COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BEFORE THE STATE BOARD OF MEDICINE

Commonwealth of Pennsylvania Bureau of Professional and Occupational Affairs

File No.:

19-49-002406

VS.

Carlos Alberto Placci, M.D., Respondent

CONSENT AGREEMENT AND ORDER

PARTIES

The Commonwealth of Pennsylvania, Department of State, Bureau of Professional and Occupational Affairs ("Commonwealth") and Carlos Alberto Placci, M.D. ("Respondent") stipulate as follows in settlement of the above-captioned case.

APPLICABLE LAW

1. This matter is before the State Board of Medicine ("Board") pursuant to the Medical Practice Act of 1985, act of December 20, 1985, P.L. 457, No. 112, ("Act"), as amended, 63 P.S. §§ 422.1-422.53; the Medical Care Availability and Reduction of Error ("Mcare") Act, Act of March 20, 2002, P.L. 154, No. 13, as amended, 40 P.S. §§ 1303.101-1303.910; and/or the Act of July 2, 1993, P.L. 345, No. 48 ("ACT 48"), as amended, 63 P.S. §§ 2201-2207.

LICENSURE STATUS

2. At all relevant and material times, Respondent held the following license to practice as a medical physician and surgeon in the Commonwealth of Pennsylvania: license no. MD030933L, which was originally issued on January 8, 1970, and which will expire on December 31, 2020.

STIPULATED FACTS

3. The Respondent admits that the following allegations are true:

Prothonotary Filed On: Jun 24 2020 08:58 AM Department of State

- a. Absent additional Board action, Respondent's license may be continually reactivated, renewed, or reinstated upon the filing of the appropriate documentation and payment of the necessary fees.
- b. Respondent's last known office address, as on file with the Board is:1013 Parkview Blvd., Pittsburgh, PA 15217.
- c. On or about June 5, 2019, the Department of State received information from the VA Pittsburgh Healthcare System that Respondent failed to meet the generally accepted standards of clinical practice, raising concerns of patient safety. The documentation provided outlines the following:
 - (1) On May 7, 2018, a pharmacist reported over ten instances of medications not started in a timely manner or that were inappropriately started by the Respondent. These instances included chart notes that medications were to be started; however, no orders were placed leading to missed dosages.
 - (2) On July 3, 2018, a pharmacist reported a concern over the discontinuation of olanzapine (an antipsychotic) for unknown reasons. It was believed that this medication was stopped in error rather than omeprazole that the patient refused to take. The Respondent's chart notes were vague.
 - (3) A review of the care provided by the Respondent identified several instances where the Respondent failed to review medication records, including allergies, failed to

completed medication risk assessments, failed to document mental health statuses, failed to review laboratory results and failed to obtain a complete substance abuse history.

- (4) Respondent was educated by his supervisor on numerous occasions; however, no improvement was observed.
- (5) As result of Respondent's conduct, the VA Pittsburgh Healthcare System suspended Respondent's privileges.

AUTHORITY OF THE BOARD

4. The Board is authorized to suspend or revoke, or otherwise restrict Respondent's license under sections 41 and 42 of the Act, 63 P.S. §§ 422.41 & 422.42; or impose a civil penalty under Section 908 of the Mcare Act, 40 P.S. §§ 1303.908, and/or Section 5(b)(4) of ACT 48, 63 P.S. §2205(b)(4) and/or impose the costs of investigation under Section 5(b)(5) of ACT 48, 63 P.S. § 2205(b)(5), because Respondent violated the Act at section 41(5), 63 P.S. § 422.41(5), in that Respondent is unable to practice the profession with reasonable skill and safety to patients by reasons of illness, addiction to hallucinogenic, narcotic or other drugs or alcohol, or mental incompetence.

SUMMARY OF DISCIPLINE

- 5. The following encapsulates the discipline as set forth in the Proposed Order:
 - Respondent will be agreeing the Permanent Voluntary Surrender of his license to practice as a medical physician and surgeon in the Commonwealth.

PROPOSED ORDER

- 6. The parties, intending to be legally bound, consent to the issuance of the following Order in settlement of this matter:
 - a. The Board finds that it is authorized to suspend or revoke, or otherwise restrict Respondent's license under sections 41 and 42 of the Act, 63 P.S. §§ 422.41 & 422.42; or impose a civil penalty under Section 908 of the Mcare Act, 40 P.S. §§ 1303.908, and/or Section 5(b)(4) of ACT 48, 63 P.S. §2205(b)(4) and/or impose the costs of investigation under Section 5(b)(5) of ACT 48, 63 P.S. § 2205(b)(5), because Respondent violated the Act at section 41(5), 63 P.S. § 422.41(5), in that Respondent is unable to practice the profession with reasonable skill and safety to patients by reasons of illness, addiction to hallucinogenic, narcotic or other drugs or alcohol, or mental incompetence.

PERMANENT VOLUNTARY SURRENDER

b. In consideration for not imposing other disciplinary sanctions (which could include the revocation of Respondent's license to practice as a medical physician and surgeon and the imposition of civil penalties and/or the costs of investigation), the Parties propose, and the Board hereby accepts, the **PERMANENT VOLUNTARY SURRENDER** of Respondent's license to practice as a medical physician and surgeon in the Commonwealth of Pennsylvania, along with any other licenses, registrations, certificates, approvals, authorizations, or permits (hereinafter referred to collectively as "authorizations to practice the profession") issued by the Board to Respondent at the time this Consent Agreement is adopted by the Board. Respondent acknowledges that with the permanent voluntary surrender of his authorizations to practice the profession, Respondent is

surrendering any and all property rights he may have in those authorizations to practice the profession and will no longer be eligible to renew those authorizations to practice the profession. As further stated, consideration for the Commonwealth not seeking other disciplinary sanctions against Respondent, Respondent agrees to:

- (1) cease practicing as a medical physician and surgeon in the Commonwealth on and after the effective date of this Consent Agreement and shall not indicate any ability to practice the profession in the Commonwealth in any manner whatsoever in the future,
- (2) unless otherwise specified in this agreement, Respondent agrees to not apply, at any time in the future, for the reactivation, reinstatement, reissuance, or the issuance of any authorization to practice issued by the Board and further directs that the Board should not consider, and may deny without hearing, any application for an authorization to practice filed with the Board,
- (3) not work as an unlicensed assistant to any person holding an authorization from the Board to practice the profession,
- (4) not possess a controlling interest in any organization requiring an authorization from the Board to practice the profession,

(5) not possess a controlling interest in any organization whose employees require an authorization from the Board to practice the profession when conducting the business of the organization,

c. For purposes of this paragraph, the term 'controlling interest' shall include being an owner, officer, manager, director, partner, member, or associate, as well as owning any quantity of outstanding corporate stock sufficient to control or direct the actions of the firm.

d. Respondent shall, within ten (10) days of adoption of this Consent Agreement and Order, surrender Respondent's wall certificate, biennial renewal certificate and wallet card (or notarized affidavit of their loss or destruction) by mailing them to:

Jonelle H. Eshbach Prosecuting Attorney Department of State PO Box 69521 Harrisburg PA 17106-9521

or by delivering them in person at:

Bureau of Professional and Occupational Affairs One Penn Center 2601 North 3rd St. Harrisburg, Pennsylvania

e. This Order constitutes disciplinary action by the Board and shall be reported to other licensing authorities and any applicable national licensing databank as a disciplinary action by the Board.

f. This case shall be deemed settled and discontinued upon the Board issuing an Order adopting this Consent Agreement.

ADMISSIBILITY OF CONSENT AGREEMENT IN FUTURE PROCEEDINGS

7. Respondent agrees that this Consent Agreement and Order shall be admitted into evidence, without objection, in any proceeding before the Department of State.

ACKNOWLEDGMENT OF NOTICE AND WAIVER OF HEARING

8. Respondent waives the filing of an Order to Show Cause in this matter. Respondent knowingly and voluntarily waives the right to an administrative hearing in this matter and the following rights related to that hearing: to be represented by counsel; to present witnesses and testimony in defense or in mitigation of any sanction that may be imposed for a violation; to cross-examine witnesses and to challenge evidence presented by the Commonwealth; to present legal arguments by means of a brief; and to take an appeal from any adverse final decision.

ACKNOWLEDGMENT OF RIGHT TO ATTORNEY

9. Should the Board vote not to adopt the Order proposed in this Consent Agreement, the presentation and consideration of this Consent Agreement shall not prejudice the Board or any of its members from further participation in the adjudication of this matter. Respondent expressly waives the right to raise any claims or issues, including any and all constitutional claims or issues, which may arise or have arisen during the review, presentation and deliberation of this Consent Agreement. These claims or issues include, but are not limited to, bias, the commingling of prosecutorial and adjudicative functions by the Board or its counsel, and the Board, in its discretion, recommending a different sanction based upon the facts set forth in the Consent Agreement. If a hearing is subsequently held, neither this Consent Agreement nor the proposed terms of settlement may be admitted into evidence and any facts, averments, and allegations

contained in the Consent Agreement must be proven at a hearing unless otherwise separately stipulated.

LIMITS ON MODIFICATION OF ORDER

10. Respondent agrees not to seek modification of the Order adopting and implementing this Consent Agreement without first obtaining the express written permission from the prosecution division. Any modification is at the sole discretion of the Board.

AGREEMENT NOT BINDING UNTIL APPROVED

11. This Consent Agreement is between the Commonwealth and Respondent. The Office of General Counsel has approved this Consent Agreement as to form and legality. The disciplinary provisions of this Consent Agreement do not take effect unless and until the Board issues an order adopting this Consent Agreement.

ENTIRE AGREEMENT

12. This Consent Agreement contains the entire agreement between the parties. There are no other terms, obligations, covenants, representations, statements, or conditions, oral or otherwise, of any kind whatsoever concerning this agreement.

AGREEMENT DOES NOT PREVENT FUTURE DISCIPLINE

13. Nothing in this Order shall preclude the prosecution division of the Department of State from filing charges, or the Board from imposing disciplinary or corrective measures, for violations or facts not contained in this Consent Agreement;

VERIFICATION OF FACTS AND STATEMENTS

14. Respondent verifies that the facts and statements set forth in this Consent Agreement are true and correct to the best of Respondent's knowledge, information and belief. Respondent understands that statements in this Consent Agreement are made subject to the criminal penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

VERIFICATION OF FACTS AND STATEMENTS

14. Respondent verifies that the facts and statements set forth in this Consent Agreement are true and correct to the best of Respondent's knowledge, information and belief.

Respondent

understands that statements in this Consent Agreement are made subject to the criminal penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

/s/Jonelle H. Eshbach

Jonelle H. Eshbach Prosecuting Attorney

DATED: May 20, 2020

Carlos Alberto Placci, M.D.

Respondent

DATED: May 8, 2020

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ORDER

AND NOW, this 24 day of Jone 2020, the STATE BOARD OF MEDICINE ("Board") approves and adopts the foregoing Consent Agreement and incorporates the terms of paragraph 6, which shall constitute the Board's Order and is now issued in resolution of this matter.

This Order shall take effect immediately.

BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

K. Kalonji Johnson Acting Commissione

For the Commonwealth:

For the Respondent:

Date:

BY ORDER: STATE BOARD OF MEDICINE

Keith E. Loiselle

Chair

Jonelle H. Eshbach, Esquire 2601 North Third Street P.O. Box 69521 Harrisburg, PA 17106-9521

Kenneth J. Hardin II, Esquire The Frick Building 437 Grant Street, Suite 620 Pittsburgh, PA 15219

June 24, 2020