



STATE OF NEW YORK  
DEPARTMENT OF HEALTH

433 River Street, Suite 303

Troy, New York 12180-2299

Antonia C. Novello, M.D., M.P.H., Dr.P.H.  
*Commissioner*

Dennis P. Whalen  
*Executive Deputy Commissioner*

February 6, 2001

**CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

Robert Bogan, Esq.  
Paul Robert Maher, Esq.  
NYS Department of Health  
433 River Street – 4<sup>th</sup> Floor  
Troy, New York 12180

Amy T. Kulb, Esq.  
Jacobson and Goldberg, LLP  
585 Stewart Avenue  
Garden City, New York 11530

Augusto G. Moreano, M.D.  
10 Julia Circle  
Dix Hills, New York 11746

Augusto G. Moreano, M. D.  
40-38 75<sup>th</sup> Street  
Elmhurst, New York 11373

**RE: In the Matter of Augusto G. Moreano, M.D.**

Dear Parties:

Enclosed please find the Determination and Order (No.01-30) of the Hearing Committee in the above referenced matter. This Determination and Order shall be deemed effective upon the receipt or seven (7) days after mailing by certified mail as per the provisions of §230, subdivision 10, paragraph (h) of the New York State Public Health Law.

As prescribed by the New York State Public Health Law §230, subdivision 10, paragraph (i), and §230-c subdivisions 1 through 5, (McKinney Supp. 1992), "the determination of a committee on professional medical conduct may be reviewed by the Administrative Review Board for professional medical conduct." Either the licensee or the Department may seek a review of a committee determination.

All notices of review must be served, by certified mail, upon the Administrative Review Board and the adverse party within fourteen (14) days of service and receipt of the enclosed Determination and Order.

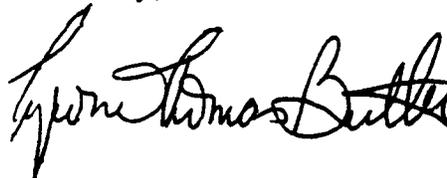
The notice of review served on the Administrative Review Board should be forwarded to:

James F. Horan, Esq., Administrative Law Judge  
New York State Department of Health  
Bureau of Adjudication  
Hedley Park Place  
433 River Street, Fifth Floor  
Troy, New York 12180

The parties shall have 30 days from the notice of appeal in which to file their briefs to the Administrative Review Board. Six copies of all papers must also be sent to the attention of Mr. Horan at the above address and one copy to the other party. The stipulated record in this matter shall consist of the official hearing transcript(s) and all documents in evidence.

Parties will be notified by mail of the Administrative Review Board's Determination and Order.

Sincerely,

A handwritten signature in black ink, appearing to read "Tyrone T. Butler". The signature is written in a cursive style with a large initial 'T'.

Tyrone T. Butler, Director  
Bureau of Adjudication

TTB:cah  
Enclosure

STATE OF NEW YORK : DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

**COPY**

DETERMINATION

AND

ORDER

BPMC #01-30

IN THE MATTER  
OF  
AUGUSTO G. MOREANO, M.D.

A Notice of Referral Proceeding and Statement of Charges, both dated, October 17, 2000, were served upon the Respondent, **AUGUSTO G. MOREANO, M.D.**

**TERESA S. BRIGGS, M.D., Ph.D.**, Chairperson, **RICHARD KASULKE, M.D.** and **MR. IRVING CAPLAN**, duly designated members of the State Board for Professional Medical Conduct, served as the Hearing Committee in this matter pursuant to Section 230(10)(e) of the Public Health Law. **MICHAEL P. MCDERMOTT, ESQ.**, Administrative Law Judge, served as the Administrative Officer.

A hearing was held on January 17, 2001, at the Offices of the New York State Department of Health, Hedley Park Place, 433 River Street, Troy, New York. The Department appeared by **DONALD P. BERENS, JR., ESQ.**, General Counsel, by **ROBERT BOGAN, ESQ.**, and **PAUL ROBERT MAHER, ESQ.**, of Counsel. The Respondent appeared in person was represented by **JACOBSON AND GOLDBERG, LLP**, 585 Stewart Ave., Garden City, N.Y. 11530, by **AMY T. KULB, ESQ.**, of Counsel.

Evidence was received and transcripts of these proceeding were made.

After consideration of the entire record, the Hearing Committee issues this Determination and Order.

**STATEMENT OF CASE**

This case was brought pursuant to Public Health Law Section 230(10)(p). The statute provides for an expedited hearing where a licensee is charged solely with a violation of Education Law Section 6530(9). In such case, a licensee is charged with misconduct based upon a prior criminal conviction in New York or another jurisdiction, or upon a prior administrative adjudication regarding conduct which would amount to professional misconduct, if committed in New York. The scope of an expedited hearing is limited to a determination of the nature and severity of the penalty to be imposed upon the licensee.

In the instant case, the Respondent is charged with professional misconduct pursuant to Education Law Section 6530(9)(a)(i). A copy of the Notice of Referral Proceeding and the Statement of Charges is attached to this Determination and Order as Appendix 1.

**WITNESSES**

For the Petitioner:

None

For the Respondent:

Augusto G. Moreano, M.D.,  
the Respondent

## FINDINGS OF FACT

The following Findings of Fact were made after a review of the entire record in this matter. Numbers in parenthesis refer to transcript page numbers or exhibits. These citations represent evidence found persuasive by the Hearing Committee in arriving at a particular finding. Conflicting evidence, if any, was considered and rejected in favor of the cited evidence. All Hearing Committee findings were unanimous unless otherwise stated.

1. **AUGUSTO G. MOREANO, M.D.**, the Respondent, was authorized to practice medicine in New York State on April 13, 1966, by the issuance of license number 096350 by the New York State Education Department. (Pet's. Ex. 4)

2. On July 26, 1999, in the Supreme Court, State of New York, County of Queens, the Respondent was found guilty of offering a false instrument for filing in the second degree in violation of New York Penal Law, §175.30, a class A misdemeanor, and was sentenced to a one year conditional discharge and \$50,000.00 in restitution, civil penalties and costs. (Pet's. Ex. 5)

### VOTE OF THE HEARING COMMITTEE (All Votes Were Unanimous Unless Otherwise Specified)

#### SPECIFICATION

Respondent is charged with professional misconduct by reason of his having violated New York Education Law §6530(9)(a)(i) by reason of his being convicted of an act constituting a crime under New York State law.

**VOTE: SUSTAINED (3-0)**

## HEARING COMMITTEE DETERMINATION

The record in this case indicates that on July 26, 1999, in the Supreme Court of the State of New York, County of Queens, the Respondent was found guilty of offering a false instrument for filing in the second degree in violation of New York Penal Law §175.30, a class A misdemeanor, and was sentenced to a one year conditional discharge and \$50,000 in restitution , civil penalties and costs.

The Respondent testified at the instant hearing and was a credible witness.

There are some mitigating factors in the record which the Hearing Committee has taken into consideration in determining the nature and severity of the penalty to be imposed upon the Respondent:

- The charges against the Respondent do not reflect on his competence as a physician.
- The current charges are the only charges against the Respondent in an otherwise unblemished record.
- The Respondent has taken corrective actions and has retained a professional biller.
- The Hearing Committee is convinced that the Respondent is truly remorseful regarding these charges.
- The Hearing Committee is convinced that there will be no repetition of this conduct by the Respondent.

The Hearing Committee determines than an appropriate penalty in this case would be to SUSPEND the Respondent's license for a period of one (1) year, stay said

suspension, and place the Respondent on probation for a period of one (1) year under terms and conditions as specified hereinafter in the ORDER.

**ORDER**

**IT IS HEREBY ORDERED THAT:**

1. The Respondent's license to practice medicine in the State of New York is **SUSPENDED** for one (1) year, **SUSPENSION STAYED**, and the Respondent is placed on probation for one (1) year under terms and conditions as follows:

- Respondent shall conduct himself in all ways in a manner befitting his professional status, and shall conform fully to the moral and professional standards of conduct and obligations imposed by law and by his profession. Respondent acknowledges that if he commits professional misconduct as enumerated in New York State Education Law §6530 or §6531, those acts shall be deemed to be a violation of probation and that an action may be taken against Respondent's license pursuant to New York State Public Health Law §230(19).
- Respondent shall submit written notification to the New York State Department of Health addressed to the Director, Office of Professional Medical Conduct (OPMC), Hedley Park Place, 433 River Street, Suite 303, Troy, New York 12180-2299; said notice is to include a full description of any employment and practice, professional and residential addresses and telephone numbers within or without New York State, and any and all

investigations, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility, within thirty days of each action.

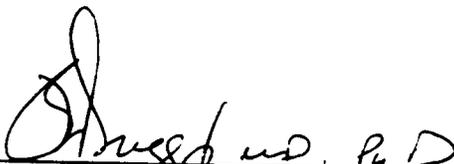
- Respondent shall fully cooperate with and respond in a timely manner to requests from OPMC to provide written periodic verification of Respondent's compliance with the terms of this Order. Respondent shall personally meet with a person designated by the Director of OPMC as requested by the Director.
- The period of probation shall be tolled during periods in which Respondent is not engaged in the active practice of medicine in New York State. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in or intends to leave the active practice of medicine in New York State for a period of thirty (30) consecutive days or more. Respondent shall then notify the Director again prior to any change in that status. The period of probation shall resume and any terms of probation which were not fulfilled shall be fulfilled upon Respondent's return to practice in New York State.
- Respondent's professional performance may be reviewed by the Director of OPMC. This review may include, but shall not be limited to, a review of office records, including billing records, patient records and/or hospital charts, interviews with or periodic visits with Respondent and his staff at practice locations or OPMC offices.
- Respondent shall maintain legible and complete medical records which accurately reflect the evaluation and treatment of patients. The medical records shall contain all information required by State rules and regulations regarding controlled substances.

- Respondent shall comply with all terms, conditions, restrictions, limitations and penalties to which he or she is subject pursuant to the Order and shall assume and bear all costs related to compliance. Upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding and/or any such other proceeding against Respondent as may be authorized pursuant to the law.

2. This Order shall be effective upon service on the Respondent or Respondent's Attorney by personal service or by certified or registered mail.

DATED: Feb 1, 2001

, New York

  
TERESEA S. BRIGGS, M.D., Ph.D., Chairperson

RICHARD KASULKE, M.D.  
MR. IRVING CAPLAN

APPENDIX I

STATE OF NEW YORK DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

AUGUSTO G. MOREANO, M.D.

NOTICE OF  
REFERRAL  
PROCEEDING

TO: AUGUSTO G. MOREANO, M.D.  
10 Julia Circle  
Dix Hills, NY 11746

AUGUSTO G. MOREANO, M.D.  
40-38 75<sup>th</sup> Street  
Elmhurst, NY 11373

**PLEASE TAKE NOTICE THAT:**

An adjudicatory proceeding will be held pursuant to the provisions of N.Y. Pub. Health Law § 230(10)(p) and N.Y. State Admin. Proc. Act Sections 301-307 and 401. The proceeding will be conducted before a committee on professional conduct of the State Board for Professional Medical Conduct (Committee) on the 16<sup>th</sup> day of November, 2000, at 10:00 in the forenoon of that day at the Hedley Park Place, 5<sup>th</sup> Floor, 433 River Street, Troy, New York 12180.

At the proceeding, evidence will be received concerning the allegations set forth in the Statement of Charges, which is attached. A stenographic record of the proceeding will be made and the witnesses at the proceeding will be sworn and examined.

You may appear in person at the proceeding and may be represented by counsel. You may produce evidence or sworn testimony on your behalf. Such evidence or sworn testimony shall be strictly limited to evidence and testimony relating to the nature and severity of the penalty to be imposed upon the licensee. Where the charges are based on the conviction of state law crimes in other jurisdictions, evidence may be offered which would show that the conviction would not be a crime in New York State. The Committee also may limit the number of witnesses whose testimony will be received, as well as the length of time any witness will be permitted to testify.

EXHIBIT

*Sta Ev 1/10/01*

If you intend to present sworn testimony, the number of witnesses and an estimate of the time necessary for their direct examination must be submitted to the New York State Department of Health, Division of Legal Affairs, Bureau of Adjudication, Hedley Park Place, 5<sup>th</sup> Floor, 433 River Street, Troy, New York, ATTENTION: HON. TYRONE BUTLER, DIRECTOR, BUREAU OF ADJUDICATION, (henceforth "Bureau of Adjudication") as well as the Department of Health attorney indicated below, on or before November 6, 2000.

Pursuant to the provisions of N.Y. Public Health Law §230(10)(p), you shall file a written answer to each of the Charges and Allegations in the Statement of Charges no later than ten days prior to the hearing. Any Charge of Allegation not so answered shall be deemed admitted. You may wish to seek the advice of counsel prior to filing such an answer. The answer shall be filed with the Bureau of Adjudication, at the address indicated above, and a copy shall be forwarded to the attorney for the Department of Health whose name appears below. You may file a brief and affidavits with the Committee. Six copies of all such papers you wish to submit must be filed with the Bureau of Adjudication at the address indicated above on or before November 6, 2000, and a copy of all papers must be served on the same date on the Department of Health attorney indicated below. Pursuant to Section 301(5) of the State Administrative Procedure Act, the Department, upon reasonable notice, will provide at no charge a qualified interpreter of the deaf to interpret the proceedings to, and the testimony of, any deaf person.

The proceeding may be held whether or not you appear. Please note that requests for adjournments must be made in writing to the Bureau of Adjudication, at the address indicated above, with a copy of the request to the attorney for the Department of Health, whose name appears below, at least five days prior to the scheduled date of the proceeding. Adjournment requests are not routinely granted. Claims of court engagement will require detailed affidavits of actual engagement. Claims of illness will require medical documentation. Failure to obtain an attorney within a reasonable period of time prior to the proceeding will not be grounds for an adjournment.

The Committee will make a written report of its findings, conclusions as to guilt, and a determination. Such determination may be reviewed by the Administrative Review Board for Professional Medical Conduct.

SINCE THESE PROCEEDINGS MAY RESULT IN A DETERMINATION  
THAT SUSPENDS OR REVOKES YOUR LICENSE TO PRACTICE  
MEDICINE IN NEW YORK STATE AND/OR IMPOSES A FINE FOR  
EACH OFFENSE CHARGED, YOU ARE URGED TO OBTAIN AN  
ATTORNEY TO REPRESENT YOU IN THIS MATTER.

DATED: Albany, New York

*October 17, 2000*

*Peter D. Van Buren*

PETER D. VAN BUREN  
Deputy Counsel  
Bureau of Professional Medical Conduct

Inquiries should be addressed to:

Robert Bogan  
Associate Counsel  
Office of Professional Medical Conduct  
433 River Street - Suite 303  
Troy, New York 12180  
(518) 402-0820

STATE OF NEW YORK : DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER  
OF  
AUGUSTO G. MOREANO, M.D.

STATEMENT  
OF  
CHARGES

AUGUSTO G. MOREANO, M.D., the Respondent, was authorized to practice medicine in New York state on April 13, 1966, by the issuance of a license number 096350 by the New York State Education Department.

**FACTUAL ALLEGATIONS**

A. On or about July 26, 1999, in the Supreme Court of the State of New York, County of Queens, Respondent was found guilty of offering a false instrument for filing in the second degree in violation of New York Penal Law, §175.30, a class A misdemeanor, and was sentenced to a one year conditional discharge, <sup>TO PAY</sup> \$50,000 restitution, <sup>CIVIL PENALTIES</sup> AND COSTS.

AMENDED  
1/17/2001

**SPECIFICATION**

Respondent is charged with professional misconduct by reason of his having violated New York Education Law §6530(9)(a)(i) by reason of his being convicted of an act constituting a crime under New York state law, in that Petitioner charges:

1. The facts in paragraph A.

DATED: *October 17, 2000*  
Albany, New York



PETER D. VAN BUREN  
Deputy Counsel  
Bureau of Professional  
Medical Conduct