STATE OF MAINE BOARD OF REGISTRATION IN MEDICINE

In Re: Stuart M. Wyckoff, M.D.)
of Fort Fairfield, Maine)

CONSENT AGREEMENT REGARDING
MODIFIED, CONDITIONAL LICENSURE
AND BOARD ORDER ISSUING LICENSE

This document is a Consent Agreement and Order regarding the issuance to STUART M. WYCKOFF, M.D., of a Modified, Conditional License to practice medicine in the State of Maine. The parties to this Consent Agreement are Dr. Wyckoff (the "Licensee") and the State of Maine Board of Registration in Medicine (the "Board").

A copy of Dr. Wyckoff's Application for licensure is attached and incorporated herein as Exhibit A. This Consent Agreement resolves the complaint against Dr. Wyckoff filed by the Maine Advocacy Services by letter dated February 5, 1991. A copy of this complaint is attached as Exhibit B. This Consent Agreement is entered into pursuant to 32 M.R.S.A. § 3275 and § 3282-A.

I. BACKGROUND FACTS

In the summer of 1990, Dr. Wyckoff left the State of Nevada where he had been licensed to practice medicine since April 3, 1982, moved to Aroostook County, Maine and applied to the Board for a license to practice psychiatry in Maine. During the pendency of his application, Dr. Wyckoff was informed that he was under investigation by the Board of Medical Examiners of the State of Nevada ("Nevada Board") and that a complaint had been filed against him by the Nevada Board on October 20, 1990.

In order to resolve the Nevada complaint against him, Dr. Wyckoff entered into a Stipulation For Settlement which was executed by the Nevada Board on December 24, 1990 and which was approved by Order of the Nevada Board dated January 19, 1991 (the "Nevada Board Order"). Under the terms of the Nevada Board Order, Dr. Wyckoff 1) shall receive a public, written reprimand for the conduct alleged in the complaint; 2) shall not engage in providing professional psychiatric treatment or services as a medical doctor in the State of Nevada to any person under the age of 18 years in an institutional setting or in a private practice or other practice setting unless such services are provided as part of psychiatric therapy for an entire family, including providing such therapy to all adult members of the family; and 3) shall have the status of his license to practice medicine in the State of Nevada changed from active to inactive for a period of three years. Copies of

the Nevada Board's Stipulation For Settlement, the Nevada Board Order and the October 22, 1990 complaint upon which the Nevada Board Order was based are attached hereto as Exhibit C.

On February 26, 1991, Dr. Wyckoff voluntarily underwent an evaluation by Carlyle B. Voss, M.D., a psychiatrist selected by the Executive Director of the Board. Dr. Voss' evaluation was supplemented by the administration of the MMPI-2 test and interpretation of those test results by Richard G. Doiron, Ph.D. Dr. Doiron did not meet with Dr. Wyckoff during any part of the evaluation. Dr. Voss' evaluation included a review of the following documents: the settlement agreement with the Nevada Board; Dr. Wyckoff's application for licensure in Maine including letters written in support of Dr. Wyckoff and letters and complaints detailing the specific allegations contained in the complaint of the Nevada Board; the file of the investigation of the Nevada Board; and numerous supportive letters for Dr. Wyckoff written by physicians, patients, a patient's relative, employees, employers and judicial system workers.

In his report dated March 1, 1991, Dr. Voss opined that Dr. Wyckoff's treatment of some of the Nevada patients was questionable and his use of profanity and the confrontational style was inappropriate; however, he further stated that he had found no evidence of mental disorder and did not identify sociopathic behaviors. As part of his report, Dr. Voss suggested that, if Dr. Wyckoff is granted licensure, the following restrictions should be imposed on Dr. Wyckoff's medical practice:

- 1. He should work with patients who are seeing him on a voluntary basis and have the choice of going to another psychiatrist. This would include adolescents who may be brought in by their parents but may not consent to treatment.
- 2. Peer supervision/consultation should be mandatory and continue for a length of period to assure the board that problems experienced in Nevada are not recurring.
- 3. If Dr. Wyckoff has to hospitalize a patient involuntarily, the justification for such hospitalization should be carefully and promptly reviewed. All cases of involuntary hospitalization should be brought to the supervisor and Board's attention.

Dr. Voss' evaluation and Dr. Doiron's report are incorporated by reference as part of this Consent Agreement.

Based upon the materials comprising Dr. Wyckoff's Application, including the Maine Advocacy Services' complaint, substantial documentation regarding the allegations raised against Dr. Wyckoff before the Nevada Board, and positive letters in support of Dr. Wyckoff, based upon the results and recommendations of Dr. Voss' evaluation, and based

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upon a lengthy meeting between Dr. Wyckoff and the Board on March 12, 1991, the Board has voted that it shall issue to Dr. Wyckoff a modified, conditional license to practice medicine in the State of Maine. Regardless of whether Dr. Wyckoff practices in Maine or elsewhere, failure to comply with the modifications or conditions of this Consent Agreement shall, unless otherwise explicitly specified, result in the revocation of Dr. Wyckoff's license.

II. LICENSE MODIFICATIONS

Dr. Wyckoff and the Board agree to the following License Modifications:

A. LIMITATIONS REGARDING TREATMENT OF PATIENTS

1. NO INVOLUNTARY PATIENTS

Dr. Wyckoff shall treat no involuntary patients in any practice setting, be it institutional, private or otherwise.

2. VOLUNTARY PATIENTS ONLY

Dr. Wyckoff shall treat only those patients who, acting of their own free will, seek his services intentionally and without coercion of any kind. Dr. Wyckoff shall ask each patient to sign a Voluntariness of Treatment form indicating that he/she is seeking Dr. Wyckoff's services intentionally and without coercion. The form shall be developed with Dr. Wyckoff's peer supervisor/consultant identified in Section III(A) below, and must be approved by the Board or its designee.

3. CHANGE OF PATIENT STATUS DURING TREATMENT

If Dr. Wyckoff determines that a patient requires involuntary commitment, Dr. Wyckoff shall immediately consult with his Supervisor, or if the Supervisor is unavailable or deems it advisable, with another licensed psychiatrist. Dr. Wyckoff must continue to consult with his Supervisor regarding all treatment provided such patient during the period of involuntary commitment. The treatment of such patient shall be reviewed at each session between Dr. Wyckoff and his Supervisor.

4. NO MINOR PATIENTS; EXCEPTION

Dr. Wyckoff shall not provide professional psychiatric treatment or services as a medical doctor in the State of Maine to, nor shall he

otherwise counsel or conduct therapy with, any person under the age of eighteen (18) years, in any practice setting except as follows: Dr. Wyckoff may provide psychiatric therapy to a person under the age of 18 years of age (hereinafter referred to as a "minor") if and only if Dr. Wyckoff is providing therapy concurrently to at least one adult family member of the minor and (a) if the therapy is conducted in the presence of the minor's adult family member(s), or (b) if conducted in the absence of the minor's adult family member(s), the therapy is limited to an occasional session conducted as part of the family therapy being provided by Dr. Wyckoff to the minor and the minor's adult family member(s). Dr. Wyckoff's records shall indicate the dates of birth of each of his patients in order to demonstrate compliance with this requirement. All treatment of minors must be reviewed at each session between Dr. Wyckoff and his Supervisor.

5. LIMITED SUPERVISORY RESPONSIBILITY

- a. Dr. Wyckoff must neither employ nor supervise any allied health professionals and any supervisory role will be limited to administrative personnel in administrative matters only.
- b. The above modification in no way restricts Dr. Wyckoff's ability to provide clinical direction to employees of other agencies or facilities, for example, providing clinical input to cases of therapists in a mental health clinic.

B. AMENDMENT AND TERMINATION OF MODIFICATIONS

The above-referenced modifications of the Licensee's license shall continue in full force and effect without any opportunity for amendment, except for clear error in drafting, through at least April 10, 1993. If, after April 10, 1993, Dr. Wyckoff wishes to seek amendment, or termination, of these modifications, then he may petition the Board in writing. The Board may inquire into the request and may, in its sole discretion, grant or deny the application. Such decision may be made by the Board with or without providing the Licensee a hearing. Such decision is not appealable, regardless of whether a hearing is held.

C. ALLEGED VIOLATION OF LICENSE MODIFICATIONS; SANCTIONS

The following provisions shall be in addition to any Board action authorized by statute. Dr. Wyckoff understands that any violation shall be dealt with strictly.

1. <u>CESSATION OF TREATMENT</u>. If the Board receives any oral or written report of a violation of any License Modification agreed to

- herein, upon actual notice to Dr. Wyckoff from the Board or a representative of the Board that a report of a violation has been received, Dr. Wyckoff shall immediately cease the specific treatment, conduct or practice which the Board notifies Dr. Wyckoff to be in violation of the License Modifications, unless the communication from the Board explicitly waives this clause. No appeal may be taken from any such action.
- 2. <u>CONTINUED CESSATION OF TREATMENT</u>. The cessation of such treatment, conduct or practice by Dr. Wyckoff shall continue unless the Board determines through review of information provided by Dr. Wyckoff, by Dr. Wyckoff's Supervisor or such other sources as the Board deems appropriate, that the report is without merit. If the Board decides that the report of violation may be true:
 - a. the Board may enter a Consent Agreement regarding sanction for the violation; or
 - b. hold a hearing or refer the matter to Court to determine whether the allegation is true. If the Board is to hold a hearing on the matter, it shall be held within 90 days after the Board decides the report may be true (unless both the Licensee and the Board agree to hold the hearing later) and shall be held pursuant to the Maine Administrative Procedures Act.
- 3. <u>SANCTIONS</u>. By Consent Agreement or following hearing, the Board may impose such discipline, including without limitation, fines, suspension, nonrenewal or revocation, as the Board deems appropriate. By this Consent Agreement, the Licensee expressly accords the Board jurisdiction, concurrent with the courts, to revoke his license if the Board deems it appropriate. Any decision to sanction Dr. Wyckoff reached after hearing is appealable.

III. CONDITIONS OF LICENSURE

The Licensee and the Board agree and understand that issuance of the Licensee's Modified, Conditional License shall be conditioned upon the Licensee's compliance with the following conditions of licensure. Dr. Wyckoff's license shall not be issued until all conditions precedent have been met.

A. PEER SUPERVISION AND CONSULTATION.

SUPERVISION/CONSULTATION. Dr. Wyckoff agrees to participate 1. once every other week in supervision/consultation sessions with a "Supervisor" psychiatrist (preferably Board certified), proposed in writing by Dr. Wyckoff and approved in advance by the Board or its designee. Any change in Supervisor shall be accomplished by the same means. Any request for a change of Supervisor by Dr. Wyckoff can be denied by the Board, in its discretion, without a hearing. Supervisor must be provided with a copy of this Consent Agreement and it must be retained in the Supervisor's file. The Supervisor should submit, in writing, to the Board, an acknowledgement that he/she agrees with the plan of supervision or recommendations to the Board These supervision/consultation regarding possible amendments. sessions shall at least consist of a review of Dr. Wyckoff's appointment book, his records regarding new patients' ages and Voluntariness of Treatment, and a random review of patient cases and charts selected by the Supervisor. Each session shall consist of a face-to-face meeting of a minimum duration of one (1) hour between Dr. Wyckoff and his peer supervisor, with communication by telephone between sessions if Dr. Wyckoff or his Supervisor deems it necessary.

2. <u>SECONDARY SUPERVISOR</u>.

Dr. Wyckoff shall propose to the Board for its approval a Secondary Supervisor who shall have agreed in writing to carry out all of the responsibilities of the Supervisor under this Agreement. Such Secondary Supervisor shall be utilized by Dr. Wyckoff only when Dr. Wyckoff's primary Supervisor is unavailable. Dr. Wyckoff shall inform the Board that he intends to utilize the Board approved Secondary Supervisor prior to any time that Dr. Wyckoff's primary Supervisor will be unavailable for a period of seven (7) days or longer. Dr. Wyckoff shall keep the Board informed regarding the status of his Secondary Supervisor.

B. REPORTS TO BOARD

1. <u>QUARTERLY REPORT</u>. A quarterly report shall be filed with the Board by the Supervisor for as long as the peer supervision sessions continue. Each quarterly report shall, at the least, list the dates on which the supervision/consultations were conducted, briefly summarize the procedure followed at each session, state any observations or recommendations made by the Supervisor and note Dr. Wyckoff's responses thereto. The Board may follow up regarding any questions

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or issues it has.

- 2. REQUIREMENT OF IMMEDIATE REPORT. Any failure by Dr. Wyckoff to meet with his Supervisor as required or any other violation of this Consent Agreement, any conduct that is unethical, unprofessional or which has or may endanger the health or safety of his patients, or other violation of the Medical Practice Act (in particular 32 M.R.S.A. § 3282-A (2)) shall be reported IMMEDIATELY (within 24 hours) by the Supervisor and by any person with knowledge of such violation to the Board and the Attorney General's Office. Such report by the Supervisor shall be made by telephone and in writing.
- C. <u>AMENDMENT OF CONDITIONS</u>. If, after October 10, 1991, Dr. Wyckoff decides to seek amendment of any of the above-described conditions, then he shall make a written request to the Board. Dr. Wyckoff shall also participate in an evaluation to be conducted by Dr. Carlyle B. Voss or such other professional as may be approved in advance by the Board (the "Evaluator"), and shall arrange for a report of the Evaluator's findings transmitted directly to the Board. Once an evaluation is conducted, the Evaluator is irrevocably directed by Dr. Wyckoff to transmit his report to the Board. The Board may, in its discretion, and based on the findings of the Evaluator, or on such other materials as it deems proper, grant or deny the application. Such decision may be made by the Board with or without providing the Licensee a hearing. Such decision is not appealable.

The Board may seek any amendment of any condition by submitting a written proposal to Dr. Wyckoff at any time. If Dr. Wyckoff opposes the amendment, then the Board must hold a hearing in order to determine whether the amendment shall be made. Such amendment can only be made for good cause shown, but shall be made in the Board's discretion and there shall be no right of appeal from the decision.

D. <u>SANCTIONS</u>. By Consent Agreement or following hearing, the Board may impose such discipline, including without limitation, fines, suspension, nonrenewal or revocation, as the Board deems appropriate. By this Consent Agreement, the Licensee expressly accords the Board jurisdiction, concurrent with the courts, to revoke his license if the Board deems it appropriate. Any decision to sanction Dr. Wyckoff reached after hearing is appealable.

IV. NOTICE TO HOSPITALS

A copy of this Consent Agreement, including all Exhibits, shall be submitted to each hospital or other health care providers (as defined in 24 M.R.S.A. § 2502) to which Dr. Wyckoff applies for staff privileges or privileges of any nature.

V. THE COMPLAINT FILED BY MAINE ADVOCACY SERVICES

Pursuant to 32 M.R.S.A. §3282-A, the Board hereby resolves the complaint, dated

February 5, 1991, filed against Dr. Wyckoff by Helen M. Bailey of Maine Advocacy Services as follows:

FINDING OF FACT. The Board finds that in his treatment of adolescent patients while practicing as a psychiatrist in the State of Nevada, Dr. Wyckoff violated standards of professional behavior which have been established in the practice of psychiatry, including the inappropriate use of profanity, confrontational style and extreme and inappropriate disciplinary measures. Such conduct constitutes unprofessional conduct within the meaning of 32 M.R.S.A. § 3282-A(2)(F).

THE BOARD'S DECISION. Having found that the unprofessional conduct could constitute a ground for refusal to issue Dr. Wyckoff a license, and given the fact that the Nevada Board has sanctioned Dr. Wyckoff, nonetheless, the Board has decided that the public shall be protected by its issuance to Dr. Wyckoff the Modified, Conditional License issued pursuant to this Consent Agreement.

VI. AMENDMENT OF CONSENT AGREEMENT

This Consent Agreement can be amended only by a writing signed by the parties hereto and approved by the Attorney General's Office. Requests for amendments made by the Licensee shall be made in a writing submitted to the Board and as otherwise set forth herein. The Board may propose amendments by sending a written proposal to the Licensee. Unless otherwise specified, proposals to amend made by Dr. Wyckoff shall be decided in the Board's discretion, with or without the Board holding a hearing on the matter. Proposals to amend made by the Board which are opposed by Dr. Wyckoff can only be made for good cause shown after hearing. There shall be no right of appeal from the decision of the Board.

VII. ADVICE OF COUNSEL

The Licensee has been represented by and has consulted with an attorney who has negotiated this Consent Agreement on his behalf.

VIII. WAIVER OF RIGHT TO APPEAL BOARD'S DECISION

In regard to all terms and conditions of this Consent Agreement, the Licensee waives any further hearings or appeal to the Board or to any Court regarding his Application for Licensure and the Modified, Conditional License issued hereunder. Nothing in this paragraph shall be deemed a waiver of the Licensee's rights, under rule, statute or the Maine or United States Constitutions, to appeal a decision or action later taken by the Board subsequent to licensure, except as the Licensee may have agreed herein, such as with increased jurisdiction of the Board to revoke his license for violation of this Consent

Agreement. The Licensee agrees that this Consent Agreement and Order is a final order resolving the Licensee's Application for licensure.

IX. COSTS

Dr. Wyckoff shall be responsible for all costs associated with the implementation of this Consent Agreement.

X. NOTICES

Unless otherwise specified in this Consent Agreement, written notice shall be deemed served upon mailing by first class mail, postage pre-paid.

1. Notice to the Board:

Executive Director
Board of Registration in Medicine
State House Station 137
Augusta, Maine 04333
(207) 289-3601

2. Notice to the Licensee:

Stuart M. Wyckoff, M.D. P.O. Box 612 Fort Fairfield, Maine 04742-0612 (207) 472-4600

3. Change of Address/Telephone

If Dr. Wyckoff changes jobs, moves his residence, moves his office practice, changes telephone numbers at work or at home, or secures privileges at a hospital, he shall provide written notice to the Board within two weeks after such occurrence.

I, STUART M. WYCKOFF, M.D. HAVE READ AND UNDERSTAND THE FOREGOING CONSENT AGREEMENT. I UNDERSTAND THAT BY SIGNING, I WAIVE CERTAIN RIGHTS. I SIGN IT VOLUNTARILY. I UNDERSTAND THAT THIS CONSENT AGREEMENT CONTAINS THE ENTIRE AGREEMENT AND THERE IS NO OTHER AGREEMENT OF ANY KIND, VERBAL, WRITTEN OR OTHERWISE.

DATED: May 22, 1991 STUART M. WYCKOFF, M.D.
STUART M. WYCKOFF, M.D.
STATE OF MAINE KENNEBEC, SS.
Before me this 32 ^{na} day of nry, 1991, personally
appeared the above-named Stuart M. Wyckoff, M.D., known to me, who, first, being duly
sworn, signed the foregoing Consent Agreement in my presence or affirmed that the
signature above is his own. Sackare A. Jackare
NOTARY PUBLIC/ATTORNEY, DATE LAW NOTARY PUBLIC, MAINE NOTARY PUBLIC, MAINE A RDD OVED. BY CORMISSION EXPRES MARCH 3, 1893
DATED: 5/23/9/ ,1991 km// Male
JAY H. KRALL, ESQ. Counsel to Stuart M. Wyckoff
DATED: 3 eme., 1991 STATE OF MAINE BOARD OF REGISTRATION IN MEDICINE
By: EDWARD DAVID, M.D., J.D., CHAIR

APPROVED AS TO FORM:

DATED: Vine 4,1991 STATE OF MAINE

DEPARTMENT OF ATTORNEY GENERAL

By: KENNETH W. LEHMAN
ASSISTANT ATTORNEY GENERAL

BOARD ORDER

IT IS HEREBY ORDERED, BY VOTE OF THE STATE OF MAINE BOARD OF REGISTRATION IN MEDICINE, THAT STUART M. WYCKOFF, M.D. IN CONSIDERATION OF HIS EXECUTION OF AND COMPLIANCE WITH THIS CONSENT AGREEMENT, SHALL AFTER SATISFYING CONDITIONS PRECEDENT IN THE CONSENT AGREEMENT, BE ISSUED A MODIFIED, CONDITIONAL LICENSE TO PRACTICE MEDICINE IN THE STATE OF MAINE.

STATE OF MAINE DATED: 3 1991 BOARD OF REGISTRATION IN MEDICINE
BY:
EDWARD DAVID, M.D., J.D., Chair

Effective May 31, 1991, Licensure issued.

Kullehman, A.A.G.



ONE GRANDVIEW PLACE • P.O. BOX 445 • WINTHROP, ME 04364 (207) 377-6202 (TDD) • FOR CLIENTS/FAMILIES ONLY: 1-800-452-1948 (TDD)

February 5, 1991

Board of Registration of Medicine State House Station 137 Augusta, ME 04333

RE: Stuart Wyckoff

Dear Board Members:

I understand that Stuart Wyckoff has applied for a license to practice medicine in the State of Maine. On the basis of information in my possession which concerns Mr. Wyckoff's conduct while practicing medicine in the State of Nevada, I am, by this letter, filing a complaint with your board pursuant to 32 M.R.S.A. § 3282-A. I request that your agency conduct a disciplinary investigation.

Maine Advocacy Services is a protection and advocacy agency designated pursuant to the federal Protection and Advocacy for Mentally Ill Individuals Act of 1986 (42 U.S.C. § 10801, et seq.) and pursuant to the state act relating to protection and advocacy agencies (5 M.R.S.A. § 19501, et seq.). Under these laws, MAS is authorized to undertake appropriate action to ensure the protection of mentally ill individuals within the state. Mr. Wyckoff's conduct in Nevada, for which he was ordered reprimanded, gives this agency serious cause for concern that should he be licensed to practice in this state, the health and well being of Maine citizens with mental illness may be jeopardized.

From the proceedings before the Board of Medical Examiners of the State of Nevada, I enclose a complaint, Stipulation for Settlement and order. Under the terms of the order, Mr. Wyckoff's license was inactivated and he was prohibited from applying for acive licensure for a period of three years. The order also provided for his public written reprimand for conduct alleged in the complaint. The alleged conduct includes conduct which would appear to constitute grounds for discipline in this state, as those grounds are defined at 32 M.R.S.A. §3282-A, and hence grounds for refusal to issue a license.

I specifically refer you to count one, paragraph II of the complaint wherein it is alleged that Mr. Wyckoff "continually failed to exercise the skill or diligence or use the methods ordinarily exercised under the same circumstances by physicians in good standing practicing in the same specialty or field, namely, psychiatry, in that (Mr. Wyckoff) failed to establish therapeutic alliances; made erroneous diagnoses with resultant inappropriate prescription of controlled substances; caused

February 6, 1991 Page 2

patients to participate in unorthodox and harmful treatment plans; made insufficient use of consultation; and engaged in poor monitoring and supervision of physician-extenders." This is conduct for which Mr. Wyckoff was ordered reprimanded. I ask that in your investigation you measure this conduct against the standards of 32 M.R.S.A. § 3282-A (2)(E), (F) and (J).

The conduct alleged in counts II and III of the complaint concerns specific practices in respect to four individual patients. The practices were alleged to be "malpractice" and "not recognized scientifically as being beneficial". I ask that in your investigation, you measure these practices against the standards for incompetent practice and unprofessional conduct.

I am also enclosing a copy of a summary of a multi-agency investigation of allegations of mistreatment of children at a facility administered by Mr. Wyckoff. The summary contains eleven findings of fact involving staff who acted under his overall administrative supervision, and, in one instance, involving him directly. One of the findings is actually a further allegation — that thorazine is allegedly used as a punishment — and ask that the board investigate this as a potential violation of 32 M.R.S.A. §3282-A(2)(J).

The multi-agency investigation summary does not refer to any of Mr. Wyckoff's conduct as stemming from a philosophy of treatment to which he specifically subscribes. I do believe that records of the investigation, if available, will include Mr. Wyckoff's reference to "creative interventions", some of which are described in the investigation summary. If records of the investigation are not available, I suggest you contact Kevin Christensen, member of the multi-agency investigation team. He can be reached at Office of Protection and Advocacy, 2105 Capurro Way, Suite B, Sparks, Nevada 89431.

The conduct alleged in the complaint and in the multi-agency investigation deviates significantly from the standards of care and treatment that children in Maine psychiatric hospitals and mental health programs or facilities may claim as a matter of right. These standards are reflected in the "Rights of Recipients of Mental Health Services Who are Children in Need of Treatment", a copy of which I have enclosed for you. I specifically refer you to the sections on Basic Rights, Least Restrictive Appropriate Treatment, Freedom From Unnecessary Seclusion and Restraint, Privacy and Humane Treatment Environment, and Free Association and Communication.

February 6, 1991 Page 3

In referring to these regulations, I do not intend to suggest that Mr. Wyckoff should have conformed his Nevada practice to Maine regulations. I do suggest, however, that the measure of what constitutes "professional conduct" for purposes of your review should minimally be conduct that does not violate the legally recognized rights of patients.

If indeed Mr. Wyckoff adheres to a philosophy of care and treatment which directed the instances of conduct alleged in the complaint and investigation report, that philosophy will very likely give rise to continued instances of that conduct, and hence violations of Maine patients' rights to appropriate care and treatment. Even if Mr. Wyckoff's past conduct stems not from an affirmative philosophy but rather from failure to exercise skill or diligence necessary to protection of patients rights, I would find it difficult to believe that during the eighteen days elapsing between the action of the Nevada board and this date, Mr. Wyckoff undertook the necessary training or education to refresh his skills.

In considering Mr. Wyckoff's application for a license to practice medicine, I ask that the board conduct a full investigation on this complaint, giving due consideration to the findings of other deliberative bodies, as reflected in the enclosed, and to the concerns I have expressed on behalf of this agency. I also ask that I be informed of the action of the board.

I thank you for the opportunity to bring these matters to your attention, and trust that the board will take action to ensure that Maine citizens are protected by appropriately applied standards of professional licensure.

Helen M. Bailey Senior Attorney

HMB/st Encl.

cc: Kenneth Lehman, AAG

BEFORE THE BOARD OF MEDICAL EXAMINERS

OF THE STATE OF NEVADA

6629

NO.

IN THE MATTER OF THE COMPLAINT

AGAINST

Case No. 6629

STUART WYCKOFF, M.D.,

RESPONDENT.

STIPULATION FOR SETTLEMENT

IT IS HEREBY STIPULATED AND AGREED by and between Stuart Wyckoff, M.D., hereinafter referred to as "Respondent," and the Board of Medical Examiners of the State of Nevada, hereinafter referred to as the "Board," by and through its Secretary, Thomas J. Scully, M.D., as follows:

- That Respondent has received and read the complaint 1. against him in Case No. 6629.
- That Respondent fully understands the nature of the charges alleged in the above-referenced complaint.
- That this Stipulation for Settlement will be considered by the Board in open session. It is understood and stipulated that the Board is free to accept or reject this Stipulation for Settlement, and if this Stipulation for Settlement is rejected by the Board, disciplinary action pending against Respondent will proceed to hearing. This Stipulation for Settlement will only become effective when BOARD OF MEDICAL EXAMINERS

Patricia R. Perry, Childred Cockethon of the 27ed prids, do hereby certify that this document true and correct copy of the original on 28 true and co

Executive Director

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 Respondent and the Board have duly executed the same and after approval by the Board in open session.

- 4. That Respondent will receive a public written reprimand for the conduct alleged in the complaint on file against him, and that such reprimand will be published in the newsletter of the Nevada State Board of Medical Examiners, which newsletter is sent to all physicians licensed to practice medicine in the State of Nevada.
- 5. That until further order of the Board, Respondent will not engage in providing professional psychiatric treatment or services as a medical doctor in the State of Nevada to any person under the age of eighteen years in an institutional setting or in a private practice or other practice setting unless in such private practice or other noninstitutional setting such services are provided as a part of psychiatric therapy for an entire family, including providing such therapy to all adult members of the family.
- 6. That Respondent's licensure to practice medicine in the State of Nevada shall be changed from active to inactive status, and Respondent shall not apply for active licensure status in the State of Nevada for a period of three years. Any future application by Respondent for active licensure status will be subject to the conditions and provisions of NRS 630.255.
- 7. That pursuant to the provisions of NRS 233B.121,
 Respondent and the Board waive the requirements for findings
 of fact and conclusions of laweds, do hereby certify that this do maked
 is a true and correct copy of the original the
 file 2n this office.

Signed: Patricia K. Perry

Executive Director

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1	The undersigned have read and approve the foregoing
2	Stipulation for Settlement this day of day of
3	1990.
4	NEVADA STATE BOARD OF MEDICAL EXAMINERS
5	EARTINERS //11
6	By: THOMAS J. SCULLY, M.D.
7	Secretary Secretary
8	
9	alimate for Sottlement and
10	I have read the above Stipulation for Settlement and discussed the same with my own independent legal counsel,
11	Theodore D. Gamboa. I understand that by the terms of this stipulation I will be waiving certain rights accorded me under
12	the Nevada Revised Statutes. I agree to the above Stipulation for Settlement, and I agree to be bound by the terms and conditions set forth in said stipulation and in any order
13	entered by the Board pursuant to said stipulation.
14	DATED this 10 day of December, 1990.
15	C / 1 / 1 / 1 / 1
16	Stuart Wyckoff, M.D.
17	STOART WICKOTT 11124
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20	BOARING TO THE STATE OF THE STA
21	I, Padria G. Islando, p. 1997. Talendo de la recorde, de l'article de la
22	is a fine and the
23	Signed: Patricea & Kerry
24	Evacutive Director
25	Date: 6-30-92
26	Date.

BEFORE THE BOARD OF MEDICAL EXAMINERS

of the State of Nevada 6629
JAN 22 1991
IN THE MATTER OF THE COMPLAINT Patricia Q. Perr
STATE OF NEVERACUTIVE CONTRACTOR
AGAINST BOARD OF MEDICAL ECASEINO 6629
I, Patricia R. Perry, Official Customen of the stuart wyckoff, M.D. records, do hereby certify that has document
RESPONDENT is a true and correct copy of the original on file in this office.
Signed: Patricea R. Perry

Executive entered in Respondent having Settlement with the Secretary of Nevada State Board of Examiners, Thomas Scully, Medical J. M.D.; Stipulation for Settlement having been approved by the Board in open session on the 19th day of January, 1991; and said Stipulation for Settlement providing that pursuant to the provisions of NRS 233B.121, Respondent and the Board waive the requirements for findings of fact and conclusions of law; and good cause appearing, IT IS HEREBY ORDERED as follows:

- 1. Respondent will receive a public written reprimand for the conduct alleged in the complaint on file against him, and such reprimand will be published in the newsletter of the Nevada State Board of Medical Examiners.
- 2. Until further order of the Board, Respondent will not engage in providing professional psychiatric treatment or services as a medical doctor in the State of Nevada to any person under the age of eighteen years in an institutional

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2 3 4 5 6 7 8 9 10 11 12 13 STATE OF NEVADA 14 BOARD OF MEDICAL EXAMINERS I, R5rifia R. Perry, Official Custodian of the records do hereby certify that this document By: is a frue and correct copy of the original on file in this office. Signed 18 19 Date: 20

T. 18.

setting or in a private practice or other practice setting unless in such private practice or other noninstitutional setting such services are provided as a part of psychiatric therapy for an entire family, including providing such therapy to all adult members of the family.

Respondent's licensure to practice medicine in the 3. State of Nevada shall be changed from active to inactive status, and Respondent shall not apply for active licensure status in the State of Nevada for a period of three years. Any future application by Respondent for active licensure status will be subject to the conditions and provisions of NRS 630.255.

DATED this 19 day of January, 1991.

NEVADA STATE BOARD OF MEDICAL **EXAMINERS**

THEODORE JACOBS,

President

atricia & Perry

Executive Director

6-30-90

CERTIFICATION

I hereby certify that the foregoing is the full and true original order on file and of record in the office of the Nevada State Board of Medical Examiners in the matter of Stuart Wyckoff, M.D., Case No. 6629.

I further certify that Theodore Jacobs, M.D. is the President of the Nevada State Board of Medical Examiners, that full force and credit is due to his official acts as such, and

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that the signature on the foregoing Order is a genuine signature of the said Theodore Jacobs, M.D.

IN WITNESS WHEREOF I have hereunto set my hand in my official capacity of Secretary-Treasurer of the Nevada State Board of Medical Examiners.

DATED this 19th day of January, 1991.

Thomas J. Scully, M.D. Secretary-Treasurer

Nevada State Board of Medical Examiners

BOARD OF MEDICAL EXAMINERS

I, Patricia R. Perry, Official Custodian of the records, do hereby certify that this document file in this office.

Signed: **Executive Director**

Date: 6-30-92

BEFORE THE BOARD OF MEDICAL EXAMINERS

2	of the state of Nevada 6629
3	OCT 22 1990
4	Lattinia & Perro
5	IN THE MATTER OF THE COMPLAINTATE OF NEVEDA BOARD OF MEDICAL Ecase MORS 6629
6	AGAINST , Patricia R. Perry, Official Custoria
7	resords, do hereby certily that this document file in this chice. RESPONDENT.
′	RESPONDENT.
8	Signed Latter &
9	COMPLA A. INT

Pursuant to themprovisions of Chapter 630 of the Nevada Revised Statutes, and by virtue of the authority vested in it by said chapter, the Board of Medical Examiners of the State of Nevada, acting by and through its Secretary, Thomas J. Scully, M.D., upon information and belief and having a reasonable basis to believe that Stuart Wyckoff, M.D. (hereinafter "Respondent") has violated the provisions of said chapter, hereby issues its formal complaint, stating its charges and allegations in that respect as follows:

Ι

That Thomas J. Scully, M.D., Secretary of the Board of Medical Examiners of the State of Nevada, hereby makes and signs this Complaint in his official capacity as such, and not otherwise.

II

That Respondent is now, and at all times alleged herein was, licensed by the Board of Medical Examiners of the State

of Nevada to practice medicine in the State of Nevada, being licensed on April 3, 1982, Nevada License No. 4528.

III

That NRS 630.306(7) provides that the Board of Medical Examiners of the State of Nevada may initiate disciplinary proceedings against a physician licensed in Nevada on the grounds of continual failure to exercise the skill or diligence or use the methods ordinarily exercised under the same circumstances by physicians in good standing practicing in the same specialty or field.

IV

That NRS 630.306(2)(b) provides that the Board of Medical Examiners of the State of Nevada may initiate disciplinary proceedings against a physician licensed in Nevada on the grounds of engaging in conduct which the Board has determined is a violation of the Standards of Practice established by regulation of the Board. That NAC 630.230(9), a standard of practice, adopted by regulation of the Board, provides that a physician shall not treat any patient in a manner not recognized scientifically as being beneficial.

V

That NRS 630.301(3) provides that the Board of Medical Examiners of the State of Nevada may initiate disciplinary proceedings against a physician licensed in Nevada on the grants of Nevada or repeated malpractice.

Patricia R. Perry, Official Cuclodian of the 27rds, do hereby certify that this document true and correct copy of the original on 28n this office.

atricea R Perry

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That the Secretary is informed and believes, and upon such information and belief, charges and alleges that Respondent committed the following described acts in Counts One through Ten, inclusive, to-wit:

COUNT ONE

Т

That from 1981 through June of 1990, Respondent, practicing as a psychiatrist, provided professional psychiatric treatment to patients at Truckee Meadows Hospital and Teen View in Washoe County, Nevada, including, but not limited to patients A through D.

II

That in providing the aforesaid professional psychiatric care to said patients, Respondent continually failed to exercise the skill or diligence or use the methods ordinarily exercised under the same circumstances by physicians in good standing practicing in the same specialty or field, namely, psychiatry, in that Respondent failed to establish therapeutic diagnoses with resultant made alliances; erroneous inappropriate prescription of controlled substances; caused patients to participate in unorthodox and harmful treatment plans; made insufficient use of consultation; and engaged in STATE OF MEMACATE poor monitoring and supervision of physician-extenders.

I, Patricia R. Perry, Official Custodian of the records, do hereby certify that this document is a true and correct copy of the criginal on file in this office.

Signed: Patricea R. Perry

Data: 6-50-92

COUNT TWO

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1981 through June 1990, Respondent, of That from provided professional psychiatrist, practicing psychiatric treatment to patients at Truckee Meadows Hospital and Teen View, a treatment facility in Washoe County, Nevada, including, but not limited to patients A through D.

II

That in providing the aforesaid professional psychiatric care to said patients, Respondent treated said patients in a manner not recognized scientifically as being beneficial.

COUNT THREE

That from March 17, 1989, through June psychiatric provided professional Respondent at Truckee Meadows Hospital Nevada.

II

of providing such in the course That treatment to said patient A, Respondent used foul language towards said patient; required said patient to éngage in excessive physical exercise as punishment; and restricted said patient from participation in activities for long durations of time as punishment.

III

That Respondent's conduct in the treatment of patient A constitutes malpractice and constitutes treating a patient in a manner not recognized scientifically as being beneficial, a violation of NAC 630.230(9).

IV

That on or about the month of August, 1989, Respondent provided professional psychiatric treatment to patient B at Teen View, a treatment facility in Washoe County, Nevada.

V

That in the course of providing such psychiatric treatment to said patient B, Respondent, in performing a "take down" of said patient, put a towel over said patient's head and put his feet on either side of the towel and gradually moved his feet toward said patient's head until he sheld an ankle of either side of said patient's head squeezing and pressing against said patient's ears.

VT

That Respondent's conduct in the Greatment described above for patient B constitutes malpractice and constitutes treating a patient in a manner not scientifically recognized as being beneficial, a violation of NAO 630 230(9).

VII

That subsequent to July 24, 1989, Respondent provided professional psychiatric treatment to patient C at Teen View, a treatment facility in Washoe County, Nevada.

VIII

That in the course of providing such professional psychiatric treatment for said patient C, Respondent required said patient for a period of six weeks to write sentences all

day sitting in the hall, facing the wall; only allowed the patient up for meals and to clean the facility; did not allow the patient to talk to anyone, whether staff or other patients; and deprived said patient of her clothing and required that she wear oversized sweat clothes for period of six weeks.

IX

That Respondent's conduct in the treatment of patient C described above constitutes malpractice and constitutes treating a patient in a manner not recognized scientifically as being beneficial, a violation of NAC 630.230(9)

X

Subsequent to July, 1989, Respondent provided professional psychiatric treatment for patient D at Teen View, a treatment facility in Washoe County, Nevada

XI

psychiatric treatment for said patient, Respondent, following said patient's running away from the fasility, advised the staff and other patients that no one was to have any contact with said patient; placed said patient in the hallway at a desk, facing the wall and required said patient to remain there isolated, except for meals, for an excessive duration of time.

XII

That Respondent's conduct in the treatment of patient D described above constitutes malpractice and constitutes

treating a patient in a manner not recognized scientifically as being beneficial, a violation of NAC 630.230(9). XTTT That the acts of Respondent described in this Count Three violation repeated malpractice, a constitute 630.301(3). WHEREFORE, the Secretary requests that a hearing of this Complaint be had before a hearing officer appointed by the Board of Medical Examiners of the State of Nevada, and that the Board of Medical Examiners of the State of Nevada, after said hearing, take such action as may be just and proper pursuant to Nevada Revised Statutes. Oday of 13 DATED: Carlos de Menaghi Carlos Control I and Control NEVADA STATE BOARD OF 14 MEDICAL EXAMINERS 15 16 17 THOMAS Secretary 18 19 20 VERIFICATION

of

NRS

THOMAS J. SCULLY, M.D., under penalties of perjury, being first duly sworn, deposes and says:

ss.

That he is the Secretary of the Nevada State Board of Medical Examiners; that he has read the foregoing Complaint and knows the contents thereof; that the same is true of his own knowledge, except as those matters therein contained

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STATE OF NEVADA

COUNTY OF WASHOE

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stated upon information and belief, and as to those matters he believes them to be true. CPHOMAS J. SUBSCRIBED and SWORN to before me this 20th day of Column, 1990; LARRY D LESSLY Notary Public - State of Nevada Appointment Recorded in Washoe County MY APPOINTMENT EXPIRES NOV. 17, 1993 BOARD OF NEW CONTROL OF THE OF W.O. K. Still Colling

STATE OF MAINE BOARD OF REGISTRATION IN MEDICINE

:	ì	
IN RE: STUART M.)	AMENDMENT OF CONSENT AGREEMENT
WYCKOFF, M.D.):	

Stuart M. Wyckoff, M.D., the Board of Registration in Medicine, and the Department of the Attorney General, agree to amend the "Consent Agreement Regarding Modified, Conditional Licensure and Board Order Issuing License", dated June 3, 1991, as follows:

1. Section II, LICENSE MODIFICATIONS, ¶ A, LIMITATIONS

REGARDING TREATMENT OF PATIENTS, is deleted and the following paragraph inserted in its place:

A. SUPERVISION REQUIRED FOR MINORS

Dr. Wyckoff shall not provide professional psychiatric treatment or services as a medical doctor in the State of Maine to, nor shall he otherwise counsel, conduct or supervise therapy with, any person under the age of eighteen (18) years, in any practice setting unless he is supervised as set forth in Section III, CONDITIONS OF LICENSURE. The above modification in no way restricts Dr. Wyckoff's ability to provide clinical direction to employees of other agencies or facilities, for example, providing clinical input to cases of therapists in a mental health clinic.

2. Section II, LICENSE MODIFICATIONS, ¶ B, AMENDMENT AND TERMINATION OF MODIFICATIONS, is hereby amended to read as follows:

The above-referenced modifications of the Licensee's license shall continue in full force and effect without any

opportunity for amendment, except for clear error in drafting, through at least September 1, 1995. If, after September 1, 1995, Dr. Wyckoff wishes to seek amendment or termination of these modifications, then he may petition the Board in writing. The Board may inquire into the request and may, in its sole discretion, grant or deny the application. Such decision may be made by the Board with or without providing the Licensee a hearing. Such decision is not appealable, regardless of whether a hearing is held.

3. Section III, CONDITIONS OF LICENSURE, ¶ C, AMENDMENT OF

CONDITIONS, the first sentence, is amended to read as follows:

If, after September 1, 1995, Dr. Wyckoff decides to seek amendment of any of the above-described conditions, then he shall make a written request to the Board.

This amendment also confirms that a previous amendment to this agreement, a copy of which is attached, but which may have not been fully executed, is operative.

Dated: 7-15-94

Stuart M. Wyckoff, M.D.

State of Maine

Swart M Clypt A mo

Dated: 7-29-99

Edward David, M.D., Chair

Board of Registration in Medicine

State of Maine Department of Attorney General Dated: $\frac{7}{28}$

Polly Haight Frawley
Assistant Attorney General

STATE OF MAINE BOARD OF REGISTRATION IN MEDICINE

		AMENDMENT TO
IN RE:)	CONSENT AGREEMENT REGARDING
)	MODIFIED, CONDITIONAL LICENSURE
STUART M. WYCKOFF, M.D.	ĺ	AND BOARD ORDER ISSUING LICENSE

This document is an amendment to the Consent Agreement between the State of Maine Board of Registration in Medicine and Stuart M. Wyckoff, M.D., under the terms of which Dr. Wyckoff was issued a Modified, Conditional License to practice medicine in the State of Maine.

Section III(a)(1) of the Consent Agreement is hereby amended as follows:

<u>SUPERVISION/CONSULTATION</u>. Dr. Wyckoff agrees to participate at least one time every three months in supervision/ consultation sessions (each of the sessions should be approximately three months apart) with a "Supervisor" psychiatrist (preferably Board certified), proposed in writing by Dr. Wyckoff and approved in advance by the Board or its designee. Any change in Supervisor shall be accomplished by the same means. Any request for a change of Supervisor by Dr. Wyckoff can be denied by the Board, in its discretion, without a hearing. The Supervisor must be provided with a copy of this Consent Agreement and it must be retained in the Supervisor's file. The Supervisor should submit, in writing, to the Board, an acknowledgement that he/she agrees with the plan of supervision or recommendations to the Board amendments. regarding possible supervision/consultation sessions shall at least consist of a review of Dr. Wyckoff's appointment book, his records regarding new patients' ages and Voluntariness of Treatment, and a random review of patient cases and charts selected by the Supervisor. If patient charts are in the custody of an institution such as the Aroostook Mental Health Center, then written summaries of the treatment provided to such patients may be substituted for the charts. Each session shall consist of a face-to-face meeting of a minimum duration of one (1) hour between Dr. Wyckoff and his peer supervisor, with communication by telephone between sessions if Dr. Wyckoff or his Supervisor deems it necessary.

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FOREGOING AMENDMENT TO CO	D. HAVE READ AND UNDERSTAND THE DNSENT AGREEMENT. I UNDERSTAND AIN RIGHTS. I SIGN IT VOLUNTARILY.
DATED: tugant 3,1992	STUART M. WYCKOFF, M.D.
STATE OF MAINE AROOSTOOK, SS.	
that the signature above is his own.	day of Mayor 1992, personally yckoff, M.D., known to me, who, first, being duly to Consent Agreement in my presence or affirmed ARY PUBLIC/ATTORNEY AT LAW
APPR	SHERRI J. JORDAN NOTARY PUBLIC, MAINE OVED: MY COMMISSION EXPIRES JUNE 24, 1999
DATED:,1992	JAY H. KRALL, ESQ. Counsel to Stuart M. Wyckoff
APPROVED AS TO FORM:	
DATED:,1992	STATE OF MAINE DEPARTMENT OF ATTORNEY GENERAL
	By: TERRENCE J. BRENNAN ASSISTANT ATTORNEY GENERAL
DATED: 7/29/94,1992	STATE OF MAINE BOARD OF REGISTRATION IN MEDICINE BY: EDWARD DAVID, M.D., J.D., Chair



STATE OF MAINE BOARD OF LICENSURE IN MEDICINE LOCATION: TWO BANGOR STREET MAILING ADDRESS: 137 STATE HOUSE STATION AUGUSTA, MAINE 04333-0137

ANGUS S. KING, JR. GOVERNOR RANDAL C. MANNING

EXECUTIVE DIRECTOR

May 23, 1996

Stuart M. Wyckoff, M.D. 277 Houlton Road Presque Isle, ME. 04769

RE: Termination of Consent Agreement Active Unconditional/Unrestricted License FILE COPY

Dear Dr. Wyckoff:

As you know from having attended the meeting, the Board voted on 5/14/96 to terminate your Consent Agreement and to issue you an unrestricted/unconditional license. I am pleased that we were able to accomplish this as simply as we did. I remain convinced that your presence at the Board meeting made the difference.

Based on our conversation after the Board meeting, it is my understanding that we do not need to send you a "new" license. I have notified the appropriate databases of the termination and the change in your license status.

Should any questions arise regarding your status or if we can help you in any other way, please feel free to contact me.

Very truly yours,

William C. McPeck

Assistant Executive Director

Welliam Chylech

WCM:acm cc: Roger Wilson, M.D.

