NORTH CAROLINA MEDICAL BOARD VOLUNTARY SURRENDER FORM

Name:	Rickie Wade Ellis, MD	License #: 200101442	
Address:	800 Maye Blod.	Date of Birth: 2/4/1962	
	Greenville, NC 27834	7 1	
Phone #:			

I hereby surrender my license to practice medicine issued by the Board effective upon receipt of this document by the Board or its agent.

I understand that I may not give medical advice or treatment to any person, with or without compensation; may not prescribe drugs; and may not otherwise engage in the practice of medicine within the meaning of N.C. Gen. Stat. §90-18. Once tendered, this decision to surrender my license may not be withdrawn. I understand that the surrender of my license does not preclude the Board from bringing charges against me at a later date.

I understand that I have obligations to patients that continue beyond the surrender of my license including, but not limited to, winding up my practice in an orderly fashion, assisting patients in ensuring continuity of their care, and preserving patient records and access thereto.

I understand that this document is a public document within the meaning of Chapter 132 of the North Carolina General Statutes and shall be subject to public inspection and dissemination pursuant to the provisions thereof. Additionally, it may be reported to persons, entities, agencies, and clearinghouses as required by and permitted by law including, but not limited to, the Federation of State Medical Boards, the National Practitioner's Data Bank, and the Healthcare Integrity and Protection Data Bank.

I understand my right to and I have been given the opportunity to consult with an attorney, at my own expense, before tendering this surrender of my license. I have made the decision to surrender my license to practice medicine knowingly, voluntarily, and of my own free will.

I agree to return my license and registration certificates to the Board as promptly as possible. Any failure on my part to do so does not in any way affect the validity of this surrender of my license.

Date:

Signature:

) "



H. Arthur McCulloch, MD President

Janelle A. Rhyne, MD President-Elect

George L. Saunders, III, MD Secretary

> Ralph C. Loomis, MD Treasurer

March 9, 2007

Rickie Wade Ellis, M.D. 800 Maye Boulevard Greenville, NC 27834

Dear Dr. Ellis:

This will acknowledge receipt of your Voluntary Surrender Form of March 9, 2007. The surrender of your license to practice medicine (license number 2001-01442) issued by the North Carolina Medical Board becomes a public record according to North Carolina law. You are required to reapply if you wish to reinstate your license.

Should you have questions regarding this matter, please feel free to call.

Sincerely,

R. David Henderson Executive Director

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R. David Henderson Executive Director

1203 Front Street Raleigh, North Carolina 27609-7533

Mailing: P.O. Box 20007 Raleigh, North Carolina 27619-0007

Telephone: (919) 326-1100 Fax: (919) 326-1131 Email: info@ncmedboard.org Web: www.ncmedboard.org



Donald E. Jablonski, DO President

> Janice E. Huff, MD President-Elect

William A. Walker, MD Secretary/Treasurer

R. David Henderson **Executive Director**

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TEMPORARY MEDICAL LICENSE

The North Carolina Medical Board, having reviewed pertinent data, hereby authorizes

RICKIE WADE ELLIS, M.D.

to practice medicine and surgery in the State of North Carolina under the laws of the State and the rules of this Board. (Further public records exist at the Board regarding this physician.)

License Number: 2001-01442

09/03/2010 Issue Date:

Expiration Date: 03/31/2011

R. David Henderson

R. Davil Hunderson

Executive Director

SEAL

BEFORE THE NORTH CAROLINA MEDICAL BOARD

In re:)
) CONSENT ORDER AND
Rickie Wade Ellis, M.D.,) REENTRY AND REMEDIATION
) AGREEMENT
Respondent.)

This matter is before the North Carolina Medical Board ("Board") on the application of Rickie Wade Ellis, M.D. ("Dr. Ellis") for reinstatement of his license to practice medicine in the State of North Carolina. Dr. Ellis admits, and the Board finds and concludes, that:

Whereas the Board is a body duly organized under the laws of North Carolina and is the proper party to bring this proceeding under the authority granted it in Article 1 of Chapter 90 of the North Carolina General Statutes; and

Whereas the Board first issued Dr. Ellis a license to practice medicine and surgery on November 16, 2001, license number 200101442; and

Whereas from November 16, 2001, through March 8, 2007, Dr. Ellis practiced psychiatry in the Greenville, North Carolina area; and

Whereas in March 2007, Dr. Ellis surrendered his North Carolina medical license; and

Whereas Dr. Ellis thereafter applied for reinstatement of his license to practice medicine in North Carolina on or about July 24, 2008; and

Whereas the Board thereafter, by Order for Examination dated January 29, 2009, ordered Dr. Ellis to obtain an assessment at Acumen Assessments, Inc. ("Acumen"); and

Whereas the results of the Acumen assessment indicated areas in which Dr. Ellis demonstrated need for improvement; and

Whereas Dr. Ellis has taken steps to address these areas where he demonstrated need for improvement; and

Whereas Dr. Ellis thereafter underwent an evaluation at the Center for Personalized Education for Physicians ("CPEP") from October 13 through 14, 2009; and

Whereas the CPEP assessment and evaluation contained remedial and educational recommendations that Dr. Ellis complete; and

Whereas Dr. Ellis acknowledges and agrees that the Board has jurisdiction over him and over the subject matter of this case; and

Whereas Dr. Ellis knowingly waives his right to any hearing and to any judicial review or appeal in this case; and

Whereas Dr. Ellis acknowledges that he has read and understands this Consent Order and enters into it voluntarily; and

Whereas Dr. Ellis acknowledges that he has had the advice of counsel in connection with this matter.

Now, therefore, with Dr. Ellis' consent, it is ORDERED that:

- 1. Dr. Ellis shall be issued a license to practice medicine to expire on the date shown thereon. Dr. Ellis agrees that the Board thereafter is under no obligation to extend his license to practice medicine.
- 2. Dr. Ellis will only practice medicine and perform medically related work on patients 18 years of age and older.
 - 3. Dr. Ellis will not work in a solo practice.
- 4. Dr. Ellis shall satisfactorily complete the remedial and educational recommendations embodied in the CPEP Report pursuant to a reentry and remediation plan to be administered by CPEP. It is hereby understood and agreed that Dr. Gary Leonhardt, M.D. shall be Dr. Ellis' educational preceptor and mentor for the reentry and remediation period. CPEP and Dr. Leonhardt shall provide to the Board status reports as to Dr. Ellis' progress during the reentry and remediation period at times as deemed appropriate by CPEP. Dr. Ellis may not practice medicine independently until he has completed the CPEP reentry and remediation plan and this has been confirmed in writing by CPEP.
- 5. In the event that all needs and recommendations are met and completed in the CPEP reentry and remediation plan and this has been confirmed by CPEP in writing, then Consent Order Rickie Wade Ellis, MD

 Page 3 of 8

the CPEP reentry and remediation plan shall be concluded and Dr. Ellis may practice independently. In the event that the needs and recommendations are not met in the CPEP reentry and remediation plan, then the CPEP reentry and remediation plan shall be extended as deemed necessary by Dr. Leonhardt, CPEP and the Board's Office of Medical Director.

- 6. It is specifically understood and agreed upon by all parties to this Consent Order that Dr. Leonhardt is acting solely as an agent of the Board pursuant to its powers contained in N.C. Gen. Stat. § 90-5.1. As an agent of the Board, Dr. Leonhardt shall be immune from civil liability pursuant to N.C. Gen. Stat. § 90-14(e) and § 90-14(f).
- Indemnification: Dr. Ellis agrees to and does hereby indemnify, save and hold harmless Dr. Leonhardt, in his reentry and remediation mentoring capacity, and the Board from any and all loss and damage (including court costs and reasonable attorneys' fees) arising out of a complaint, suit and/or any type of legal action against the Board and/or Dr. Leonhardt, the subject of which concerns care rendered to a patient by Dr. Ellis during Dr. Ellis' reentry and remediation period. The intent of paragraph is for Dr. Ellis to indemnify and hold harmless the Board and Dr. Leonhardt, in his reentry and remediation mentoring capacity, in the event that Dr. Ellis is sued Consent Order - Rickie Wade Ellis, MD Page 4 of 8

along with the Board and Dr. Leonhardt arising out of patient care that Dr. Ellis rendered during the reentry and remediation period.

- 8. Dr. Ellis agrees not to seek any remedy or commence any action or legal proceeding of any nature or kind against Dr. Leonhardt arising out of the performance of his good faith duties as a reentry and remediation mentor and preceptor.
- 9. Dr. Ellis shall meet with one or more members of the Board whenever requested by the Board.
- 10. Dr. Ellis shall notify the Board in writing of any change in his residence or practice address within ten (10) days of the change.
- 11. Dr. Ellis may not practice medicine and surgery outside of the scope of this Consent Order.
- 12. If Dr. Ellis fails to comply with any of the terms of this Consent Order, that failure shall constitute unprofessional conduct within the meaning of N.C. Gen. Stat. § 90-14(a)(6) and shall be grounds, after any required notice and hearing, for the Board to annul, suspend, revoke, condition, or limit Dr. Ellis' license to practice medicine or to deny any application he might make in the future or then have pending for a license.
- 13. Dr. Ellis hereby waives any requirement under any law or rule that this Consent Order be served on him.

14. Dr. Ellis shall obey all laws and he shall obey

all regulations related to the practice of medicine.

15. This Consent Order shall take effect immediately

upon its execution by Dr. Ellis, Dr. Leonhardt and the

Board, and it shall continue in effect until specifically

ordered otherwise by the Board.

16. Upon execution by Dr. Ellis, Dr. Leonhardt and

the Board, this Consent Order shall become a public record

within the meaning of Chapter 132 of the North Carolina

General Statutes and shall be subject to public inspection

and dissemination pursuant to the provisions thereof.

Additionally, it will be reported to persons, entities,

agencies and clearinghouses as required and permitted by

law including, but not limited to, the National

Practitioners Data Bank and the Health Integrity and

Protection Data Bank.

By Order of the North Carolina Medical Board this the

3rd day of September, 2010.

NORTH CAROLINA MEDICAL BOARD

Bu

Donald E. Jablonski, D.O.

President

Consented to this the 3 day of Sylenber, 2010.

Rickie Wade Ellis, M.D.

State of North Carolina

P.H. County

I, Louish Brown, a Notary Public for the above named County and State, do hereby certify that Rickie Wade Ellis, M.D. personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal this the 300 day of September , 2010.

Lagrach Brown

Notary Public (SEAL)

My commission expires: Joseph 26, 2012

LaNeisha Brown Netary Public Pitt County North Carolina mission Expires 11/26/2012 Consented to this the 3 day of September, 2010.

Gary Leonhardt, M.D.
As Agent of The North Carolina Medical Board

State of North Carolina

Pett County

I, Alithary Moure, a Notary Public for the above named County and State, do hereby certify that Gary Leonhardt, M.D. personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal this the 3 day of September, 2010.

My commission expires: Moure 1, 2010.

LaNeight Brown Notary Public (SEAL)

My commission expires: Moure 1, 2007.

LaNeight Brown Notary Public (SEAL)

My Commission expires: Moure 1, 2007.



NORTH CAROLINA MEDICAL BOARD

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Telephone: (919) 326-1100 Fax: (919) 326-1131 Email: info@ncmedboard.org Web: www.ncmedboard.org ELLIS, Rickie W., MD Greenville, NC

3/17/11 Board Action: Issue full license

BEFORE THE NORTH CAROLINA MEDICAL BOARD

In re:)	
)	
Rickie Wade Ellis, M.D.,)	ORDER
)	
Respondent.)	

This matter is before the North Carolina Medical Board (hereafter "Board") regarding Rickie Wade Ellis, M.D., (hereafter "Dr. Ellis"), holder of medical license number 200101442.

Whereas, Dr. Ellis and the Board previously entered into a Consent Order, effective September 3, 2010, (hereafter "Consent Order"); and

Whereas, Dr. Ellis met with members of this Board on March 22, 2012 and requested relief of the September 2010 Consent Order; and

Whereas, the Board, with Dr. Ellis' consent, amends the Consent Order to the following effect: all terms and conditions in the numbered paragraphs of the Consent Order previously entered into by the Board and Dr. Ellis shall no longer remain in effect; however, enumerated paragraph two (2) of the Consent Order will remain in full force and effect.

By Order of the Board this $19^{\rm th}$ day of April, 2012.

NORTH CAROLINA MEDICAL BOARD

By:

Ralph C. Loomis, M.D.

President

BEFORE THE NORTH CAROLINA MEDICAL BOARD

In re:)	
)	
Rickie Wade Ellis, M.D.,)	ORDER
)	
Respondent.)	

This matter is before the North Carolina Medical Board ("Board") regarding Rickie Wade Ellis, M.D. ("Dr. Ellis"), holder of medical license number 200101442.

Whereas Dr. Ellis and the Board previously entered into a Consent Order and Reentry and Remediation Agreement, effective September 3, 2010 ("Consent Order") and a subsequent Order, effective April 19, 2012; and

Whereas the Board believes that the Consent Order and Order are no longer necessary to ensure it and the people of North Carolina that Dr. Ellis can safely practice medicine;

Now, therefore, the Board hereby Orders that the terms and conditions in the numbered paragraphs of the Consent Order and Order previously entered into by the Board and Dr. Ellis shall no longer remain in effect, and Dr. Ellis is hereby relieved of any continuing obligations thereunder, except those regarding the public nature of such Consent Order and Order.

By Order of the Board this the 3rd day of April, 2013.

NORTH CAROLINA MEDICAL BOARD

By:

William A. Walker, M.D.

President