BEFORE THE VIRGINIA BOARD OF MEDICINE

IN RE:

JOHN ANDREW GERGEN, M.D.

License Number: 0101-237304

Case Number: 185102

ORDER OF SUMMARY SUSPENSION

Pursuant to Virginia Code § 54.1-2408.1(A), a quorum of the Board of Medicine ("Board") met by

telephone conference call on April 5, 2019, after a good faith effort to convene a regular meeting of the

Board had failed. The purpose of the meeting was to receive and act upon information indicating that John

Andrew Gergen, M.D., may have violated certain laws and regulations relating to the practice of medicine

and surgery in the Commonwealth of Virginia, as more fully set forth in the "Notice of Formal

Administrative Hearing and Statement of Allegations," which is attached hereto and incorporated by

reference herein.

WHEREUPON, pursuant to its authority under Virginia Code § 54.1-2408.1(A), the Board

concludes that a substantial danger to public health or safety warrants this action and ORDERS that the

license of John Andrew Gergen, M.D., to practice medicine and surgery in the Commonwealth of Virginia

is SUSPENDED. It is further ORDERED that a hearing be convened within a reasonable time of the date

of entry of this Order to receive and act upon evidence in this matter.

Pursuant to Virginia Code § 54.1-2400.2, the signed original of this Order shall remain in the

custody of the Department of Health Professions as a public record and shall be made available for public

inspection or copying on request.

FOR THE BOARD

lliam/L Harp, M.D.

Executive Director
Virginia Board of Medicine
ENTERED AND MAILED ON: 45 2019

1700-1

BEFORE THE VIRGINIA BOARD OF MEDICINE

IN RE:

JOHN ANDREW GERGEN, M.D.

License Number:

0101-237304

Issue Date:

October 22, 2004

Suspension Date:

4/5/2019

Case Number:

185102

NOTICE OF FORMAL ADMINISTRATIVE HEARING AND STATEMENT OF ALLEGATIONS

You are hereby notified that a Formal Administrative Hearing has been scheduled before the Board of Medicine ("Board") regarding your license to practice medicine and surgery in the Commonwealth of Virginia.

TYPE OF PROCEEDING:	This is a formal administrative hearing before a panel of the Board.
DATE AND TIME:	May 17, 2019 10:00 A.M.
PLACE:	Virginia Department of Health Professions Perimeter Center - 9960 Mayland Drive 2nd Floor - Virginia Conference Center Henrico, Virginia 23233

LEGAL AUTHORITY AND JURISDICTION:

- 1. This formal hearing is being held pursuant to Virginia Code §§ 2.2-4020, 2.2-4024(F), and 54.1-2400(11). This proceeding will be convened as a public meeting pursuant to Virginia Code § 2.2-3700.
- 2. At the conclusion of the proceeding, the Board is authorized to take any of the following actions:
 - Exonerate you;
 - Reprimand you;
 - · Require you to pay a monetary penalty;
 - Place you on probation and/or under terms and conditions;
 - Continue your license on suspension;
 - Suspend your license;
 - Revoke your license.

ABSENCE OF RESPONDENT AND RESPONDENT'S COUNSEL:

If you and/or your counsel fail to appear at the formal hearing, the Board may proceed to hear this matter in your absence and may take any of the actions outlined above.

RESPONDENT'S LEGAL RIGHTS:

You have the right to the information on which the Board will rely in making its decision, to be represented by counsel at this proceeding, to subpoena witnesses and/or documents, and to present relevant evidence on your behalf.

COMMONWEALTH'S EXHIBITS:

Enclosed is a copy of the Commonwealth's exhibits that will be distributed to the members of the Board for their review unless an objection is received within the timeframe specified in Section III below and sustained by the Panel Chair or acting Board officer. These documents are enclosed only with the notice sent by UPS. Please bring these documents with you to the formal hearing.

FILING DEADLINES:

If you want to submit evidence or use expert witnesses, below are the deadlines for the submission of such evidence or your expert witness list. The deadlines for filing objections, if any, to the exhibits and expert witness list also follow.

I. Exhibit Submission	DEADLINE DATE
Respondent's Submission of Documents for Evidence (including expert witness reports) (Submit 15 copies to Jennie F. Wood, Discipline Case Manager)	April 19, 2019
Commonwealth's Deadline to Respond to Respondent's Submission (Addressed to Jennifer L. Deschenes, Deputy Executive Director)	April 25, 2019
Respondent's Deadline to Respond to Commonwealth's Objection (Addressed to Jennifer L. Deschenes, Deputy Executive Director)	April 30, 2019

II. Expert Witness Identification	DEADLINE DATE
Respondent's Expert Witnesses (Submit, in writing, to Jennifer L. Deschenes, Deputy Executive Director)	April 19, 2019
Commonwealth's Deadline to Object to Expert Witness (Submit, in writing, to Jennifer L. Deschenes, Deputy Executive Director)	April 25, 2019

The Board has engaged the services of Paul M. Spector, D.O., whose curriculum vitae and written report are included in the exhibits enclosed with this letter. Dr. Spector will be present at the formal hearing to serve as an expert on behalf of the Commonwealth unless an objection is received by April 19, 2019 and sustained by the Panel Chair or acting Board officer.

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NOTE: If supplementation of expert witness lists is necessary, parties should transmit such supplement to the Board at least five (5) days in advance of the scheduled administrative proceeding. Objections to expert witnesses submitted on a supplemental list may be made prior to or at the hearing for consideration by the Panel Chair.

III. Objections to Commonwealth's Exhibits	DEADLINE DATE
Respondent's Objections to Commonwealth's Exhibits (Submit, in writing, to Jennifer L. Deschenes, Deputy Executive Director)	
Commonwealth's Response to Respondent's Objections (Submit, in writing, to Jennifer L. Deschenes, Deputy Executive Director)	April 25, 2019

NOTE: If no objections have been received by April 19, 2019, the exhibits will be distributed to the Board members for their review.

IV. Motions/Continuance Requests	DEADLINE DATE
Respondent's Motions (Submit, in writing, to Jennifer L. Deschenes, Deputy Executive Director)	April 19, 2019
Commonwealth's Response to Motions (Submit, in writing, to Jennifer L. Deschenes, Deputy Executive Director)	April 25, 2019

STATEMENT OF ALLEGATIONS

The Board alleges that:

- 1. At all times relevant hereto, John Andrew Gergen, M.D., was licensed to practice medicine and surgery in the Commonwealth of Virginia.
- 2. Dr. Gergen violated Virginia Code §§ 54.1-2915(A)(3), (13), (16), and (17) and 54.1-3408(A) in his care and treatment of Patient I, a 42-year-old female, to whom he continually prescribed Adderall (dextroamphetamine-amphetamine, C-II), Ambien (zolpidem, C-IV), Klonopin (clonazepam, C-IV), and Xanax (alprazolam, C-IV) in various combinations and increasing dosages after knowing or having reason to know of multiple occasions when she was admitted for inpatient treatment due to overdosing on these prescribed medications and without reevaluating her for risk of substance abuse or improper use of her medications. Specifically:
- a. On or about October 18, 2016, Patient I, who had a history of adjustment disorder, sedative and hypnotic dependence, stimulant use disorder, and borderline personality disorder, was admitted to a psychiatric unit pursuant to a temporary detention order after overdosing on Xanax, Fioricet (C-VI), and alcohol. This was Patient I's sixth psychiatric admission since October 2014. The treating provider, in consultation with and agreement by Dr. Gergen, decided to detox her from benzodiazepines and stop further treatment with amphetamines. Despite this treatment plan, Dr. Gergen continued to prescribe Adderall and benzodiazepines to Patient I following her discharge on October 21, 2016.
- b. On three separate occasions from March 2017 through July 2017, Patient I was admitted to a psychiatric unit, twice pursuant to temporary detention orders, for overdosing on her medications, exhibiting suicidal ideations, and/or engaging in self-harming behavior. Despite this knowledge, Dr. Gergen continued to prescribe Adderall and benzodiazepines to Patient I during the period between and after these admissions.

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- On or about August 15, 2017, Patient I was admitted to a psychiatric unit after C. testing positive for amphetamines, barbituates, benzodiazepines, and alcohol. Her boyfriend reported that she drank wine and took four Seroquel (C-VI) tablets, proceeded to hit her head on the wall and slap her face, and then fell on the floor, vomited, and started to have trouble breathing. During a risk assessment, her treating provider noted that she had an "extremely high chronically elevated risk of self-harm due to...misuse and overdose of prescription benzodiazepines, narcotics, [and] hypnotics in conjunction with alcohol abuse" and that she was "unsafe on her previous medication regimen as evident by multiple medical and psychiatric admissions after overdosing." The provider determined that her medication regimen, which had proven to be of high risk in context of her alcohol abuse, should be decreased. Accordingly, the provider prescribed Klonopin 1mg to be taken for the following three nights until an upcoming appointment with Dr. Gergen. The provider also recommended an "aggressive tapering regimen with planned 25% reduction weekly until [Klonopin] is no longer needed." The provider discontinued Adderall for Patient I because it contributed to her sleep disturbance. Despite this treatment decision, following her discharge on August 18, 2017, Dr. Gergen continued Patient I on an increased dosage of Klonopin rather than tapering her off of the medication as recommended. He also continued to prescribe her Adderall.
- d. On or about December 19, 2017, Patient I was admitted to the hospital for polysubstance ingestion, specifically ethanol, Adderall, zolpidem, and Fioricet. Upon admission, she reported that she was having trouble sleeping for the previous two days, so she drank two glasses of wine and took 1.5 tablets of Ambien, along with Fioricet she took earlier in the day. Her boyfriend reported finding an empty two-liter bottle of wine next to her and that she was having trouble breathing. She also reported that since her discharge in August 2017, Dr. Gergen increased her Klonopin dosage from 1mg QHS to 6mg QHS, restarted her on Adderall, and increased her Ambien dosage from 5mg QHS to 10mg

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QHS. Based on her history of Ambien abuse and current presenting complaints, her treating provider recommended discontinuing Adderall and Ambien, continuing Seroquel and Lyrica, starting melatonin for sleep, and tapering Klonopin from 2mg to cessation over a period of five weeks. Despite this treatment plan, Dr. Gergen continued Patient I on Adderall and increased her dosage after her discharge on December 20, 2017. Further, Dr. Gergen failed to prescribe Klonopin to Patient I at a tapered rate and continued to prescribe her Ambien 10mg daily.

- e. During a post-discharge management visit at the hospital on December 28, 2017, Patient I reported that she was continuing to take Adderall and Ambien as well as Klonopin at full dosage. She also reported that she was continuing to consume alcohol. Again, her treating provider recommended discontinuing Adderall and Ambien, continuing Seroquel and Lyrica, and tapering Klonopin from 2mg to cessation over a period of five weeks. The provider noted that at the end of the visit Dr. Gergen was informed of the recommended treatment and agreed to follow those recommendations. Despite this treatment plan, Dr. Gergen ignored the recommendations and continued to prescribe Adderall, Ambien, and Klonopin to Patient I at the same dosage amounts as he had previously prescribed to her.
- 3. Dr. Gergen violated Virginia Code §§ 54.1-2915(A)(3), (13), (16), and (17) and 54.1-3408(A) in his care and treatment of Patient C, a 31-year-old male, to whom he continually prescribed alprazolam (C-IV) and methylphenidate (C-II), often with early renewals, from October 2016 through October 2018, despite knowing Patient C's history and diagnosis of, as well as concurrent treatment for, substance abuse and addiction. Specifically:
- a. Although he documented in his notes that Patient C had a thirteen-year history of polysubstance abuse and an eight-year history of opiate addiction, Dr. Gergen documented, and PMP reports confirm, that he initiated a regimen of alprazolam and methylphenidate, addictive medications with substance abuse potential, without obtaining an adequate or specific medical and drug history.

Further, although Patient C reported to Dr. Gergen that his psychiatric drug history included Zoloft, Celexa, Prozac, and Ultram, Dr. Gergen failed to request any of Patient C's prior treatment records regarding these medications, or assess him for conditions for which treatment with alprazolam and methylphenidate would be appropriate. Dr. Gergen also failed to assess Patient C's risk of continued substance abuse and diversion or monitor his use of the medications through urine drug screens or pill counts.

- b. Dr. Gergen failed to appropriately coordinate Patient C's care with concurrent substance abuse treatment providers. At the start of Patient C's treatment, Dr. Gergen documented Patient C's participation in a methadone (C-II) maintenance program, as well as Patient C's later report that he could no longer participate in the program due to financial problems and thus had no access to Suboxone (buprenorphine and naloxone, C-III). However, during his treatment with Dr. Gergen, PMP reports indicate that Patient C received two prescriptions for Suboxone from another provider in May 2018 after not having received any prescriptions for that medication for an extended period of time. Dr. Gergen continued Patient C on his medication regimen without indicating that he appropriately coordinated care with the concurrent substance abuse treatment provider, that he was aware that Patient C's substance abuse treatment had been reinitiated, or that he was taking substance abuse issues into account when continuing to prescribe Patient C controlled substances with significant abuse potential.
- c. Despite knowledge of Patient C's history of substance abuse, PMP reports indicate that Dr. Gergen frequently prescribed for him early refills of alprazolam and methylphenidate. For example, between November 4, 2017, and December 8, 2017, Patient C obtained an eighty-seven day supply of alprazolam, and between June 11, 2018, and July 17, 2018, Patient C obtained an eighty-eight day supply of alprazolam. Also, between October 23, 2017, and November 6, 2017, Patient C obtained a

twenty-eight day supply of methylphenidate, and between January 5, 2018, and February 5, 2018, Patient C obtained a fifty-one day supply of methylphenidate.

d. PMP reports show that Dr. Gergen prescribed to Patient C methylphenidate at doses between 50-140mg daily, without documenting a treatment plan with his rationale for prescribing such widely varying dosages, as indicated in the chart below:

Date Filled	Quantity	Drug Name	Days	Dosage 90mg daily	
December 5, 2016	270	methylphenidate 10mg	30		
January 16, 2017	- January Doing		10	60mg daily	
anuary 31, 2017 270 methylphenidate 10mg		30	90mg daily		
February 27, 2017	bruary 27, 2017 270 methylphenidate 10mg		30	90mg daily	
		methylphenidate 10mg	30	90mg daily	
August 28, 2017	60 methylphenidate 20mg		12	100mg daily	
December 1, 2017	35	methylphenidate 20mg	5	140mg daily	
December 27, 2017	35	methylphenidate 20mg	7	100mg daily	
January 26, 2018	35	methylphenidate 20mg	5	140mg daily	
February 27, 2018	65	methylphenidate 10mg	8	81.25mg daily	
March 25, 2018	35	methylphenidate 20mg	7	100mg daily	
May 20, 2018	35	methylphenidate 20mg	5	140mg daily	
August 20, 2018	150	methylphenidate 10mg	30	50mg daily	

e. Dr. Gergen prescribed to Patient C two different types of stimulant medications concurrently without documenting his prescribing rationale. According to Dr. Gergen's March 19, 2018, treatment summary, Patient C requested a trial prescription of Adderall as a substitute for his regularly-prescribed methylphenidate. PMP records show that in March and April 2018, Dr. Gergen wrote prescriptions for Adderall for Patient C, as indicated in the chart below:

Date Written	Date Filled	Quantity	Drug Name	Davs	Dosage
March 19, 2018	March 19, 2018	6	Adderall 30mg	3	60mg daily
March 22, 2018	March 22, 2018	6	Adderall 30mg	3	60mg daily
March 25, 2018	March 30, 2018	6	Adderall 30mg	3	60mg daily
April 2, 2018	April 3, 2018	14	Adderall 30mg	7	60mg daily
April 9, 2018	April 9, 2018	14	Adderall 30mg	7	60mg daily

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However, PMP records also show that during this course of treatment with Adderall, Dr. Gergen continued to prescribe alprazolam at 6mg daily, and Patient C filled a 100mg-daily, seven-day prescription for methylphenidate written by Dr. Gergen on March 25, 2018.

- f. Dr. Gergen continued to prescribe controlled substances with significant abuse potential to Patient C despite receiving information that Patient C was diverting his prescriptions. Dr. Gergen documented that in March 2018, he confronted Patient C about diverting medication because Patient C's "name had come up in the problem of diversion." Patient C told Dr. Gergen that "it is but more theft and then possibly confusion of people using the scripts." Nevertheless, Dr. Gergen did not assess Patient C's risk of diversion but continued to prescribe him controlled substances with the abuse potential.
- g. On December 2, 2018, hospital records show that Patient C was admitted to an inpatient psychiatric unit with a diagnosis of acute psychosis with auditory hallucinations, paranoia, methylphenidate toxicity, and Hepatitis C. During his admission, Patient C admitted to swallowing two 10mg methylphenidate tablets and snorting two other 10mg methylphenidate tablets earlier that day. Patient C also stated during the admission that he sometimes injected his medication, had not been taking his alprazolam for three weeks, and admitted to giving away most of his alprazolam to his friends. The treatment team assessed the quantities of alprazolam, which per PMP records Dr. Gergen prescribed at 6mg daily from October 2016 through October 2018, and methylphenidate that Dr. Gergen prescribed to Patient C as "large," and concluded that Patient C's psychosis was substance-induced or brought on by benzodiazepine withdrawal.
- 4. Dr. Gergen violated Virginia Code §§ 54.1-2915(A)(3), (13), (16), and (17) and 54.1-3408(A) in his care and treatment of Patient B, a 36-year-old female, to whom PMP reports show he continually prescribed, from September 22, 2016, to August 30, 2018, controlled substances with abuse

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potential, often with early renewals and frequently in thirty-day supplies, despite knowing Patient B's history and diagnosis of active substance abuse and addiction. Specifically:

- a. According to Dr. Gergen's notes, Patient B presented to him in February 2016 with a history of substance abuse, including opiate dependence and "prescription drug abuse." She also had a history of multiple hospitalizations for suicide attempts and manic episodes. Further, Dr. Gergen noted that Patient B had recently been dismissed from the practice of her Suboxone-prescribing physician because he discovered that she was taking outside medications without his approval and that the Suboxone provider stated that Patient B would likely need hospitalization. Nonetheless, Dr. Gergen initiated a regimen of Ambien and clonazepam (C-IV), despite Patient B's risk for substance abuse and drug-seeking behavior, acknowledging that there were "obvious risks in providing medications which might be abused such as benzodiazepines...," and without consulting with her prior Suboxone provider.
- b. Dr. Gergen failed to adequately recognize or take into account Patient B's active substance abuse during the prescribing period, including abuse of the medications he was prescribing for her, when continuing to prescribe to Patient B controlled substances with abuse potential. For example, according to Dr. Gergen's notes, Patient B was hospitalized for overuse of medications and Suboxone withdrawal in or about March 2016. Prior to her hospitalization, Dr. Gergen documented prescribing clonazepam up to 6mg daily to Patient B. After her release from the hospital, Dr. Gergen reduced her clonazepam usage by only 1mg daily. At her next office visit on April 6, 2016, Patient B was noted to be visibly intoxicated with slurred speech and poor coordination, but denied medication misuse, explaining that she had a hangover from drinking moonshine the night prior. Dr. Gergen documented on that visit prescribing to Patient B alprazolam at 2.5mg daily to replace clonazepam. However, one month later, Dr. Gergen increased Patient B's alprazolam dosage up to 6mg daily, even though she admitted that she misused her benzodiazepine prescription. Dr. Gergen's treatment records also indicate that he continued

Patient B on a regimen of Adderall up to 50mg daily and a regimen of tramadol, a C-IV opioid, at 300mg daily for "pain and muscle spasms" without performing any physical examination of Patient B.

c. Dr. Gergen failed to adequately monitor Patient B's medication usage. For example, in a May 11, 2017 progress note, Dr. Gergen's documented plan for "risks of substance abuse" was to "continu[e] counseling and careful medication supervision." However, there is no documentation, either before or after this encounter, regarding specifically how he monitored Patient B's medication usage or that his supervision included a query of the PMP (except for September 21, 2018 and October 14, 2018), urine drug screens, or pill counts.

d. Dr. Gergen allowed Patient B to obtain early refills of medications with substance abuse potential, as indicated in the chart below:

Date Filled	Quantity	Drug Name	Days	Dosage
December 21, 2016	90	alprazolam 2mg	30	6mg/day
January 6, 2017			7	2mg/day
January 20, 2017	uary 20, 2017 90 alprazolam 2mg		30	6mg/day
February 11, 2017			30	6mg/day
anuary 21, 2017 21 Adderall 20mg		7	60mg/day	
January 27, 2017			30	60mg/day
February 8, 2017 36 Adderall 20mg		12	60mg/day	
February 20, 2017	bruary 20, 2017 90 Adderall 20mg		30	60mg/day
August 27, 2017			30	3200mg/day
August 29, 2017	120	gabapentin 800mg	30	3200mg/day
October 9, 2017	80	gabapentin 400mg	10	3200mg/day
October 9, 2017	60	gabapentin 800mg	15	3200mg/day
August 3, 2018	90	Adderall 30mg	30	90mg/day
August 24, 2018	ust 24, 2018 56 Adderall 15mg		14	60mg/day
September 7, 2018	tember 7, 2018 120 Adderall 15mg		30	60mg/day
September 7, 2018	56	Adderall 15mg	14	60mg/day

e. Dr. Gergen failed to adequately communicate with other providers and concurrently review their treatment of Patient B. For example, Patient B's PMP records show that she was prescribed Hydromet syrup on October 22, 2016 by another provider, and on June 8, 2017, Dr. Gergen documented that Patient B was seeing a pain specialist who was prescribing her tramadol. PMP records

show that Patient B filled tramadol prescriptions on December 13, 2016, March 2, 2017, and December 16, 2017, and prescriptions for hydrocodone-acetaminophen (C-II) on July 23, 2017, and July 26, 2017. Despite knowing or having reason to know this information, there is no documentation that Dr. Gergen ever communicated with any of these prescribers regarding coordination of care and Patient B's past and present substance abuse.

f. Dr. Gergen prescribed Patient B multiple benzodiazepines concurrently, as indicated in the chart below:

Date Filled	Emmany 2 to the transfer		Days	Dosage	
March 13, 2017	90	alprazolam 2mg	30	6mg/day	
April 6, 2017	90	diazepam 10mg	30	30mg/day	
May 9, 2017	90	diazepam 10mg	30	30mg/day	
May 11, 2017	90	alprazolam 2mg	30	6mg/day	
June 23, 2017	90	clonazepam 2mg	30	6mg/day	
July 6, 2017	90	diazepam 10mg	30	30mg/day	
October 9, 2017	30	triazolam 0.25mg	30	0.25mg/day	
October 9, 2017	30	alprazolam 2mg	10	6mg/day	
November 13, 2017	30	triazolam 0.25mg	30	0.25mg/day	
November 27, 2017	120	diazepam 10mg	30	40mg/day	
September 12, 2018	60	alprazolam 2mg	30	4mg/day	
September 24, 2018	60	clonazepam 2mg	30	4mg/day	

- 5. Dr. Gergen violated Virginia Code §§ 54.1-2915(A)(3), (13), (16), (17), and (18) and 54.1-3408(A) in his care and treatment of Patient K, a 47-year-old male, to whom he continuously prescribed combinations of controlled substances with abuse potential between April 2017 and July 2018, without coordinating care, concurrently reviewing treatment, assessing the risk of substance abuse, and reevaluating after multiple overdoses. Specifically:
- a. Dr. Gergen failed to coordinate care with other providers and concurrently review their treatment of Patient K. According to his primary care physician, Patient K has a history of chronic pain and substance abuse. According to PMP reports, Patient K was prescribed Lyrica (C-V), oxycodone-acetaminophen (C-II), and methadone (C-II) by other providers from approximately August 2016 through

January 2017. The physician reported that after an overdose in January 2017, she stopped Patient K's chronic narcotics and switched him to non-narcotic pain medication. Despite knowing about Patient K's pain management treatment, Dr. Gergen continuously prescribed tramadol at 300mg daily to Patient K from April 2017 through July 2018 without otherwise meeting any of the requirements of 18 VAC 85-21-60 through -120 of the Regulations Governing Prescribing of Opioids and Buprenorphine.

- b. Dr. Gergen continuously prescribed alprazolam at a dosage of 1.5-6mg daily and Ambien at 10mg daily to Patient K without conducting any substance abuse risk assessment, despite information available to him from the PMP that Patient K was simultaneously obtaining prescriptions for Lyrica and oxycodone-acetaminophen from other providers.
- c. Dr. Gergen failed to re-evaluate Patient K after multiple overdoses before renewing his prescriptions for controlled substances with abuse potential. According to Patient K's primary care physician, between approximately February 2018 and June 2018, Patient K was admitted to an intensive care unit on two occasions for drug overdoses. The physician reported that during the second admission, Patient K admitted to selling his prescribed medications on the street for methadone. Despite these ICU admissions, Dr. Gergen continued to prescribe alprazolam, Ambien, and tramadol to Patient K.
- 6. Dr. Gergen violated Virginia Code § 2915(A)(4) and (13) in that he is incompetent to practice and represents a danger to patients and the public. Specifically, throughout the relevant treatment periods for Patients A-L, Dr. Gergen failed to:
 - take appropriate medical histories;
 - conduct thorough physical examinations;
- c. perform a risk assessment for patients with substance abuse, attention deficit disorder, or attention deficit hyperactivity disorder;

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- c. evaluate, concurrently and repeatedly, these patients for risks associated with substance abuse, despite frequent early refills (some without face-to-face visits);
- d. mitigate the risk of substance abuse by monitoring medication use with drug screenings, pill counts, or follow up visits;
 - e. address reports of multiple lost/stolen prescriptions;
 - f. respond properly to multiple admissions for abuse of medications;
 - g. respond properly to significant and ongoing signs of abuse of medications;
 - h. failed to coordinate care with other treatment providers; and/or
 - i. adhere to treatment plans developed by hospital treatment providers
- 7. Dr. Gergen violated Virginia Code § 54.1-2915(A)(18) and 18 VAC 85-20-26(C) in that he failed to maintain accurate, legible, and/or complete records for Patients A-L.

See Confidential Attachment for the names of the patients referenced above.

William L. Harp, M.D.

virginia Board of Medicine

4/5/2019