

POST MARKED

FLORIDA DEPARTMENT OF INSURANCE
FLORIDA MEDICAL PROFESSIONAL LIABILITY
CLOSED CLAIM REPORTING FORM

8802780

DEPT. FILE NO.

BUREAU OF RATES

INSURER'S CLAIM NUMBER: 509 JL 1393-09B301

1. PRIMARY INSURER NAME: St Paul Fire & Marine Ins. Co INSURER CODE: 01470
(See Table A)

2. EXCESS INSURER NAME: None INSURER CODE: ---
(See Table A)

3a. HEALTH CARE PROVIDER: Ross, David Bruce
(Last Name, First and Middle Name or Hospital Name from Table D)

3b. IF HEALTH CARE PROVIDER (above) IS A PHYSICIAN, DENTIST OR
PODIATRIST ENTER DEPARTMENT OF PROFESSIONAL REGULATION LICENSE NUMBER: 0041982

3c. INSURED'S NAME: David Bruce Ross MD

STREET ADDRESS: 1380 NE Miami Gardens Drive

CITY: No Miami Bch STATE: FL ZIP: 33179 COUNTY CODE: 01
(See Table B)

| | <u>POLICY NUMBER</u> | <u>PER CLAIM POLICY LIMITS</u> | <u>AGGREGATE POLICY LIMITS</u> |
|------------------|----------------------|--------------------------------|--------------------------------|
| PRIMARY INSURER: | <u>509 JL 1393</u> | <u>\$ 1,000,000.00</u> | <u>\$ 1,000,000.00</u> |
| EXCESS INSURER: | <u>None</u> | <u>\$ ---.00</u> | <u>\$ ---.00</u> |

5. IS THE INSURED PHYSICIAN A FOREIGN MEDICAL GRADUATE? (01) Yes (02) No (If yes, enter the country in which primary medical education was received: _____)

6. PROFESSION OR BUSINESS: (Check one)
 (01) Physicians & Surgeons (03) Podiatrists (05) Abortion Clinics
 (02) Hospitals (04) Dentist (06) Ambulatory Surgical Centers

7. SPECIALTY CODE: 80288 (Applies to physicians, surgeons, and dentists.
(See Table C) Use ISO Common Statistical Base Classification Codes.)

8. BOARD CERTIFICATION: (Check one)
 (01) In specialty coded in Item 7, above.
 (02) In a different specialty.
 (03) In the specialty in Item 7 and another. Enter the additional specialty code here: _____
 (04) Insured is not board certified. (See Table C)

9. PLACE WHERE INJURY OCCURRED: (Check one)
 (01) Hospital Inpatient Facility (04) Nursing Home (07) Other Outpatient Facility
 (02) Emergency Room (05) Physician's Office (08) Other Location
 (03) Hospital Outpatient Facility (06) Patient's Home (09) Other Hospital/Institution

10. IF PLACE OF INJURY (above) IS CHECKED AS ((08) OTHER), THEN PROVIDE A DESCRIPTION OF THE PLACE WHERE THE INJURY OCCURRED: N/A

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11. NAME OF INSTITUTION: Humana Hospital Biscayne INSTITUTION CODE: 100131
 (See Table D)

12. LOCATION OF INSTITUTIONAL INJURY: (Check one)

| | | |
|---|--|--|
| <input checked="" type="checkbox"/> (01) Patient's Room | <input type="checkbox"/> (05) Physical Therapy Dept. | <input type="checkbox"/> (09) Radiology |
| <input type="checkbox"/> (02) Operating Suite | <input type="checkbox"/> (06) Nursery | <input type="checkbox"/> (10) Emergency Room |
| <input type="checkbox"/> (03) Recovery Room | <input type="checkbox"/> (07) Critical Care Unit | <input type="checkbox"/> (11) Other _____ |
| <input type="checkbox"/> (04) Labor & Delivery Room | <input type="checkbox"/> (08) Special Procedure Room | |

13. DATE OF OCCURRENCE: 12/9/85
 DATE REPORTED TO INSURER: 12/15/86

14. INJURED PERSON'S AGE: 83 Years (If less than one year, enter 00; if unknown, enter UNK.)
 INJURED PERSON'S SEX: M (Circle one)

14.1 INJURED PERSON'S NAME: _____
 STREET ADDRESS: _____
 CITY: I

15. FINAL DIAGNOSIS FOR WHICH TREATMENT WAS SOUGHT OR RENDERED: Severe constipation/obstipation (LEAVE BLANK)
 15.

16. DESCRIBE MISDIAGNOSIS MADE, IF ANY, OF THE PATIENT'S ACTUAL CONDITION: None
 16.

17. DESCRIBE ACTION WHICH CAUSED CLAIM TO BE MADE: Insured treating neurologist, he played no role in decisions of her chronic bowel complaints
 17.

18. DESCRIBE THE OPERATION, DIAGNOSTIC OR TREATMENT PROCEDURE CAUSING THE INJURY. USE NOMENCLATURE AND/OR DESCRIPTIONS OF THE PROCEDURES USED. INCLUDE METHOD OF ANESTHESIA, OR NAME OF DRUG USED FOR TREATMENT, WITH DETAIL OF ADMINISTRATION: see #17
 18.

19. DESCRIBE THE PRINCIPAL INJURY GIVING RISE TO THE CLAIM. USE NOMENCLATURE AND/OR DESCRIPTIONS OF THE INJURY. INCLUDE TYPE OF ADVERSE EFFECT FROM DRUGS WHERE APPLICABLE: Death
 19.

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20. SEVERITY OF INJURY: (check only one -- rate most serious injury if several are involved.)

- (01) Emotional only - Fright, no physical damage.
- (02) Insignificant - Lacerations, contusions, minor scars, rash. No delay.
- Temp- (03) Minor - - - - - Infections, misset fracture, fall in hospital. Recovery delayed.
- orary (04) Major - - - - - Burns, surgical material left, drug side effect, brain damage. Recovery delayed
- (05) Minor - - - - - Loss of fingers, loss or damage to organs. Includes nondisabling injuries.
- Perma- (06) Significant - - Deafness, loss of limb, loss of eye, loss of one kidney or lung.
- nent (07) Major - - - - - Paraplegia, blindness, loss of two limbs, brain damage.
- (08) Grave - - - - - Quadraplegia, severe brain damage, lifelong care or fatal prognosis.
- (09) Death

21. DATE OF SUIT, IF ANY: / /

21.1 CIRCUIT COURT CASE NUMBER: _____

21.2 COUNTY CODE OF COUNTY SUIT FILED IN: (SEE TABLE B)

22. LIST OTHER DEFENDANTS INVOLVED IN THIS CLAIM, THE INSURER'S NUMBER AND THE COMPANION CLAIM FILE ID NUMBER:

| | <u>DEFENDANT'S NAME (Last Name, First Name)</u> | <u>INSURER CODE NO.</u> | <u>INSURER FILE ID.</u> |
|----|---|-------------------------|-------------------------|
| 1) | <u>none</u> | _____ | _____ |
| 2) | _____ | _____ | _____ |
| 3) | _____ | _____ | _____ |
| 4) | _____ | _____ | _____ |
| 5) | _____ | _____ | _____ |

23. WAS PLAINTIFF REPRESENTED BY AN ATTORNEY? (Check one)
 (01) Yes (02) No

24. DATE OF FINAL CLAIM DISPOSITION: 6, 7, 88

25. FINAL METHOD OF CLAIM DISPOSITION:
 (01) Settled by parties. abandoned
 (02) Disposed of by a court.
 (03) Disposed of by arbitration.

26. STAGE OF THE LEGAL SYSTEM AT WHICH SETTLEMENT WAS REACHED OR AWARD MADE: (Check one)

- (01) Within the presuit period as set forth in Section 768.57, Florida Statute (usually within 90 days).
- (02) After arbitration is initiated or prior to suit being filed.
- (03) Within 90 days of suit being filed.
- (04) More than 90 days after suit filed and prior to or during the course of mandatory settlement conference
- (05) During trial but before court verdict.
- (06) After court verdict and prior to filing of notice of appeal.
- (07) After notice of appeal is filed or post-judgement relief or action is required for recovery.
- (08) During appeal.
- (09) After appeal.
- (10) Claim or suit abandoned.

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27. COURT: (Check one)
- (01) No court proceedings.
 - (02) Directed verdict for plaintiff.
 - (03) Directed verdict for defendant.
 - (04) Judgment notwithstanding the verdict for plaintiff.
 - (05) Judgment notwithstanding the verdict for defendant.
 - (06) Judgment for the plaintiff.
 - (07) Judgment for the defendant.
 - (08) Judgment for the plaintiff after appeal.
 - (09) Judgment for the defendant after appeal.
 - (10) Other
 - (11) Summary judgment for the plaintiff.
 - (12) Summary judgment for the defendant.

28. ARBITRATION: (Check one)
- (01) Claim not subject to arbitration.
 - (02) Claim subject to arbitration, but settlement reached in lieu of award.
 - (03) Award for plaintiff.
 - (04) Award for defendant.

29. Was there an itemized verdict? (Check one)
- (01) Yes
 - (02) No (If yes, please attach copy of settlement or verdict.)

30. INDEMNITY PAID BY YOU ON BEHALF OF THIS DEFENDANT: ----- \$ 0.00

30.1 AMOUNT OF DEDUCTIBLE PAID BY THIS DEFENDANT: ----- \$ 0.00

31. INDEMNITY PAID BY EXCESS CARRIER ON BEHALF OF THIS DEFENDANT: ----- \$ 0.00

32. LOSS ADJUSTMENT EXPENSE PAID TO DEFENSE COUNSEL: ----- \$ 0.00

33. ALL OTHER LOSS ADJUSTMENT EXPENSE PAID: ----- \$ 200.00

34. NUMBER OF DAYS OF INJURED PERSON'S WAGE LOSS PAID TO DATE: ----- 0 days

35. ESTIMATED NUMBER OF FUTURE DAYS OF INJURED PERSON'S WAGE LOSS: ----- 0 days

36. INJURED PERSON'S GROSS WEEKLY INCOME: ----- \$ 0.00

| 37. INJURED PERSON'S TOTAL ECONOMIC LOSS: | <u>MEDICAL</u> | <u>WAGE LOSS</u> | <u>OTHER EXPENSES</u> |
|--|-----------------|------------------|-----------------------|
| A) INCURRED TO DATE - - - - | \$ <u>0</u> .00 | \$ <u>0</u> .00 | \$ <u>0</u> .00 |
| B) ESTIMATED FUTURE - - - - | \$ <u>0</u> .00 | \$ <u>0</u> .00 | \$ <u>0</u> .00 |

38. AMOUNT PAID FOR INJURED PERSON'S NON-ECONOMIC LOSS: ----- \$ 0.00

39. IF A STRUCTURED SETTLEMENT OR PERIODIC PAYMENTS USED IN THIS CLAIM:
- A) PRESENT VALUE OF PERIODIC PAYMENTS ----- \$ 0.00
 - B) COST TO THE INSURER OF THE PAYMENTS ----- \$ 0.00
 - C) TOTAL EXPECTED PAYMENT TO PLAINTIFF ----- \$ 0.00
 - D) DID YOU PURCHASE AN ANNUITY? (01) Yes (02) No

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40. BRIEFLY DESCRIBE THE STRUCTURED SETTLEMENT INCLUDING HOW IT IS FINANCED: N/A

41. TYPE OF NON-ECONOMIC DAMAGE LIMIT: (Check one)

- (01) No limit (neither party requests or agrees to voluntary binding arbitration).
- (02) No limit (defendant refuses claimant's offer of voluntary binding arbitration).
- (03) \$250,000 limit (both parties accept arbitration). (See Item 42 for exception.)
- (04) \$350,000 limit (plaintiff rejects arbitration).
- (05) Does not apply because occurrence happened before the 02-08-88 law.

42. IF (03) IS CHECKED IN ITEM 41 AND THE LIMIT ON NON-ECONOMIC DAMAGES IS DIFFERENT THAN \$250,000, THEN INDICATE THE MODIFIED LIMIT: ----- \$ N/A .00

43. COLLATERAL SOURCE INFORMATION:

ENTER TO THE NEAREST PERCENT (use no decimals) THE PERCENT RECOVERY FOR ECONOMIC LOSS FROM:

- | | |
|-------------------------------|--|
| A. <u>100</u> % Health | D. ___% Automobile |
| B. ___% Disability | E. ___% Medicare, Medicaid & Social Security |
| C. ___% Workers' Compensation | F. ___% Other sources, specify: _____ |

44. SAFETY MANAGEMENT STEPS TAKEN BY INSURED TO MAKE SIMILAR OCCURRENCES LESS LIKELY: Insured held
standard of care in treating patient

CONTACT PERSON: MARK WIKHER
TELEPHONE: (305) 485 2220

ADDRESS: PO Box 5807
Ft. Lauderdale FL 33309

FLORIDA DEPARTMENT OF INSURANCE
FLORIDA MEDICAL MALPRACTICE PROFESSIONAL
LIABILITY REPORTING FORM

GENERAL INSTRUCTIONS

Each self-insured hospital and ambulatory surgical center, each self-insurer authorized under s. 627.356 or s. 627.357 and each insurer or joint underwriting association providing professional liability insurance to a practitioner of medicine licensed pursuant to the provisions of chapter 458, to a practitioner of osteopathic medicine licensed pursuant to the provisions of chapter 459, to a podiatrist licensed pursuant to the provisions of chapter 461, to a dentist licensed pursuant to the provisions of chapter 466, to a hospital licensed pursuant to the provisions of chapter 395, to abortion clinics included in chapter 390 and to an ambulatory surgical center as defined in s. 395.002(2) shall report to the Department of Insurance any claim or action for damages for personal injuries claimed to have been caused by error, omission, or negligence in the performance of such insured's professional services or based on a claimed performance of professional services without consent, if the claim resulted in:

- a) A final judgement in any amount.
- b) A settlement in any amount.
- c) A final disposition not resulting in payment on behalf of the insured.

The legislature has recognized that the medical professional liability closed claim reporting form has two primary purposes. The original purpose is to collect statistical data on medical malpractice closed claims. The additional purpose, added in October 1, 1985, is to identify those physicians, podiatrist or dentist who may potentially be involved in conduct that is subject to disciplinary action. The latter function is being routed directly to the Department of Professional Regulation. Reports shall be filed with the Department of Insurance and, if the insured party is a practitioner of medicine, a practitioner of osteopathic medicine, a podiatrist, or a dentist, the claim report shall also be filed with the Department of Professional Regulation.

Reports shall be filed no later than sixty (60) days following the occurrence of any event listed in item a), b), or c) above. Submit a report for each individual practitioner or hospital insured by the filing insurer, including claims closed without payment. Complete all items on the form. If the information is unknown, enter "UNK", if not applicable, enter "NA". When an item calls for a dollar amount and no amount is involved, enter 0 in the space after the \$ sign. If a report is being prepared on a reopened case for which a previous report has been made, mark "previously reported" at the top of the first page of the report and next to it put the previously reported insurer claim number. Record all amounts in whole dollars, all dates as MMDDYY (example, 07/02/87), and all ages as the number of years old. Type or print clearly.