



New York State Board for Professional Medical Conduct

433 River Street, Suite 303 Troy, New York 12180-2299 • (518) 402-0863

Dennis P. Whalen
Executive Deputy Commissioner of Health
Anne F. Salle, Director
Office of Professional Medical Conduct
William J. Comiskey, Chief Counsel
Bureau of Professional Medical Conduct

William P. Dillon, M.D.
Chair
Denise M. Bolan, R.P.A.
Vice Chair
Ansel R. Marks, M.D., J.D.
Executive Secretary

December 21, 1998

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Kenneth Jay Schwartz, M.D.
29 Garden Place
Brooklyn, New York 11201

RE: License No. 101772

Dear Dr. Schwartz:

Enclosed please find Order #BPMC 98-305 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect **December 21, 1998.**

If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order.

Board for Professional Medical Conduct
New York State Department of Health
Hedley Park Place, Suite 303
433 River Street
Troy, New York 12180

If the penalty imposed by the Order is a fine, please write the check payable to the New York State Department of Health. Noting the BPMC Order number on your remittance will assist in proper crediting. Payments should be directed to the following address:

Bureau of Accounts Management
New York State Department of Health
Corning Tower, Room 1315
Empire State Plaza
Albany, New York 12237

Sincerely,



Ansel R. Marks, M.D., J.D.
Executive Secretary
Board for Professional Medical Conduct

Enclosure

cc: Jonathan Marks, Esq.
350 Fifth Avenue
Suite 7912
New York, New York 10118

Terrence Sheehan, Esq.

NEW YORK STATE DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
KENNETH JAY SCHWARTZ, M.D.

CONSENT
AGREEMENT
AND
ORDER

BPMC #98-305

STATE OF NEW YORK)
COUNTY OF *Kings*) so.:

KENNETH SCHWARTZ, M.D., (Respondent) being duly sworn, deposes and says:

That on or about July 12, 1963, I was licensed to practice as a physician in the State of New York, having been issued License No. 101772 by the New York State Education Department.

My current address is 29 Garden Place, Brooklyn, N.Y. 11201, and I will advise the Director of the Office of Professional Medical Conduct of any change of my address.

I understand that the New York State Board for Professional Medical Conduct has charged me with specifications of professional misconduct.

A copy of the Statement of Charges is annexed hereto, made a part hereof, and marked as Exhibit "A".

I admit guilt to the First Specification contained in the Statement of Charges. I hereby agree to the following penalty:

My license to practice medicine in New York State will be suspended for three years; said suspension will be stayed and I will be placed on probation for three years under the conditions contained in the attached Terms of Probation, marked Exhibit "B". In addition, I will pay a

civil penalty Thirty Five Thousand Dollars (\$35,000)
payable within 30 days of service upon me of the within
Order.

I further agree that the Consent Order for which I hereby apply
shall impose the following conditions:

That except during periods of actual suspension,
Respondent shall maintain current registration of
Respondent's license with the New York State
Education Department Division of Professional
Licensing Services, and pay all registration fees. This
condition shall be in effect beginning thirty days after the
effective date of the Consent Order and continuing at all
times thereafter; and

That Respondent shall fully cooperate in every respect with
the Office of Professional Medical Conduct (OPMC) in its
administration and enforcement of this Order and in its
investigation of all matters regarding Respondent.
Respondent shall respond in a timely manner to each and
every request by OPMC to provide written periodic verification
of Respondent's compliance with the terms of this Order.
Respondent shall meet with a person designated by the
Director of OPMC as directed. Respondent shall respond
promptly and provide any and all documents and information
within Respondent's control upon the direction of OPMC. This
condition shall be in effect beginning upon the effective date of

the Consent Order and at all times thereafter.

I hereby stipulate that any failure by me to comply with such conditions shall constitute misconduct as defined by New York State Education Law §6530(29)(McKinney Supp 1998).

I agree that in the event I am charged with professional misconduct in the future, this agreement and order shall be admitted into evidence in that proceeding.

I hereby make this Application to the State Board for Professional Medical Conduct (the Board) and request that it be granted.

I understand that, in the event that this Application is not granted by the Board, nothing contained herein shall be binding upon me or construed to be an admission of any act of misconduct alleged or charged against me, such Application shall not be used against me in any way and shall be kept in strict confidence during the pendency of the professional misconduct disciplinary proceeding; and such denial by the Board shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board pursuant to the provisions of the Public Health Law.


I agree that, in the event the Board grants my Application, as set forth herein, an order of the Chairperson of the Board shall be issued in accordance with same. I agree that such order shall be effective upon issuance by the Board, which may be accomplished by mailing, by first class mail, a copy of the Consent Order to me at the address set forth in this agreement, or to my attorney, or upon transmission via facsimile to me or my attorney, whichever is earliest.

I am making this Application of my own free will and accord and not under duress, compulsion or restraint of any kind or manner. In consideration of the value to me of the acceptance by the Board of this Application, allowing me to

resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive any right I may have to contest the Consent Order for which I hereby apply, whether administratively or judicially, and ask that the Application be granted.

AFFIRMED:

DATED 11/25/98


KENNETH JAY SCHWARTZ, M.D.
RESPONDENT


The undersigned agree to the attached application of the Respondent and to the proposed penalty based on the terms and conditions thereof.

DATE: 11/25/98



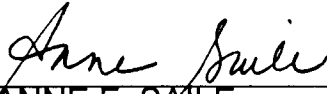
JONATHAN MARKS, ESQ.
Attorney for Respondent

DATE: 12/7/98



TERRENCE SHEEHAN
Associate Counsel
Bureau of Professional
Medical Conduct

DATE: 12/10/98



ANNE F. SAILE
Director
Office of Professional
Medical Conduct

NEW YORK STATE DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
KENNETH JAY SCHWARTZ, M.D.

CONSENT
ORDER

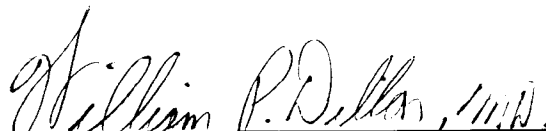
Upon the proposed application of KENNETH JAY SCHWARTZ, M.D. (Respondent) for Consent Order, which application is made a part hereof, it is agreed to and

ORDERED, that the application and the provisions thereof are hereby adopted and so ORDERED, and it is further

ORDERED, that this order shall be effective upon issuance by the Board, which may be accomplished by mailing, by first class mail, a copy of the Consent Order to Respondent at the address set forth in this agreement or to Respondent's attorney by certified mail, or upon transmission via facsimile to Respondent or Respondent's attorney, whichever is earliest.

SO ORDERED.

DATED: 12/10/98


WILLIAM P. DILLON, M.D.
Chair
State Board for Professional
Medical Conduct

IN THE MATTER
OF
KENNETH JAY SCHWARTZ, M.D.

STATEMENT
OF
CHARGES

KENNETH JAY SCHWARTZ, M.D., the Respondent, was authorized to practice medicine in New York State on or about July 12, 1998, by the issuance of license number 101772 by the New York State Education Department.

FACTUAL ALLEGATIONS

- A. Between on or about December 13, 1994, and on or about June 9, 1998, the Respondent, a psychiatrist, treated Patient A for personality changes, depression and anxiety at his office located at 29 Garden Place, Brooklyn, New York.
1. On or about April 23, 1997, the Respondent prescribed Risperdal 3 mg. for Patient A. On or about February 17, 1998, the Respondent discontinued patient on Risperdal and prescribed Zyprexa 10 mg. The Respondent failed to document any rationale justifying this change in anti-psychotic medication.
- B. Between on or about December 13, 1994 and on about June 18, 1998, the Respondent treated Patient B for depression and anxiety at his medical office.
1. On or about December 13, 1994, the Respondent noted that

Patient B had developed a Major Depression.

2. Respondent diagnosed Patient B's condition as Adjustment Disorder with anxiety and depression, chronic type. In so doing, Respondent failed to properly diagnose Patient B's mental condition

SPECIFICATION OF CHARGES

FIRST SPECIFICATION

NEGLIGENCE ON MORE THAN ONE OCCASION

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(3)(McKinney Supp. 1998) by practicing the profession of medicine with negligence on more than one occasion as alleged in the facts of the following paragraphs:

1. A and A(1) and B and B(1), B(2).

DATED: December ,1998
New York, New York

ROY NEMERSON
Deputy Counsel
Bureau of Professional Medical
Conduct

EXHIBIT "B"

Terms of Probation

1. Respondent shall conduct himself/herself in all ways in a manner befitting his/her professional status, and shall conform fully to the moral and professional standards of conduct and obligations imposed by law and by his/her profession.
2. Respondent shall submit written notification to the New York State Department of Health addressed to the Director of the Office of Professional Medical Conduct, New York State Department of Health, 433 River Street, Suite 303, Troy, NY 12180-2299; said notice is to include a full description of any employment and practice, professional and residential addresses and telephone numbers within or without New York State, and any and all investigations, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility, within thirty days of each action.
3. Any civil penalty not paid by the date prescribed herein shall be subject to all provisions of law relating to debt collection by New York State. This includes but is not limited to the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law section 171(27)]; State Finance Law section 18; CPLR section 5001; Executive Law section 32].
4. The period of probation shall be tolled during periods in which Respondent is not engaged in the active practice of medicine in New York State. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in or intends to leave the active practice of medicine in New York State for a period of thirty (30) consecutive days or more. Respondent shall then notify the Director again prior to any change in that status. The period of probation shall resume and any terms of probation which were not fulfilled shall be fulfilled upon Respondent's return to practice in New York State.
5. Respondent's professional performance may be reviewed by the Director of OPMC. This review may include, but shall not be limited to, a review of office records, patient records and/or hospital charts, interviews with or periodic visits with Respondent and his/her staff at practice locations or OPMC offices.
6. Respondent shall maintain legible and complete medical records which accurately reflect the evaluation and treatment of patients. The medical records shall contain all information required by State rules and regulations regarding controlled substances.
7. Respondent shall comply with all terms, conditions, restrictions, limitations and penalties to which he or she is subject pursuant to the Order and shall assume and bear all costs related to compliance. Upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding and/or any such other proceeding against Respondent as may be authorized pursuant to the law.