed out, he was groggy and incoherent.

<sup>&</sup>lt;sup>2</sup> Amphetamine is a stimulant and a schedule II controlled substance pursuant to Health and Safety Code section 11055, subdivision (d) (1). It is also a dangerous drug under the provisions of Business and Professions Code section 4022.

<sup>&</sup>lt;sup>3</sup> Saphris is a trade name for asenapine, an antipsychotic medication. It is a dangerous drug under Business and Professions Code section 4022. Somnolence is a known side effect of this medication.

<sup>&</sup>lt;sup>4</sup> Scroquel is a trade name for quetiapine, an antipsychotic medication. It is a dangerous drug pursuant to Business and Professions code section 4022.