# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation and First Amended Accusation Against:

Sharmistha Barai, M.D.

Case No. 800-2016-028702

Physician's and Surgeon's Certificate No. A 135050

Respondent.

#### **DECISION**

The attached Stipulated Surrender of License and Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on June 30, 2021.

IT IS SO ORDERED June 23, 2021.

**MEDICAL BOARD OF CALIFORNIA** 

Evocutive Direct

Executive Director

1	ROB BONTA				
2	Attorney General of California STEVEN D. MUNI				
3	Supervising Deputy Attorney General VERONICA VO Deputy Attorney General State Bar No. 230698				
4					
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9	BEFOR				
10	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS				
11	STATE OF C.	ALIFORNIA			
12	In the Matter of the Accusation and First	Case No. 800-2016-028702			
13	Amended Accusation Against:	OAH No. 2020010614			
	SHARMISTHA BARAI, M.D. Register Number: 76033-097	STIPULATED SURRENDER OF			
14	FMC Farswell P.O. Box 27137	LICENSE AND ORDER			
15	Fort Worth, Texas 76127-0137				
16 17	Physician's and Surgeon's Certificate No. A 135050	·			
18	Respondent.	-			
19					
20					
21	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-			
22	entitled proceedings that the following matters are true:				
23	PAR	<u>ries</u>			
24	1. Christine J. Lally was the Interim Executive Director of the Medical Board of				
25	California (Board) at the time the initial Accusation was filed on November 5, 2019. She				
26	brought this action solely in her official capacity. The Medical Board of California is represented				
27					
28	<sup>1</sup> William Prasifka (Complainant) is curre Board of California.	ntly the Executive Director of the Medical			

in this matter by Rob Bonta, Attorney General of the State of California, by Veronica Vo, Deputy Attorney General.

- 2. Sharmistha Barai, M.D. (Respondent) is representing herself in this proceeding and has chosen not to exercise her right to be represented by counsel.
- 3. On or about March 12, 2015, the Board issued Physician's and Surgeon's Certificate No. A 135050 to Sharmistha Barai, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in the Accusation and First Amended Accusation No. 800-2016-028702. The Physician's and Surgeon's Certificate expired on April 30, 2020.

#### **JURISDICTION**

4. The Accusation and the First Amended Accusation No. 800-2016-028702 was filed before the Board, and is currently pending against Respondent. The Accusation and First Amended Accusation and all other statutorily required documents were properly served on Respondent on November 5, 2019 and February 12, 2021. Respondent timely filed her Notice of Defense contesting the Accusation. A copy of the Accusation and First Amended Accusation No. 800-2016-028702 is attached as Exhibit A and incorporated by reference.

### ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, and understands the charges and allegations in the Accusation and First Amended Accusation No. 800-2016-028702. Respondent also has carefully read, and understands the effects of this Stipulated Surrender of License and Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation and First Amended Accusation; the right to be represented by counsel, at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

### **CULPABILITY**

- 8. Respondent understands and agrees that the charges and allegations in the Accusation and First Amended Accusation No. 800-2016-028702, if proven at a hearing, constitute cause for imposing discipline upon her Physician's and Surgeon's Certificate.
- 9. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a *prima facie* case with respect to the charges and allegations contained in the Accusation and First Amended Accusation No. 800-2016-028702 and that Respondent hereby gives up her right to contest those charges.
- 10. Respondent understands that by signing this stipulation she enables the Executive Director, on behalf of the Board, to issue an order accepting the surrender of her Physician's and Surgeon's Certificate without further notice to or opportunity to be heard by Respondent.

#### **CONTINGENCY**

- 11. Business and Professions Code section 2224, subdivision (b) provides, in pertinent part, that the Medical Board "shall delegate to its executive director the authority to adopt a stipulation for surrender of a license."
- 12. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

#### **ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 135050, issued to Respondent Sharmistha Barai, M.D., is surrendered and accepted by the Board.

- 1. The surrender of Respondent's Physician's and Surgeon's Certificate and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent. This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Board.
- 2. Respondent shall lose all rights and privileges as a Physician and Surgeon in California as of the effective date of the Board's Decision and Order.
- 3. Respondent shall cause to be delivered to the Board her pocket license and, if one was issued, her wall certificate on or before the effective date of the Decision and Order.
- 4. If Respondent ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked or surrendered license in effect at the time the petition is filed, and all of the charges and allegations contained in Accusation and First Amended Accusation No. 800-2016-028702 shall be deemed to be true, correct and admitted by Respondent when the Board determines whether to grant or deny the petition.
- 5. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing agency in the State of California, all of the charges and allegations contained in Accusation and First Amended Accusation, No. 800-2016-028702 shall be deemed to be true, correct, and admitted by

1	Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny			
2	restrict licensure.			
3	ACCEPTANCE			
4	I have carefully read the Stipulated Surrender of License and Order. I understand the			
5	stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into			
6	this Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and			
7	agree to be bound by the Decision and Order of the Medical Board of California.			
8	DATED: 05 20 21 SHARMISTHA BARAI, M.D.			
10	Respondent			
11	ENDORSEMENT			
12	The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted for consideration by the Medical Board of California of the Department of Consumer Affairs.			
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14				
15	DATED: May 20, 2021 Respectfully submitted,			
16	ROB BONTA Attorney General of California STEVEN D. MUNI			
17	Supervising Deputy Attorney General			
18	Lamica Vo			
20	VERONICA VO			
21	Deputy Attorney General  Attorneys for Complainant			
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# Exhibit A

Accusation and First Amended Accusation No. 800-2016-028702

FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA XAVIER BECERRA Attorney General of California SACRAMENTO NOV 5 2 ALEXANDRA M. ALVAREZ BY D. Richards ANALYST Supervising Deputy Attorney General 3 VERONICA VO Deputy Attorney General 4 State Bar No. 230698 1300 I Street, Suite 125 5 P.O. Box 944255 Sacramento, CA 94244-2550 6 Telephone: (916) 210-7508 Facsimile: (916) 327-2247 7 8 Attorneys for Complainant 9 BEFORE THE 10 MEDICAL BOARD OF CALIFORNIA **DEPARTMENT OF CONSUMER AFFAIRS** 11 STATE OF CALIFORNIA 12 13 In the Matter of the Automatic Suspension Case No. 800-2016-028702 Order Against: 14 **ACCUSATION** Sharmistha Barai, M.D. 15 3805 Shoreview Dr. Kissimmee, FL 34744-0003 16 Physician's and Surgeon's Certificate 17 No. A 135050. 18 Respondent. 19 20 Complainant alleges: 21 **PARTIES** Christine J. Lally (Complainant) brings this Accusation solely in her official capacity 22 as the Deputy Director of the Medical Board of California, Department of Consumer Affairs 23 24 (Board). 25 2. On or about March 12, 2015, the Medical Board issued Physician's and Surgeon's Certificate No. A 135050 to Sharmistha Barai, M.D. (Respondent). The Physician's and 26 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought 27 28 herein and will expire on April 30, 2020, unless renewed.

(SHARMISTHA BARAI, M.D.) ACCUSATION NO. 800-2016-028702

### **JURISDICTION**

	3.	This Accusation is brought before the Board, under the authority of the following
laws.	All	section references are to the Business and Professions Code (Code) unless otherwise
indica	ated.	

4. Section 2227 of the Code states:

- (a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
  - (1) Have his or her license revoked upon order of the board.
- (2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
- (3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
- (4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- (5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- (b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1.
- 5. Section 2234 of the Code, states:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - (b) Gross negligence.



<sup>&</sup>lt;sup>1</sup> Unprofessional conduct under California Business and Professions Code section 2234 is conduct which breaches the rules of ethical codes of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine. (Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.)

- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

#### (d) Incompetence.

- (e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
- (f) Any action or conduct which would have warranted the denial of a certificate.
- (g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- (h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board.

#### 6. Section 2236 of the Code states:

- (a) The conviction of any offense substantially related to the qualifications, functions, or duties of a physician and surgeon constitutes unprofessional conduct within the meaning of this chapter [Chapter 5, the Medical Practice Act]. The record of conviction shall be conclusive evidence only of the fact that the conviction occurred.
- (b) The district attorney, city attorney, or other prosecuting agency shall notify the Medical Board of the pendency of an action against a licensee charging a felony or misdemeanor immediately upon obtaining information that the defendant is a licensee. The notice shall identify the licensee and describe the crimes charged and the facts alleged. The prosecuting agency shall also notify the clerk of the court in which the action is pending that the defendant is a licensee, and the clerk shall record prominently in the file that the defendant holds a license as a physician and surgeon.
- (c) The clerk of the court in which a licensee is convicted of a crime shall, within 48 hours after the conviction, transmit a certified copy of the record of conviction to the board. The division may inquire into the circumstances surrounding the commission of a crime in order to fix the degree of discipline or to determine if the conviction is of an offense substantially related to the qualifications, functions, or

duties of a physician and surgeon.

(d) A plea or verdict of guilty or a conviction after a plea of nolo contendere is deemed to be a conviction within the meaning of this section and Section 2236.1. The record of conviction shall be conclusive evidence of the fact that the conviction occurred.

#### FIRST CAUSE FOR DISCIPLINE

(Conviction of a Crime)

- 7. Respondent has subjected her Physician's and Surgeon's Certificate No. A 135050 to disciplinary action under Business and Professions Code (Code) sections 2227 and 2234, as defined by section 2236, of the Code, in that Respondent was convicted of a crime substantially related to the qualifications, functions, or duties of a physician and surgeon. The circumstances are as follows:
- 8. Between on or about February 21, 2014, and continuing through on or about October 3, 2016, Respondent did knowingly conspire and agree to provide and obtain the labor and services of persons by means of threat or force.
- 9. Respondent used Internet websites and other advertising media to seek out foreign domestic laborers. Through those advertisements, Respondent made materially false representations and omissions regarding the amount of compensation and the conditions of employment including, but not limited to, the hours of employment, the duties of employment, and the conditions under which these duties would be performed.
  - 10. In total, three foreign workers responded to the advertisements.
- 11. Once workers arrived at the Respondent's residence, the Respondent imposed a work schedule consisting of approximately 18 hours a day with limited rest and nourishment. Workers were required to help with childcare, cooking and household chores.
- 12. Respondent obtained labor and services from the workers without the payment of wages and with the use of threat or force such that it caused the workers to believe that if they did not perform as ordered, they would suffer serious harm and physical restraint. Instances of such physical harm and threats included Respondent physically striking a worker and preventing another worker from leaving the home by threatening to call the police.

## **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate No. A 135050, issued to Sharmistha Barai, M.D.;
- 2. Revoking, suspending or denying approval of Sharmistha Barai, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Sharmistha Barai, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and
  - 4. Taking such other and further action as deemed necessary and proper.

DATED: November 5, 2019

CHRISTINE J. LALL Deputy Director

Medical Board of California
Department of Consumer Affairs

State of California Complainant

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8	Attorneys for Complainant	
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10	BEFORE THE MEDICAL BOARD OF CALIFORNIA	
11	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA	
12		
. 13	In the Matter of the First Amended Accusation Against:	
14	FIRST AMENDED ACCUSATION Sharmistha Barai, M.D.	
15	3805 Shoreview Dr. Kissimmee, FL 34744-0003	
16	Physician's and Surgeon's Certificate	
17	No. A 135050,	
18	Respondent.	
19		
20	Complainant alleges:	
21	<u>PARTIES</u>	
22	1. William Prasifka (Complainant) brings this First Amended Accusation solely in his	
23	official capacity as the Executive Director of the Medical Board of California, Department of	
24	Consumer Affairs (Board).	
25	2. On or about March 12, 2015, the Medical Board issued Physician's and Surgeon's	
26	Certificate No. A 135050 to Sharmistha Barai, M.D. (Respondent). The Physician's and	
27	Surgeon's Certificate was in full force and effect at all times relevant to the charges brought	
28	herein and expired on April 30, 2020.	
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(SHARMISTHA BARAI, M.D.) FIRST AMENDED ACCUSATION NO. 800-2016-028702

#### **JURISDICTION**

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  - (1) Have his or her license revoked upon order of the board.
- (2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
- (3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
- (4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- (5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- (b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1.

#### 5. Section 2234 of the Code, states:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - (b) Gross negligence.

<sup>&</sup>lt;sup>1</sup> Unprofessional conduct under California Business and Professions Code section 2234 is conduct which breaches the rules of ethical codes of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine. (Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.)

- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
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  - (d) Incompetence.
- (e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
- (f) Any action or conduct which would have warranted the denial of a certificate.
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- (c) The clerk of the court in which a licensee is convicted of a crime shall, within 48 hours after the conviction, transmit a certified copy of the record of conviction to the board. The division may inquire into the circumstances surrounding the commission of a crime in order to fix the degree of discipline or to determine if the conviction is of an offense substantially related to the qualifications, functions, or

duties of a physician and surgeon.

(d) A plea or verdict of guilty or a conviction after a plea of nolo contendere is deemed to be a conviction within the meaning of this section and Section 2236.1. The record of conviction shall be conclusive evidence of the fact that the conviction occurred.

#### FIRST CAUSE FOR DISCIPLINE

#### (Conviction of a Crime)

- 7. Respondent has subjected her Physician's and Surgeon's Certificate No. A 135050 to disciplinary action under Business and Professions Code (Code) sections 2227 and 2234, as defined by section 2236, of the Code, in that Respondent was convicted of a crime substantially related to the qualifications, functions, or duties of a physician and surgeon. The circumstances are as follows:
- 8. Between on or about February 21, 2014, and continuing through on or about October 3, 2016, Respondent did knowingly conspire and agree to provide and obtain the labor and services of persons by means of threat or force.
- 9. Respondent used Internet websites and other advertising media to seek out foreign domestic laborers. Through those advertisements, Respondent made materially false representations and omissions regarding the amount of compensation and the conditions of employment including, but not limited to, the hours of employment, the duties of employment, and the conditions under which these duties would be performed.
  - 10. In total, three foreign workers responded to the advertisements.
- 11. Once workers arrived at the Respondent's residence, the Respondent imposed a work schedule consisting of approximately 18 hours a day with limited rest and nourishment. Workers were required to help with childcare, cooking and household chores.
- 12. Respondent obtained labor and services from the workers without the payment of wages and with the use of threat or force such that it caused the workers to believe that if they did not perform as ordered, they would suffer serious harm and physical restraint. Instances of such physical harm and threats included Respondent physically striking a worker and preventing another worker from leaving the home by threatening to call the police.

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