# BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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In The Matter of Charges and	
)	Case No. 11-12102-1
Complaint Against )	FILED
RICHARD R. HORTON, M.D.,	JUN - 7 2011
Respondent. )	NEVADA STATE BOARD OF MEDICAL EXAMINERS By:

# **COMPLAINT**

The Investigative Committee of the Nevada State Board of Medical Examiners (Board), composed of Charles N. Held, M.D., Theodore B. Berndt, M.D. and Valerie J. Clark, BSN, RHU, LUTCF, by and through Edward O. Cousineau, J.D., Deputy Executive Director for the Board and counsel for the Investigative Committee, having a reasonable basis to believe that Richard R. Horton, M.D., hereinafter referred to as "Respondent", has engaged in conduct that is grounds for discipline pursuant to the provisions of NRS Chapter 630, hereby alleges, charges and complains against said Respondent as follows:

- 1. Respondent was licensed in active status to practice medicine in the state of Nevada on February 23, 1998 by the Board pursuant to the provisions of Chapter 630 of the Nevada Revised Statutes, and at all times addressed herein was so licensed.
- 2. Respondent indicated specialty with the Board is Psychiatry with his practice address located in Las Vegas.
- 3. The true identity of Patient A, who is the subject of the underlying allegations, is not disclosed herein to protect his privacy, but is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 4. From approximately May of 2005 to September of 2007, Patient A was prescribed various types of controlled substances by Respondent. During this timeframe, Respondent

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prescribed these controlled substances without creating or maintaining any medical documentation to support his prescribing practices for Patient A.

### **COUNT I**

- 5. All of the above paragraphs are incorporated by reference as though fully set forth herein.
- 6. Section 630.3062(1) of the Nevada Revised Statutes (NRS) provides that failure to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of a patient, is grounds for initiating disciplinary action.
- 7. Respondent violated NRS 630.3062(1) when he failed to maintain medical records related to Patient A and is subject to discipline by the Nevada State Board of Medical Examiners as provided in NRS 630.352.

# WHEREFORE, the Investigative Committee prays:

- 1. That the Nevada State Board of Medical Examiners fix a time and place for a formal hearing;
- That the Nevada State Board of Medical Examiners gives Respondent notice of the charges herein against him, the time and place set for the hearing, and the possible sanctions against him;
- 3. That the Board determine what sanctions it deems appropriate to impose for the violation committed by Respondent; and
- 4. That the Board make, issue and serve on Respondent its findings of facts, conclusions of law and order, in writing, that includes the sanctions imposed.

DATED this **4** day of June, 2011.

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

Edward O. Cousineau, J.D.

Attorney for the Investigative Committee of

The Nevada State Board of Medical Examiners

# OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502 (775) 688-2559

# VERIFICATION

STATE OF NEVADA	)
COUNTY OF DOUGLAS	: ss. )

CHARLES N. HELD, M.D., having been duly sworn, hereby deposes and states under penalty of perjury that he is the Chairman of the Investigative Committee of the Nevada State Board of Medical Examiners that investigated the complaint against Respondent herein; that he has read the foregoing Complaint; and that based upon the results of the Investigative Committee's investigation into a complaint against Respondent, the allegations and charges in the foregoing Complaint against Respondent are true, accurate and correct.

Dated this 7th day of June, 2011.

CHARLES N. HELD, M.D.

# OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502 (775) 688-2559

## **CERTIFICATE OF MAILING**

I hereby certify that I am employed by Nevada State Board of Medical Examiners and that on 7<sup>th</sup> day of June 2011, I served a file copy of the COMPLAINT, PATIENT DESIGNATION, ORIGINAL SETTLEMENT AGREEMENT, & Fingerprint Information by mailing via USPS certified return receipt mail to the following:

Stephen K. Lewis, Esq. Patti, Sgro & Lewis 720 S. 7<sup>th</sup> Street, Ste. 300 Las Vegas, NV 89101

Dated this 7<sup>th</sup> day of June 2011.

Angelia L. Donohoe Legal Assistant

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# BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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The Matter of Charges and	)	
	)	Case No. 11-12102-1
mplaint Against	1	

RICHARD R. HORTON, M.D.,

Respondent.

**FILED** 

MAR 1 2 2012

**NEVADA STATE BOARD OF** 

# SETTLEMENT AGREEMENT

THIS AGREEMENT is entered into by and between the Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board), composed of Theodore B. Berndt, M.D., Valerie J. Clark, BSN, RHU, LUTCF, and Michael J. Fischer, M.D., by and through Edward O. Cousineau, J.D., Deputy Executive Director for the Board and counsel for the IC, and Richard R. Horton, M.D. (hereinafter Respondent), by and through his counsel, Stephen K. Lewis, Esq., as follows:

WHEREAS, on or about June 7, 2011, the Board's IC filed a formal complaint in the above-referenced matter charging Respondent of engaging in conduct that is grounds for discipline under Chapter 630 of the Nevada Revised Statutes (NRS), to wit: a one count violation of NRS 630.3062(1); and

WHEREAS, Respondent has received and reviewed a copy of the complaint, understands it. and has consulted with competent counsel, Stephen K. Lewis, Esq., concerning the nature and significance of the complaint, and Respondent is fully advised concerning his rights and defenses to the complaint as well as the possible sanctions that may be imposed if the Board finds and concludes that he has engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act (NRS Chapter 630); and

WHEREAS, Respondent understands and agrees that he has certain rights under the United States Constitution and the Constitution of the state of Nevada, as well as under the Medical Practice Act and the Nevada Administrative Procedures Act (NRS Chapter 233B), including but not limited to the right to a formal hearing on the charges against him, the right to representation by counsel in the preparation and presentation of his defense, the right to confrontation and cross-examination of witnesses against him, the right to written findings, conclusions and order regarding a final decision by the Board, and the right to judicial review of any final decision by the Board that is adverse to him; and

WHEREAS, Respondent, based on his understanding of the relevant facts and circumstances, and subject to the conditions set forth in this Agreement, desires to waive all of his rights under the United States Constitution, the Constitution of the state of Nevada, the Medical Practice Act and the Nevada Administrative Procedures Act, including but not limited to the right to a hearing on the charges and written findings of fact, conclusions of law and order, and he desires to settle and resolve the matter of the formal complaint against him by way of and in accordance with this Settlement, Waiver and Consent Agreement; and

WHEREAS, Respondent understands and agrees that this Agreement is entered into by and between himself and the Board's IC, and not with the Board, but that the IC will present this Agreement to the Board for consideration in open session at a regular meeting duly noticed and scheduled, and that the IC will advocate approval of this Agreement by the Board, but that the Board has the right to decide in its own discretion whether or not to approve this Agreement; and

WHEREAS, Respondent understands and agrees that if the Board approves the terms, covenants and conditions of this Agreement, then the terms, covenants and conditions enumerated below shall be binding and enforceable upon him; and

WHEREAS, Respondent understands and agrees that, if the Board does not approve the terms, covenants and conditions of this Agreement, then the terms, covenants and conditions enumerated below shall not be binding and enforceable upon him except the provisions as to disqualification of adjudicating panel members in paragraph number 9, and he will be provided with

 an opportunity to defend himself against the charges against him at a regularly scheduled hearing in accordance with all applicable laws.

**NOW THEREFORE**, in order to resolve the pending complaint and charges brought against him by the Board's Investigative Committee in the above-captioned matter, Respondent and the IC hereby agree to the following terms, covenants and conditions:

- 1. <u>Jurisdiction</u>. Respondent is, and at all times mentioned in the complaint filed in the above-captioned matter was, a physician licensed to practice medicine in the state of Nevada, subject to jurisdiction of the Board to hear and adjudicate charges of violations of the Medical Practice Act, and to impose sanctions as provided by the Act.
- 2. Representation by Counsel. Respondent is represented by counsel herein, whom Respondent covenants and agrees is fully capable, competent and fully advised in these circumstances, and Respondent further covenants and agrees that he enters into this Agreement knowingly, willingly, and intelligently after full consultation with and upon the advice of counsel.
- Waiver of Rights. In connection with this Agreement, and the terms, covenants and conditions contained herein, Respondent knowingly, willingly and intelligently, with the advice of above-identified counsel, waives all rights arising under or pursuant to the United States Constitution, the Constitution of the state of Nevada, NRS Chapter 630 and NRS Chapter 233B that may be available to him or that may apply to him in connection with the proceeding on the complaint filed herein, the defense of said complaint, the adjudication of the charges in said complaint and the imposition of sanctions, and Respondent further agrees that the matter of the disciplinary action commenced by complaint herein may be settled and resolved in accordance with this Agreement without a hearing or any further proceeding and without the right to judicial review.
- 4. Acknowledgement of Reasonable Basis to Proceed. Respondent covenants and agrees that the Board's IC has a reasonable basis to believe that Respondent engaged in one or more instances of conduct that is grounds for discipline pursuant to the provisions of the Medical Practice Act.
- 5. <u>Consent to Entry of Order</u>. In order to resolve the matter of these disciplinary proceedings pending against him without any further costs and expense of providing a defense to the

complaint, Respondent hereby agrees and does not contest that an order may be entered herein by the Board against him finding that Respondent engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act, to wit: one count of failing to maintain timely and complete medical records related to his care and treatment of the patient at issue in the associated underlying complaint, a violation of NRS 630.3062(1), that Respondent shall perform a minimum of seventy-five (75) hours of community service, which is to be approved by the Board prior to the accomplishment of the community service, within nine (9) months of acceptance, adoption and approval of this Agreement by the Board, and lastly, that the Respondent agrees to reimburse the Board the reasonable costs and expenses incurred in the investigation and prosecution of this case, the current amount being \$1,204.70. This amount does not include the further costs that may be incurred by the Board to conclude the matter. Respondent agrees to pay these additional costs, in addition to the aforementioned amount, to the Board within sixty (60) days of the acceptance, adoption and approval of this Agreement by the Board.

- 6. Release From Liability. In execution of this Settlement Agreement, the Respondent, for himself, his executors, successors and assigns, hereby releases and forever discharges the state of Nevada, the Board, the Nevada Attorney General, and each of their members, agents and employees in their individual and representative capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has, may have or claim to have, against any or all of the persons or entities named in this paragraph arising out of or by reason of this investigation, this disciplinary action, this settlement or its administration.
- 7. Procedure for Adoption of Agreement. The IC and counsel for the IC shall recommend approval and adoption of the terms, covenants and conditions contained herein by the Board in resolution of the disciplinary proceedings pending herein against Respondent pursuant to the formal complaint. In the course of seeking Board approval, adoption and/or acceptance of this Agreement, counsel for the IC may communicate directly with the Board staff and members of the panel of the Board that would adjudicate this case if it were to go to hearing. Respondent covenants and agrees that such contacts and communication may be made or conducted ex parte, without notice or opportunity to be heard on his part or on the part of his counsel, and that such contacts

 and communications may include, but not be limited to, matters concerning this Agreement, the complaint, the allegations in the complaint any and all evidence that may exist in support of the complaint, and any and all information of every nature whatsoever related to the complaint or the proceedings herein against Respondent.

- 8. <u>Effect of Acceptance of Agreement by Board</u>. In the event the Board approves, accepts and adopts the terms, covenants and conditions set out in this Agreement, the Board will enter herein an order finding Respondent violated NRS 630.3062(1), ordering that Respondent perform the community service as provided herein, and ordering Respondent to pay the costs and expenses of the investigation and prosecution of this matter as provided herein.
- 9. Effect of Rejection of Agreement by Board. In the event the Board does not approve, accept and adopt the terms, covenants and conditions set out in this Agreement, this Agreement shall be null, void and of no further force and effect except as to the following covenant and agreement regarding disqualification of adjudicating Board panel members. Respondent agrees that, not withstanding rejection of this Agreement by the Board, nothing contained herein and nothing that occurs pursuant to efforts of the IC or its counsel to seek acceptance and adoption of this Agreement by the Board shall disqualify any member of the adjudicating panel of the Board from considering the charges against Respondent and participating in the disciplinary proceedings in any role, and Respondent further agrees that he shall not seek to disqualify any such member.
- 10. <u>Binding Effect</u>. Respondent covenants and agrees that this Agreement is a binding and enforceable contract upon Respondent and the Board's IC, which contract may be enforced in a court or tribunal having jurisdiction. Additionally, upon receipt of credible information that Respondent has failed to comply with any term or condition of the aforementioned order, the Board shall be authorized to immediately suspend Respondent's license to practice medicine until Respondent complies with the term or condition. Further, failure to comply with the terms recited herein may result in additional disciplinary action being initiated against Respondent for a violation of an Order of the Board in accordance with NRS 630.3065(2)(a). And, any failure to pay any fine, fee, or cost ordered herein may also result in such legal action as determined to be necessary to collect the unpaid fine, fee, or cost.

- 11. **Forum Selection Clause.** Respondent covenants and agrees that in the event either party is required to seek enforcement of this Agreement in the district court, he consents to such jurisdiction, and covenants and agrees that exclusive jurisdiction shall be in the Second Judicial District Court of the State of Nevada in and for the County of Washoe.
- 12. <u>Attorneys Fees and Costs</u>. Respondent covenants and agrees that in the event an action is commenced in the district court to enforce any provision of this Agreement the prevailing party shall be entitled to recover reasonable costs and attorneys' fees.

Dated this / day of / elim, 2011.	Dated this, 201
By: Ell ai	By:
Edward O. Cousineau, J. D. Attorney for the Investigative Committee	Stephen K. Lewis, Esq. Attorney for Respondent

UNDERSTOOD AND AGREED:

Richard R. Horton, M.D., Respondent

Dated this & day of February, 2011.

IT IS HEREBY ORDERED that the foregoing Settlement, Waiver and Consent Agreement is approved and accepted by the Nevada State Board of Medical Examiners on the 9<sup>th</sup> day of March 2012, with the final total amount of costs due of \$1,204.70.

Benjamin J. Rodriguez, M.D., President
NEVADA STATE BOARD OF MEDICAL EXAMINERS

Nevada State

# **Board of Medical Examiners**





Attention MDs: In-Office
Surgery/Procedure Reporting Forms for
2011-2012 Must Be Submitted Prior to
Renewing Your License in 2013. Click
Here for Instructions and Forms...

Licensee Lookup

Sunday February 3rd 2013 Page Last Updated: 12/19/12 12:53:34 PM

HORTON, RICHARD, M.D. License No. 8545

12/11/2012

Case No. 10-18975-1

Dr. Horton completed all terms of the Settlement, Waiver and Consent Agreement filed March 12, 2012, as of December 10, 2012.

### 03/12/2012

Case No. 11-12102-1

On March 9, 2012, The Nevada State Board of Medical Examiners approved, accepted and adopted a Settlement Agreement which allowed for an order to be entered finding Dr. Horton violated NRS 630.3062(1), as set forth in the Complaint, and ordering that Dr. Horton perform a minimum of 75 hours of community service and reimburse the Board its reasonable costs and expenses incurred in the investigation and prosecution of the case.

### 06/07/2011

Case No. 11-12102-1

The Investigative Committee of the Nevada State Board of Medical Examiners filed a formal Complaint against Dr. Horton, charging him with a violation of NRS 630.3062(1), for failure to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of a patient.

## Nevada State Board of Medical Examiners 1105 Terminal Way, Suite 301 Reno, Nevada 89502 E-mail: nsbme@medboard.nv.gov

Phone: (775) 688-2559 Fax: (775) 688-2321 from any other area of Nevada, call toll-free: (888) 890-8210 Mailing Address: P.O. Box 7238, Reno, NV 89510 Board of Medical Examiners E-mail:nsbme@medboard.nv.gov

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Upcoming Meetings
Next Quarterly Board Meeting:
March 8, 2013
Agenda will be posted by
February 25, 2013

Calendar of Events

Community Outreach Program

Click for Information

Nevada Medicaid EHR Incentive Progam

Click here for information on the Nevada Department of Health and Human Services, Division of Health Care Financing and Policy's Provider Incentive Program for Electronic Health Records (EHRs).

Safe Injection Practices Coalition



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