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	BEFORE THE		
8	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
9	STATE OF CALIFORNIA		
10			
11	In the Matter of the Accusation Against:		
	ADAM DAVID TRAVIS, M.D.    Case No. 800-2017-033792		
12	1430 Buckingham Way Hillsborough, CA 94010-7397  A C C U S A T I O N		
13			
14	Physician's and Surgeon's Certificate No. G 80122,		
15			
	Respondent.		
16			
17	Complainant alleges:		
18	<u>PARTIES</u>		
19	1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official		
20	capacity as the Executive Director of the Medical Board of California.		
21	2. On or about October 26, 1994, the Medical Board issued Physician's and Surgeon's		
22	Certificate Number G 80122 to Adam David Travis, M.D. (Respondent). The Physician's and		
23	Surgeon's Certificate was in full force and effect at all times relevant to the charges brought hereir		
24	and will expire on May 31, 2020, unless renewed. On April 20, 2018, an interim order issued and		
25	that order requires Respondent to have a female third party chaperone present while consulting,		
26	examining or treating female patients.		
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#### **JURISDICTION**

- 3. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 5. Section 2234 of the Code states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
  - "(f) Any action or conduct which would have warranted the denial of a certificate.

"(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.

- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
  - 6. Section 822 of the Code provides:

"If a licensing agency determines that its licentiate's ability to practice his or her profession safely is impaired because the licentiate is mentally ill, or physically ill affecting competency, the licensing agency may take action by any one of the following methods:

- "(a) Revoking the licentiate's certificate or license.
- "(b) Suspending the licentiate's right to practice.
- "(c) Placing the licentiate on probation.
- "(d) Taking such other action in relation to the licentiate as the licensing agency in its discretion deems proper.

"The licensing agency shall not reinstate a revoked or suspended certificate or license until it has received competent evidence of the absence or control of the condition which caused its action and until it is satisfied that with due regard for the public health and safety the person's right to practice his or her profession may be safely reinstated."

#### ETHICAL PRINCIPLES

7. Beginning in 1973, with periodic revisions, the American Psychiatric Association has promulgated *The Principles of Medical Ethics with Annotations Especially Applicable to Psychiatry (Principles with Annotations)*. As explained in the Foreword to the 2013 Edition:

All physicians should practice in accordance with the medical code of ethics set forth in the *Principles of Medical Ethics* of the American Medical Association . . . However, these general guidelines have been difficult to interpret for psychiatry, so further annotations to the basic principles are offered in this document. While psychiatrists have the same goals as all physicians, there are special ethical problems in psychiatric practice that differ in coloring and degree from ethical problems in other branches of medical practice, even though the basic principles are the same.

1		8.	The Principles with Annotations, provide:	
2			Section 1	
3	,		A physician shall be dedicated to providing competent medical care with	
4		comp	passion and respect for human dignity and rights.	
5				
6		The p	1. A psychiatrist shall not gratify his or her own needs by exploiting the patient. psychiatrist shall be ever vigilant about the impact that his or her conduct has	
7	of the essen		the boundaries of the doctor-patient relationship, and thus upon the well-being e patient. These requirements become particularly important because of the stially private, highly personal, and sometimes intensely emotional nature of the onship established with the psychiatrist.	
8				
9				
10		9.	The Principles with Annotations further provide:	
11			Section 2	
12		nrofe	A physician shall uphold the standards of professionalism, be honest in all essional interactions and strive to report physicians deficient in character or	
13	competence, or engaging in fraud or deception to appropriate entities.			
14		his o	1. The requirement that the physician conduct himself/herself with propriety in r her profession and in all the actions of his or her life is especially important in	
15	the case of the psychiatrist because the patient tends to model his or her behavior after that of his or her psychiatrist by identification. Further, the necessary intensity of the			
16		treati	ment relationship may tend to activate sexual and other needs and fantasies on the of both the patient and psychiatrist, while weakening the objectivity necessary for	
		rol. Additionally, the inherent inequality in the doctor-patient relationship may		
19		10.	The Principles with Annotations further provide:	
20			Section 8	
21			A Physician shall, while caring for a patient, regard responsibility to the patient as	
22	paramount.			
23		need	2. When the psychiatrist's outside relationships conflict with the clinical s of the patient, the psychiatrist must always consider the impact of such	
24	relationships and strive to resolve conflicts in a manner that the psychiatrist believes is likely to be beneficial to the patient.			
25			3. When significant relationships exist that may conflict with patients' clinical	
26	needs, it is especially important to inform the patient or decision maker about these relationships and potential conflicts with clinical needs.			
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#### STATEMENT OF FACTS

- 11. On June 16, 2017, the Board received an 805 report from The Permanente Medical Group (TPMG) which reported that, effective June 8, 2017, Respondent had resigned from the medical group. The report stated that "following commencement of an investigation regarding a personal relationship Dr. Travis disclosed involving a patient, he voluntarily resigned his employment with The Permanente Medical Group." The Board also received documents, including email communications between Respondent and TPMG, which revealed that Respondent admitted to having developed very strong feelings for a married patient in his psychiatric practice and that he anticipated converting to her religion in order that they could marry once his own marriage was dissolved.
- 12. Respondent was interviewed by representatives from the medical staff and human resources department. He acknowledged that after several appointments he had developed romantic feelings for a female patient. At least one of the appointments followed a meeting in a park, where Respondent had talked with the patient about his personal life and feelings. Respondent allowed his romantic attachment to the patient affect his care. By his admission, she received more time and attention than his other patients. Respondent complied with her requests for zolpidem, a hypnotic sleep medication, in extremely high doses. When another physician denied the patient an early refill of the zolpidem, Respondent interceded on her behalf. Although Respondent stated many times that he had crossed boundaries, Respondent insisted that the APA guidelines, which provide that a clinician shall not engage in a personal relationship with a current or former patient, did not apply. He advised that he and the patient planned to take a six month "break," after which they would resume their social relationship. Respondent asserted that this plan was consistent with the AMA guidelines.
- 13. Respondent was also interviewed by an investigator and medical consultant for the Medical Board. Although Respondent initially described his relationship with his patient as a friendship, he acknowledged that his own emails stated that the relationship was a romantic one. Respondent reported that his appointments with the patient increased in frequency in April, 2017, so that he was seeing the patient weekly. He conceded that he was uncertain whether the

increased frequency served to address her needs or his own personal desires, but acknowledged that such frequent meetings exceeded what would be required to manage the patient's medications. In addition to office visits, he conceded that he was meeting the patient socially outside the office and their relationship included hugging, kissing, holding hands and exchanging gifts. Respondent also admitted that even after their psychiatrist-patient relationship ended, he maintained contact with the patient and, at the time of the October 2017 interview, they were in daily communication.

- 14. At his interview, Respondent again contended that, despite the fact that he was the patient's psychiatrist, he did not feel that the principles of ethics promulgated by the American Psychiatric Association (APA) barred his instigation and maintenance of a personal relationship with a patient. Respondent stated that he was not an APA member. Respondent also stated that the nature of the treatment was medication management rather than psychotherapy, hence the APA prohibition against relationships with current or former patients would not apply to their relations.
- 15. At the Board's request, Respondent agreed to undergo a psychiatric evaluation. The results of that evaluation were reported to the Board. Significantly, the evaluator reported that Respondent was deceptive and evasive in his explanation of his relationship with the patient, characterizing it as a friendship and glossing over evidence of a romantic dating relationship. To the extent that he did acknowledge his boundary and ethical violations, Respondent minimized them, stating that he was only providing medication management albeit he was seeing the patient weekly in response to her requests and his desire for more contact and that he was also meeting her socially outside office hours. Respondent showed no insight into the potential for psychological and emotional harm his boundary violations could cause the patient. Although he recognized that he was in a conflicted and unhappy marriage, he did not see that his dissatisfaction with his own marital relationship was driving his desire for intimacy with his patient and impacting their therapeutic relationship. The psychiatric evaluator diagnosed Respondent with a mental illness, which diagnosis included an adjustment disorder with mixed anxiety and depressed mood, as well as likely personality traits that render him more prone to

engage in boundary and ethical violations. Overall, the evaluator opined that Respondent has little insight into his boundary violations and that he is at risk for repeating these behaviors unless his practice should be restricted as regards to female patients and he also be required to undergo psychotherapy specifically addressing transference and countertransference issues.

#### FIRST CAUSE FOR DISCIPLINE

### (Unprofessional Conduct/Gross Negligence/Negligence)

- 16. Respondent's conduct in initiating and continuing a personal romantic relationship with a patient in his psychiatric practice constitutes unprofessional conduct and/or gross negligence and/or negligence and/or a violation of ethical standards and Respondent's certificate is subject to discipline under Business and Professions Code sections 2234 and/or 2234(b) and/or 2234(c).
- 17. Complainant incorporates the factual allegations in Paragraphs 11-15 above as though fully set out herein. Respondent's conduct violated the standard of care for a psychiatrist as well as the canons of professional ethics applicable to psychiatrists.

### SECOND CAUSE FOR DISCIPLINE

## (Mental Illness)

- 18. Respondent is subject to disciplinary action under Sections 2227 and 822 of the Code in that respondent is impaired in his ability to practice medicine safely, particularly as regards to female patients, as a result of his adjustment disorder with mixed anxiety and depressed mood.
- 19. Complainant incorporates the factual allegations in Paragraphs 11-15 above as though fully set out herein.

### THIRD CAUSE FOR DISCIPLINE

# (Unprofessional Conduct/Gross Negligence/Negligence/Incompetence)

- 20. Complainant incorporates the factual allegations in Paragraphs 11-15 above as though fully set out herein.
- 21. Respondent repeatedly demonstrated a lack of knowledge regarding the content and application of the ethical standards governing the practice of psychiatry generally and/or as it applied to his personal romantic relationship with a patient and this constitutes unprofessional