FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA 1 XAVIER BECERRA SACRAMENTO JAH. 7 20 20 Attorney General of California BY A. CERSONIA ANALYST 2 STEVEN D. MUNI Supervising Deputy Attorney General 3 JANNSEN TAN Deputy Attorney General 4 State Bar No. 237826 1300 I Street, Suite 125 5 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 210-7549 6 Facsimile: (916) 327-2247 7 Attorneys for Complainant 8 BEFORE THE 9 MEDICAL BOARD OF CALIFORNIA **DEPARTMENT OF CONSUMER AFFAIRS** 10 STATE OF CALIFORNIA 11 12 In the Matter of the Accusation Against: Case No. 800-2017-029203 13 William H. Bresnick, M.D. ACCUSATION 14 505 Montgomery St., Ste. 1123 **San Francisco**, **CA 94111-6529** 15 Physician's and Surgeon's Certificate 16 No. G 78434, 17 Respondent. 18 19 20 **PARTIES** 21 Christine J. Lally (Complainant) brings this Accusation solely in her official capacity 22 as the Interim Executive Director of the Medical Board of California, Department of Consumer 23 Affairs (Board). 2. On or about March 2, 1994, the Board issued Physician's and Surgeon's Certificate 24 No. G. 78434 to William H. Bresnick, M.D. (Respondent). The Physician's and Surgeon's 25 26 Certificate was in full force and effect at all times relevant to the charges brought herein and will 27 expire on July 31, 2021, unless renewed. 28 /// 1

(WILLIAM H. BRESNICK, M.D.) ACCUSATION NO. 800-2017-029203

#### **JURISDICTION**

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
  - 4. Section 2227 of the Code states:
  - (a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
    - (1) Have his or her license revoked upon order of the board.
  - (2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
  - (3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
  - (4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
  - (5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
  - (b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1.

### **STATUTORY PROVISIONS**

5. Section 2234 of the Code, states:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - (b) Gross negligence.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.

- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - (d) Incompetence.
- (e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
- (f) Any action or conduct which would have warranted the denial of a certificate.
- (g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- (h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board.

#### 6. Section 2241 of the Code states:

- (a) A physician and surgeon may prescribe, dispense, or administer prescription drugs, including prescription controlled substances, to an addict under his or her treatment for a purpose other than maintenance on, or detoxification from, prescription drugs or controlled substances.
- (b) A physician and surgeon may prescribe, dispense, or administer prescription drugs or prescription controlled substances to an addict for purposes of maintenance on, or detoxification from, prescription drugs or controlled substances only as set forth in subdivision (c) or in Sections 11215, 11217, 11217.5, 11218, 11219, and 11220 of the Health and Safety Code. Nothing in this subdivision shall authorize a physician and surgeon to prescribe, dispense, or administer dangerous drugs or controlled substances to a person he or she knows or reasonably believes is using or will use the drugs or substances for a nonmedical purpose.
- (c) Notwithstanding subdivision (a), prescription drugs or controlled substances may also be administered or applied by a physician and surgeon, or by a registered nurse acting under his or her instruction and supervision, under the following circumstances:
- (1) Emergency treatment of a patient whose addiction is complicated by the presence of incurable disease, acute accident, illness, or injury, or the infirmities attendant upon age.

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10. <u>Alprazolam</u> – Generic name for the drug Xanax. Alprazolam is a short-acting benzodiazepine used to treat anxiety, and is a Schedule IV controlled substance pursuant to Code of Federal Regulations Title 21 section 1308.14. Alprazolam is a dangerous drug pursuant to California Business and Professions Code section 4022 and is a Schedule IV controlled substance pursuant to California Health and Safety Code section 11057(d).

- 11. <u>Clonazepam</u> Generic name for Klonopin. Clonazepam is an anti-anxiety medication in the benzodiazepine family used to prevent seizures, panic disorder, and akathisia. Clonazepam is a Schedule IV controlled substance pursuant to Code of Federal Regulations Title 21 section 1308.14(c). It is a Schedule IV controlled substance pursuant to Health and Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section 4022.
- 12. <u>Lorazepam</u> Generic name for Ativan. Lorazepam is a member of the benzodiazepine family and is a fast-acting anti-anxiety medication used for the short-term management of severe anxiety. Lorazepam is a Schedule IV controlled substance pursuant to Code of Federal Regulations Title 21 section 1308.14(c) and Health and Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section 4022.
- 13. Zolpidem Tartrate Generic name for Ambien. Zolpidem Tartrate is a sedative and hypnotic used for short term treatment of insomnia. Zolpidem Tartrate is a Schedule IV controlled substance pursuant to Code of Federal Regulations Title 21 section 1308.14(c). It is a Schedule IV controlled substance pursuant to Health and Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section 4022.

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#### FACTUAL ALLEGATIONS

### FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)

- 14. Respondent's license is subject to disciplinary action under section 2234, subdivision (b), and section 2241 of the Code, in that he committed gross negligence during the care and treatment of Patient A<sup>1</sup>. The circumstances are as follows:
- Respondent is a physician and surgeon, board certified in psychiatry who at all times relevant to the charges brought herein worked in a solo practice clinic under the business name of William H. Bresnick, M.D., San Francisco, California.
- 16. On or about October 16, 2015, Respondent first saw Patient A for a clinic visit. Patient A was at the time, a 39-year-old female with symptoms of anxiety and depression. Respondent's initial diagnoses included: "Major Depressive Disorder, recurrent, moderatesevere, Generalized Anxiety Disorder, Panic Disorder, Post-Traumatic Stress Disorder, sexual abuse history, "Alcohol Overuse/self-medication type? Severe Family Psychosocial Stressors." Respondent ordered routine lab tests for thyroid and liver function and started on a trial of Lexapro 5-10 mg and a trial of Klonopin (clonazepam) 0.5 mg with the goal of decreasing the symptoms of anxiety and panic and self-medication after work with alcohol. A Beck Depression Inventory yielded a score of 35.
- 17. On or about November 13, 2015, Respondent noted that Patient A had suffered from generalized anxiety and panic since childhood and that she had experienced sexual abuse and depression. She was beaten and molested as a child. Respondent also noted that Patient A had a high tolerance to psychiatric medications and alcohol Respondent prescribed Ativan (lorazepam) 0.5 mg, and Ambien (zolpidem tartrate) 0.5 mg.
- 18. On or about January 8, 2016, Respondent noted that Patient A requested a prescription for Xanax (alprazolam), which she has taken before. Respondent prescribed Xanax 0.5 mg. Respondent failed to perform and/or document a thorough and comprehensive assessment of her current and past issues with alcohol and drugs. Respondent failed to diagnose

Patient names and information have been removed to protect patient confidentiality.

and adequately investigate a history of alcohol abuse and to include this information in prescribing and treatment planning.

- 19. During the period of January 8, 2016 to August 23, 2016, Respondent continued to prescribe alprazolam, and zolpidem tartrate.
- 20. On or about February 8, 2016, Respondent noted that Patient A had four glasses of wine on February 5, 2016, where she fell and bumped her head.
- 21. On or about February 10, 2016, Respondent documented that Patient A's boyfriend reported concerns about increased tolerance for psychiatric medications and alcohol. Respondent continues to prescribe clonazepam and alprazolam along with Ambien despite the ongoing consumption of alcohol and despite having cautioned the patient not to consume alcohol for one month as a goal.
- 22. On or about July 25, 2016, Respondent documented that Patient A continues to suffer from major depressive disorder, recurrent severe, panic, generalized anxiety, severe. Respondent documented his findings as increasing depression, anxiety with panic, overwhelmed lability, crying, hopelessness, helplessness, guilt, insomnia, and nervousness. Patient A is placed on disability status with the comment "unable to work now."
- 23. On or about August 4, 2016, Respondent wrote Patient A's employer stating that Patient A continues to be highly symptomatic, impaired and disabled. She was not able to work for the next thirty days. Respondent advised intensified treatments, with rest and recuperation.
- 24. On or about August 18, 2016, Respondent documented that Patient A was "unable to work at all, and for next weeks at least." Respondent documented that Patient A was "unable to mentally focus, concentrate, low energy, fatigue, and overwhelmed easily." Respondent prescribed clonazepam 0.5 mg. to Patient A.
- 25. During the period of August 23, 2016 to January 15, 2017, Respondent prescribed alprazolam, clonazepam and zolpidem tartrate to Patient A.
- 26. On or about October 21, 2016, Respondent documented that Patient A needed to extend leave another thirty days.

- 27. On or about November 30, 2016, Respondent documented that Patient A does not have her car any longer. Respondent noted that Patient A crashed her car and hit a tree. Patient A was arrested and had a blood alcohol level of 0.22mg/dl. Patient A lost her driver's license.
- 28. On or about December 1, 2016, Respondent wrote Patient A stating that he was sad to hear about Patient A's increased binge drinking and November 2016 DUI arrest. Respondent noted that Patient A is drinking at home. Respondent warned against alcohol use and forwarded a copy of "Smart Recovery", a free non-religious, non-12 step self-help approach to recovery from substance and behavioral addictions to Patient A. Respondent continued to prescribe alprazolam, clonazepam, and zolpidem tartrate.
- 29. On or about June 28, 2017, Patient A left Respondent's practice due to confusion over her appointment time. Respondent documented that Patient A would sometimes show up at the wrong time for appointments and sometimes was a no-show.
- 30. Respondent committed gross negligence in his care and treatment of Patient A, which included, but was not limited to the following:
- A. Respondent prescribed controlled substances to a known addict and alcoholic. Respondent failed to perform and/or document a thorough and comprehensive assessment of her current and past issues with alcohol and drugs. Respondent failed to diagnose and adequately investigate a history of alcohol abuse and to include this information in prescribing and treatment planning. Respondent failed to ask Patient A about her substance-use history, including past patterns of alcohol, illicit drug and prescription drug use. Respondent failed to initiate basic screening questions that should be integrated into all Patient A's histories and physical examinations. Respondent failed to assess the temporal onset of each disorder (alcohol and other drug abuse, medical disorders, psychiatric disorders) that should be considered during the evaluation. Respondent failed to perform and/or document baseline medical or psychiatric status that should be determined during periods of abstinence. Respondent failed to ask and/or document Patient A's family history and genetic risk factors. Finally, Respondent failed to ascertain Patient A's current state (intoxication or withdrawal) and stage (early or chronic) of addiction.

- B. Respondent failed to set limits and boundaries with Patient A and failed to recognize benzodiazepine-dependence. Respondent prescribed benzodiazepines to Patient A who had a history of instability and impulsivity. Respondent failed to gradually taper benzodiazepine. Respondent failed to diagnose and appropriately treat Patient A's underlying alcohol and chemical dependency issues. Respondent failed to perform and/or document a comprehensive or thorough work-up of her alcohol abuse issues.
- C. Respondent prescribed excessive amounts of benzodiazepines over an extended period of time. Respondent continued to prescribe long term benzodiazepines for two years despite ongoing clinical evidence of deterioration, including but not limited to Patient A's automobile accident, and alcohol use disorder. Respondent failed to obtain a second opinion or consultation in the treatment of a very difficult patient with complex psychological and substance abuse issues. Respondent failed to make a referral to a detox or rehabilitation facility. Respondent demonstrated a lack of knowledge about chemical dependency diagnosis and treatment. Respondent failed to take into consideration that Patient A's physical dependency on the benzodiazepines may actually be contributing to many of the mood and behavioral symptoms and suffering of Patient A. Respondent was prescribing benzodiazepines which is not recommended for patients with Post Traumatic Stress Disorder. Respondent failed to check the CURES report.

# SECOND CAUSE FOR DISCIPLINE (Repeated Negligent Acts)

31. Respondent's license is subject to disciplinary action under section 2234, subdivision (c), of the Code, in that he committed repeated negligent acts during the care and treatment of Patient A, as more particularly alleged hereinafter. Paragraphs 14 through 30, above, are hereby incorporated by reference and realleged as if fully set forth herein.

## THIRD CAUSE FOR DISCIPLINE (Excessive Prescribing)

32. Respondent's license is further subject to disciplinary action under sections 2227, 2234 and 725, in that he has excessively prescribed controlled substances and dangerous drugs to

1	Patient A, as more particularly alleged hereinafter. Paragraphs 14 through 30, above, are hereby
2	incorporated by reference and realleged as if fully set forth herein.
3	FOURTH CAUSE FOR DISCIPLINE (Failure to Maintain Adequate and Accurate Records)
4	(Fanure to Maintain Adequate and Accurate Records)
5	33. Respondent's license is subject to disciplinary action under section 2266, of the Code,
6	in that he failed to maintain adequate and accurate medical records relating to his care and
7	treatment of Patient A as more particularly alleged hereinafter. Paragraphs 14 through 30, above,
8	are hereby incorporated by reference and realleged as if fully set forth herein.
9	FIFTH CAUSE FOR DISCIPLINE (General Unprofessional Conduct)
10	(General Emprofessional Conduct)
11	34. Respondent's license is further subject to disciplinary action under sections 2227 and
12	2234, as defined by section 2234, of the Code, in that he has engaged in conduct which breaches
13	the rules or ethical code of the medical profession, or conduct which is unbecoming of a member
14	in good standing of the medical profession, and which demonstrates an unfitness to practice
15	medicine, as more particularly alleged in paragraphs 14 through 30, above, which are hereby
16	realleged and incorporated by reference as if fully set forth herein.
17	<u>PRAYER</u>
18	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
19	and that following the hearing, the Medical Board of California issue a decision:
20	1. Revoking or suspending Physician's and Surgeon's Certificate No. G 78434, issued to
21	William H. Bresnick, M.D.;
22	2. Revoking, suspending or denying approval of William H. Bresnick, M.D.'s authority
23	to supervise physician assistants and advanced practice nurses;
24	3. Ordering William H. Bresnick, M.D., if placed on probation, to pay the Board the
25	costs of probation monitoring; and
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1	4. Taking such other and further action as deemed necessary and proper.	
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3	DATED: JAN. 7, 2020 Mrylly Jally	
4	CHRISTINE J. LALLY J Interim Executive Director Medical Roard of Colifornia	
5	Interim Executive Director Medical Board of California Department of Consumer Affairs State of California	
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