



Board for Professional Medical Conduct

Corning Tower • Empire State Plaza • Albany, NY 12237 • (518) 474-8357

C. Maynard Guest, M.D.
Executive Secretary

April 2, 1992

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Robert Willis, M.D.
4 East 89th Street
New York, NY 10128

RE: License No. 103378
Effective Date 04/07/92

Dear Dr. Willis:

Enclosed please find Order #BPMC 92-26 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect upon receipt of this letter or seven (7) days after the date of this letter, whichever is earlier.

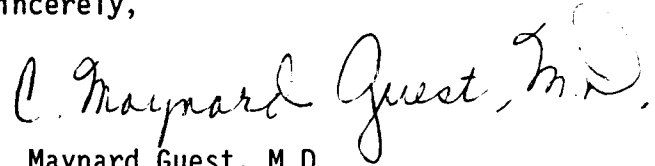
If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order.

Board for Professional Medical Conduct
New York State Department of Health
Empire State Plaza
Tower Building-Room 438
Albany, New York 12237-0614

If the penalty imposed by the Order is a fine, please write the check payable to the New York State Department of Health. Noting the BPMC Order number on your remittance will assist in proper crediting. Payments should be directed to the following address:

Bureau of Accounts Management
New York State Department of Health
Empire State Plaza
Tower Building-Room 1245
Albany, New York 12237

Sincerely,



C. Maynard Guest, M.D.
Executive Secretary
Board for Professional Medical Conduct

Enclosure

cc: Ronald Gene Wohl, Esq.
Finkelstein Bruckman Wohl Most & Rothman
575 Lexington Avenue
New York, NY 10002-6102

Roy Nemerson, Esq.

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

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IN THE MATTER :
OF : ORDER
ROBERT HOWARD WILLIS, M.D. : #BPMC 92-26

-----X

Upon the application of Robert Howard Willis, M.D.
(Respondent) for Consent Order, which application is made a part
hereof, it is

ORDERED, that the application and the provisions
thereof are hereby adopted and so ORDERED, and it is further

ORDERED, that this order shall take effect as of the
date of the personal service of this order upon Respondent, upon
receipt by Respondent of this order via certified mail, or seven
days after mailing of this order by certified mail, whichever is
earliest.

SO ORDERED,

DATED: 30 March 1992

Charles J. Vacanti

Charles J. Vacanti, M.D.
Chairperson
State Board for Professional
Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER : APPLICATION
OF : FOR
ROBERT HOWARD WILLIS, M.D. : CONSENT
: ORDER

STATE OF NEW YORK)
COUNTY OF NEW YORK) ss.:

ROBERT HOWARD WILLIS, M.D., being duly sworn, deposes and says:

That on or about April 23, 1969 I was licensed to practice as a physician in the State of New York, having been issued License No. 103378 by the New York State Education Department.

I am currently registered with the New York State Education Department to practice as a physician in the State of New York for the period January 1, 1991 through December 31, 1992.

I understand that the New York State Board of Professional Medical Conduct has charged me with one Specification of professional misconduct.

A copy of the Statement of Charges is annexed hereto, made a part hereof, and marked as Exhibit "A".

I admit guilt to the Specification in full satisfaction of these charges.

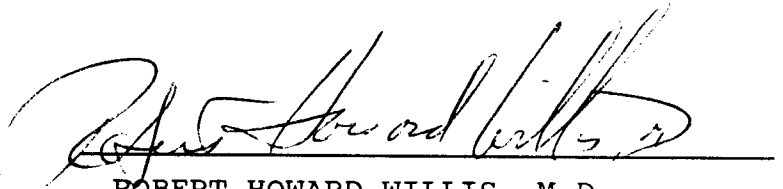
I hereby agree to the penalty that my license to practice medicine in the State of New York be suspended for a period of one year, with said suspension to be stayed in its entirety; that I be placed on probation for a period of one year subject to the terms enumerated in Exhibit "B"; that I be fined in the amount of \$10,000; and that I shall be required to complete 500 hours of public service, in a manner and place approved by the Director of the Office of Professional Medical Conduct.

I hereby make this Application to the State Board for Professional Medical Conduct (the Board) and request that it be granted.

I understand that, in the event that this Application is not granted by the Board, nothing contained herein shall be binding upon me or construed to be an admission of any act of misconduct alleged or charged against me, such Application shall not be used against me in any way and shall be kept in strict confidence during the pendency of the professional misconduct disciplinary proceeding; and such denial by the Board shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board pursuant to the provisions of the Public Health Law.

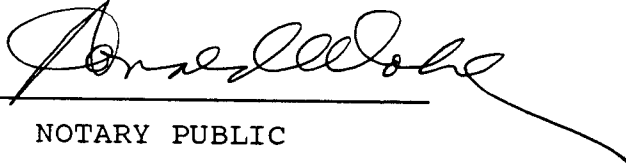
I agree that, in the event the Board grants my Application, as set forth herein, an order of the Chairperson of the Board shall be issued in accordance with same.

I am making this Application of my own free will and accord and not under duress, compulsion or restraint of any kind or manner.



ROBERT HOWARD WILLIS, M.D.
RESPONDENT

Sworn to before me this
12TH day of MARCH, 1992



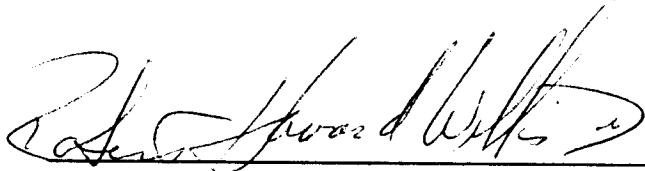
NOTARY PUBLIC

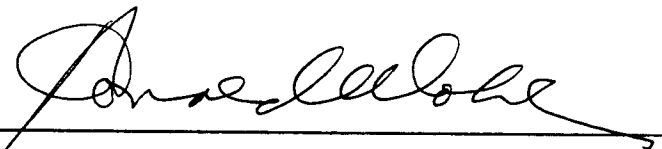
RONALD WOHL
Notary Public, State of New York
No. 30-9718920
Qualified in Nassau County
Commission Expires April 30, 19 92

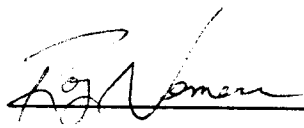
STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER : APPLICATION
OF : FOR
ROBERT HOWARD WILLIS, M.D. : CONSENT
: ORDER

The undersigned agree to the attached application of the Respondent and to the proposed penalty based on the terms and conditions thereof.

Date: 3/12/92 
ROBERT HOWARD WILLIS, M.D.
RESPONDENT

Date: 3/12/92 
RONALD GENE WOHL, ESQ.
ATTORNEY FOR RESPONDENT

Date: 3/20/92 
ROY NEMERSON, DEPUTY COUNSEL
BUREAU OF PROFESSIONAL
MEDICAL CONDUCT

Date: March 31, 1992

Kathleen M. Tanner

KATHLEEN M. TANNER
DIRECTOR, OFFICE OF PROFESSIONAL
MEDICAL CONDUCT

Date: 30 March, 1992

Charles J. Vacanti

CHARLES J. VACANTI, M.D.
CHAIRPERSON, STATE BOARD FOR
PROFESSIONAL MEDICAL CONDUCT

EXHIBIT "A"

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

-----X

IN THE MATTER : STATEMENT
OF : OF
ROBERT HOWARD WILLIS, M.D. : CHARGES

-----X

ROBERT HOWARD WILLIS, M.D., the Respondent, was authorized to practice medicine in New York State on April 23, 1969 by the issuance of license number 103378 by the New York State Education Department. The Respondent is currently registered with the New York State Education Department to practice medicine for the period January 1, 1991 through December 31, 1992.

SPECIFICATION

Respondent is charged with professional misconduct within the meaning of N.Y. Educ. Law Section 6530(9)(a)(ii) (McKinney Supp. 1992) in that he has been convicted of committing an act constituting a crime under federal law, specifically:

On or about January 7, 1992, Respondent was convicted, upon a guilty plea, in the United States District Court for the Southern District of New York of two counts of unlawfully, willfully and knowingly, by the

use of the mails, instrumentalities of interstate commerce, and the facilities of national securities exchanges, employing devices, schemes, and artifices to defraud and engaging in acts, practices, and courses of business that operated as a fraud and deceit on Jane Doe and the Shareholders of BankAmerica in connection with the purchase of certain common stock of BankAmerica.

DATED: New York, New York

Chris Stern Hyman
Counsel
Bureau of Professional Medical
Conduct

EXHIBIT "B"

TERMS OF PROBATION

1. Respondent, during the period of probation, shall conduct in all ways in a manner befitting professional status, and shall conform fully to the moral and professional standards of conduct imposed by law and by profession;
2. That Respondent shall submit written notification to the New York State Department of Health (NYSDOH), addressed to the Director, Office of Professional Medical Conduct, New York State Health Department, Corning Tower Building, 4th Floor, Empire State Plaza Albany, New York 12237 of any employment and practice, of Respondent's residence and telephone number, of any change in Respondent's employment, practice, residence, or telephone number within or without the State of New York;
3. Respondent shall submit written proof from the Division of Professional Licensing Services (DPLS), New York State Education Department (NYSED), that Respondent has paid all registration fees due and owing to the NYSED and Respondent shall cooperate with and submit whatever papers are requested by DPLS in regard to said registration fees, said proof from DPLS to be submitted by Respondent to the New York State Department of Health, addressed to the Director, Office of Professional Medical Conduct, as aforesaid, no later than the first three months of the period of probation;
4. Respondent shall submit written proof to the NYSDOH, addressed to the Director, Office of Professional Medical Conduct, as aforesaid, that 1) Respondent is currently registered with the NYSED, unless Respondent submits written proof that Respondent has advised DPLS, NYSED, that Respondent is not engaging in the practice of Respondent's profession in the State of New York and does not desire to register, and that 2) Respondent has paid any fines which may have previously been imposed upon Respondent by the Board or by the Board of Regents; said proof of the above to be submitted no later than the first two months of the period of probation;
5. Respondent shall comply with all terms, conditions, restrictions, and penalties to which he is subject pursuant to the order of the Board, shall do so prior to the expiration of the period of probation, and shall submit written proof of such compliance, in a manner acceptable to the Director of the Office of Professional Medical Conduct.

6. So long as there is full compliance with every term herein set forth, Respondent may continue to practice his or her aforementioned profession in accordance with the terms of probation; provided, however, that upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of the Office of Professional Medical Conduct and/or the Board may initiate a violation of probation proceeding and/or such other proceeding against Respondent as may be authorized pursuant to the Public Health Law.