



STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
Olympia, Washington 98504

RE: Alexis Hallock, MD  
Docket No.: 02-11-A-1026MD  
Document: Statement of Charges

Regarding your request for information about the above-named practitioner, certain information may have been withheld pursuant to Washington state laws. While those laws require that most records be disclosed on request, they also state that certain information should not be disclosed.

The following information has been withheld: NONE

If you have any questions or need additional information regarding the information that was withheld, please contact:

Adjudicative Clerk Office  
P.O. Box 47879  
Olympia, WA 98504-7879  
Phone: (360) 236-4677  
Fax: (360) 586-2171

You may appeal the decision to withhold any information by writing to the Deputy Secretary, Department of Health, P.O. Box 47890, Olympia, WA 98504-7890.

**STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
MEDICAL QUALITY ASSURANCE COMMISSION**

In the Matter of the License to Practice  
As a Physician and Surgeon of:

ALEXIS HALLOCK, MD  
License No. MD00036935

Respondent.

)  
) **Docket No. 02-11-A-1026MD**  
)

) **STATEMENT OF CHARGES**  
)  
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**FILED**

**FEB 11 2003**

Adjudicative Clerk Office

The Program Manager of the Medical Quality Assurance Commission (Commission), on designation by the Commission, makes the allegations below, which are supported by evidence contained in Program Case File No. 2002-04-0030MD.

**Section 1: ALLEGED FACTS**

1.1 Alexis Hallock, MD, Respondent, was issued a license to practice as a physician and surgeon by the state of Washington in December 1998.

1.2 On or about March 23, 2002 Respondent discharged a handgun in the direction of another person, lodging bullets in an automobile and creating a risk of death or serious injury. Respondent was found guilty after a jury trial on April 9, 2002 of Reckless Endangerment and Illegal Discharge of a Firearm. Respondent was sentenced to one year in jail; sentence suspended, fined \$5000, and placed on probation for twenty-four months.

1.3 On or about April 9, 2002 Respondent was found guilty after a jury trial of the crimes of Reckless Endangerment, RCW 9A.36.050, and Illegal Discharge of a Firearm, RCW 9A.12.230. These two criminal convictions constitute gross misdemeanors under Washington law.

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**ORIGINAL**

## **Section 2: ALLEGED VIOLATIONS**

2.1 The violations alleged in this section constitute grounds for disciplinary action, pursuant to RCW 18.130.180 and the imposition of sanctions under 18.130.160.

2.2 The facts alleged in paragraph 1.2 constitute unprofessional conduct in violation RCW 18.130.180(1) that provides in part:

- (1) The commission of any act involving moral turpitude, dishonesty, or corruption relating to the practice of the person's profession, whether the act constitutes a crime or not. If the act constitutes a crime, conviction in a criminal proceeding is not a condition precedent to disciplinary action. Upon such a conviction, however, the judgment and sentence is conclusive evidence at the ensuing disciplinary hearing of the guilt of the license holder or applicant of the crime described in the indictment or information, and of the person's violation of the statute on which it is based. For the purposes of this section, conviction includes all instances in which a plea of guilty or nolo contendere is the basis for the conviction and all proceedings in which the sentence has been deferred or suspended. Nothing in this section abrogates rights guaranteed under chapter 9.96A RCW.

2.3 The facts alleged in paragraph 1.3 constitute unprofessional conduct, in violation RCW 18.130.180(17), which provides in part:

- (17) Conviction of any gross misdemeanor or felony relating to the practice of the person's profession. For the purposes of this subsection, conviction includes all instances in which a plea of guilty or nolo contendere is the basis for conviction and all proceedings in which the sentence has been deferred or suspended. Nothing in this section abrogates rights guaranteed under chapter 9.96A RCW.

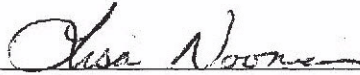


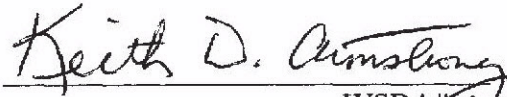
### Section 3: NOTICE TO RESPONDENT

The charges in this document affect the public health, safety and welfare and constitute a probability of death or bodily harm. The Program Manager of the Medical Quality Assurance Commission directs that a notice be issued and served on Respondent as provided by law, giving Respondent the opportunity to defend against these charges. If Respondent fails to defend against these charges, Respondent shall be subject to discipline, pursuant to RCW 18.130.180 and the imposition of sanctions under 18.130.160.

DATED this 17<sup>th</sup> day of February <sup>2003</sup>~~2002~~

STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
MEDICAL QUALITY ASSURANCE COMMISSION

  
\_\_\_\_\_  
Lisa Noonan  
Program Manager

  
\_\_\_\_\_  
WSBA# 28795  
Assistant Attorney General Prosecutor

FOR INTERNAL USE ONLY. INTERNAL TRACKING NUMBERS:

Program No. 2002-04-0030MD





STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
Olympia, Washington 98504

RE: Alexis Hallock, MD  
Docket No.: 02-11-A-1026MD  
Document: Final Order

Regarding your request for information about the above-named practitioner, certain information may have been withheld pursuant to Washington state laws. While those laws require that most records be disclosed on request, they also state that certain information should not be disclosed.

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**STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
MEDICAL QUALITY ASSURANCE COMMISSION**

In the Matter of the License to Practice  
As a Physician and Surgeon of:

ALEXIS HALLOCK, MD  
License No. MD00036935,

Respondent.

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)  
) **Docket No. 02-11-A-1026MD**  
)  
) STIPULATED FINDINGS OF FACT,  
) CONCLUSIONS OF LAW AND  
) AGREED ORDER  
)  
)

The Medical Quality Assurance Commission ('Commission'), by and through Michael J. Weisman, Department of Health Staff Attorney and Alexis Hallock, MD, Respondent, represented by her attorney Thomas H. Fain of Fain Sheldon Anderson & Vanderhoef, PLLC, stipulate and agree to the following:

**Section 1: PROCEDURAL STIPULATIONS**

- 1.1 The State of Washington issued Alexis Hallock, MD, Respondent, a license to practice as a Physician and Surgeon in December 1998.
- 1.2 On February 10, 2003, the Commission issued a Statement of Charges against Respondent.
- 1.3 The Statement of Charges alleges that Respondent violated RCW 18.130.180 (1), and -.180 (17).
- 1.4 Respondent understands that the State is prepared to proceed to a hearing on the allegations in the Statement of Charges.
- 1.5 Respondent understands that she has the right to defend herself against the allegations in the Statement of Charges by presenting evidence at a hearing.

1.6 Respondent understands that should the State prove the allegations in the Statement of Charges at a hearing, the Commission has the power and authority to impose sanctions, pursuant to RCW 18.130.160.

1.7 Respondent and the Commission agree to expedite the resolution of this matter by means of these Stipulated Findings of Fact, Conclusions of Law, and Agreed Order (Agreed Order).

1.8 Respondent waives the opportunity for a hearing on the Statement of Charges contingent upon signature and acceptance of this Agreed Order by the Commission.

1.9 This Agreed Order is not binding unless and until it is signed and accepted by the Commission.

1.10 Should this Agreed Order be signed and accepted it will be subject to the reporting requirements of RCW 18.130.110, Section 1128E of the Social Security Act, and any other applicable interstate/national reporting requirements.

1.11 Should this Agreed Order be rejected, Respondent waives any objection to participation at hearing of the Commission members who heard the Agreed Order presentation.

## **Section 2: STIPULATED FACTS**

The State and Respondent stipulate to the following facts:

2.1 On or about March 23, 2002, Respondent discharged a handgun after having consumed alcohol, lodging bullets in an automobile and creating a risk of death or serious injury. Respondent pled guilty on April 9, 2002 to Reckless Endangerment and Illegal Discharge of a Firearm. Respondent was sentenced to one year in jail; sentence suspended, fined \$5000, and placed on probation for twenty-four months.



now : 2.3 Respondent recognizes the inappropriate and unprofessional nature of her conduct, admits her error, and pledges to avoid repetition of the conduct in the future.

2.2 On or about April 9, 2002, Respondent pled guilty to the crimes of Reckless Endangerment, RCW 9A.36.050, and Illegal Discharge of a Firearm, RCW 9.41.230. These two criminal convictions constitute gross misdemeanors under Washington law.

### Section 3: CONCLUSIONS OF LAW

The State and Respondent agree to the entry of the following Conclusions of Law:

3.1 The Commission has jurisdiction over Respondent and over the subject matter of this proceeding.

3.2 The above facts constitute unprofessional conduct in violation of RCW 18.130.180(17).

3.3 The above violation is grounds for the imposition of sanctions under RCW 18.130.160.

### Section 4: AGREED ORDER

Based on the preceding Stipulated Facts and Conclusions of Law, Respondent agrees to entry of the following Order:

4.1 Respondent is REPRIMANDED for her conduct leading to conviction of the gross misdemeanors of Reckless Endangerment and Illegal Discharge of a Firearm. Respondent's conduct put individuals at significant risk of injury. Her impulsive action, including the handling of a loaded weapon after having consumed alcohol, demonstrated poor judgment in a situation in which disaster was narrowly averted. Finally Respondent is reprimanded because her conduct reflects negatively on the reputation of the profession by failing to demonstrate the careful judgment and responsibility that the public expects of a medical doctor.

4.2 Respondent is prohibited from possessing a firearm in her office, home, vehicle, or person at all times while she practices medicine in this state. This prohibition shall be in effect during any and all times that Respondent is resident in this state and holds a license to practice medicine issued by this state, regardless of whether she is actually seeing patients.

4.3 The alleged violation of RCW 18.130.180(1) in paragraph 2.2 of the Statement of Charges is dismissed by agreement of the parties.

4.4 Respondent currently resides outside the state, and does not currently practice in the state. Respondent agrees to notify the Commission in writing and to personally appear prior to resuming practice in this state. When Respondent leaves Washington State to reside or to practice outside the state, she agrees to notify the Commission in writing of the date of departure and return.

4.5 Annual compliance visits shall be an ongoing condition of this Agreed Order. When Respondent resides outside the state, the Commission will permit annual reports from Respondent's employer in lieu of a personal appearance. If Respondent is in private practice, the Commission's Medical Consultant or other designee shall make suitable arrangements for the annual reporting requirement.

4.6 Respondent agrees to pay a fine of \$ 2500.00 (Two Thousand Five Hundred Dollars) within thirty (30) days of the effective date of this Agreed Order. Failure to pay the fine when due, constitutes a violation of this order. The fine will be paid by certified check or money order payable to the State Treasurer and mailed to:

**Department of Health  
Medical Quality Assurance Division  
PO Box 1099  
Olympia, WA 98507-1099**



4.7 Respondent may not apply for modification of this Agreed Order sooner than five (5) years from the effective date of this Agreed Order. The Commission's oversight and monitoring of Respondent shall continue for five (5) years from the date of this Agreed Order and until Respondent files a written petition for termination of the Commission's oversight and personally appears before the Commission. Termination of the Commission's oversight shall be by written Order of the Commission.

4.8 Respondent shall obey all federal, state and local laws and all administrative rules governing the practice of the profession in Washington.

4.9 Respondent shall be responsible for all costs of complying with this Order.


4.10 If Respondent violates any provision of this Order, in any respect, the Commission may take further action against Respondent's license.

4.11 Respondent shall inform the Commission and the Adjudicative Clerk's Office, in writing, of changes in her residential address.

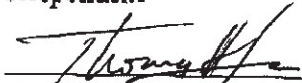
### **ACKNOWLEDGEMENT**

I, Alexis Hallock, MD, Respondent, certify that I have read this Stipulated Findings of Fact, Conclusions of Law and Agreed Order in its entirety; that my counsel of record, Thomas H. Fain, has fully explained the legal significance and consequence of it; that I fully understand and agree to all of it. If the Commission accepts the Stipulated Findings of Fact, Conclusions of Law and Agreed Order, I understand that I will receive a signed copy.



  
ALEXIS HALLOCK, MD  
Respondent

05/19/03  
Date

  
Thomas H. Fain WSBA # 7117  
Attorney for Respondent


5/20/03  
Date

**Section 5: ORDER**


The Commission accepts and enters these Stipulated Findings of Fact, Conclusions of Law and Agreed Order.

DATED this 10 day of July, 2003.

STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
MEDICAL QUALITY ASSURANCE COMMISSION

  
Panel Chair

Presented by:

  
Michael J. Weisman, WSBA # 17758  
Department of Health Staff Attorney

5/27/03  
Date

FOR INTERNAL USE ONLY. INTERNAL TRACKING NUMBERS:

Program No. 02-04-0030MD

STIPULATED FINDINGS OF FACT,  
CONCLUSIONS OF LAW AND  
AGREED ORDER-PAGE 6 OF 6  
Docket No. 02-11-A-1026MD



STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
Olympia, Washington 98504

RE: Alexis Hallock  
Master No.: M2003-59395  
Document: Agreed Order

Regarding your request for information about the above-named practitioner, certain information may have been withheld pursuant to Washington state laws. While those laws require that most records be disclosed on request, they also state that certain information should not be disclosed.

The following information has been withheld: **NONE**

If you have any questions or need additional information regarding the information that was withheld, please contact:

Customer Service Center  
P.O. Box 47865  
Olympia, WA 98504-7865  
Phone: (360) 236-4700  
Fax: (360) 586-2171

You may appeal the decision to withhold any information by writing to the Deputy Secretary, Department of Health, P.O. Box 47890, Olympia, WA 98504-7890.

STATE OF WASHINGTON  
DEPARTMENT OF HEALTH  
MEDICAL QUALITY ASSURANCE COMMISSION

**In the Matter of:**

**ALEXIS HALLOCK,**  
**Credential No. MD00036935,**  
**Respondent.**

Docket No. 02-11-A-1026MD  
Master Case No. M2003-59395

## ORDER ON REQUEST FOR TERMINATION OF AGREED ORDER

**APPEARANCES:**

**Respondent, Alexis Hallock, pro se**

Department of Health Medical Program, by  
Larry Berg, Staff Attorney

COMMISSION PANEL: Leslie M. Burger, M.D., Panel Chair  
Thomas Green, M.D.  
Mark L. Johnson, M.D.

**PRESIDING OFFICER:** Christopher Swanson, Health Law Judge

The Medical Quality Assurance Commission (Commission) convened a hearing on October 10, 2008, in Seattle, Washington. The Respondent's request for termination is granted.

## SUMMARY OF THE PROCEEDING

At the hearing, the Department did not present any witnesses. In support of her case, the Respondent testified on her own behalf.

The following Department exhibits were admitted:

**D-1: Disciplinary Packet:**

**A: Compliance Requirement Summary.**

### ORDER ON REQUEST FOR TERMINATION OF AGREED ORDER

Page 1 of 7

Docket No. 02-11-A-1026MD  
Master Case No. M2003-59395



- B: Statement of Charges.
- C: Stipulated Findings of Fact, Conclusions of Law and Agreed Order.
- D: In Compliance Letters.
- E: Correspondence from Panel A's Chair to Alexis Hallock, M.D.
- F: Correspondence from compliance staff.
- G: Notice to Appear & Declaration of Service.
- I: Amended Notice to Appear & Declaration of Service.

Based on the evidence presented, the Commission issues the following:

#### **I. FINDINGS OF FACT**

1.1 The Respondent is licensed as a physician in the state of Washington.

1.2 The Commission signed a Stipulated Findings of Fact, Conclusions of Law and Agreed Order on July 10, 2003 (the Prior Order). The Prior Order found the Respondent committed unprofessional conduct when, in 2002, she discharged a handgun after having consumed alcohol, lodging bullets in an automobile, and creating a risk of death or serious injury. On or about April 9, 2002, the Respondent pled guilty to the crimes of Reckless Endangerment, RCW 9A.36.050, and Illegal Discharge of a Firearm, RCW 9.41.230, both gross misdemeanors in Washington State.

1.3 Paragraph 4.2 of the Prior Order states: "Respondent is prohibited from possessing a firearm in her office, home, vehicle, or person at all times while she practices medicine in this state. The prohibition shall be in effect during any and all times that Respondent is resident in this state and holds a license to practice medicine

issued by this state, regardless of whether she is actually seeing patients."

1.4 Paragraph 4.4 of the Prior Order states: "Respondent currently resides outside of this state, and does not currently practice in this state. Respondent agrees to notify the Commission in writing and to personally appear prior to resuming practice in this state. When Respondent leaves Washington State to reside or to practice outside of the state, she agrees to notify the Commission in writing of the date of departure and return."

1.5 Paragraph 4.5 of the Prior Order states: "Annual compliance visits shall be an ongoing condition of this Agreed Order. When Respondent resides outside the state, the Commission will permit annual reports from the Respondent's employer in lieu of a personal appearance. If the Respondent is in private practice, the Commission's Medical Consultant or other designee shall make suitable arrangements for the annual reporting requirement."

1.6 Paragraph 4.6 of the Prior Order states in pertinent part: "Respondent agrees to pay a fine of \$2500.00 (Two Thousand Five Hundred Dollars) within thirty (30) days of the effective date of this Agreed Order. Failure to pay the fine when due, constitutes a violation of this order."

1.7 Paragraph 4.7 of the Prior Order states in pertinent part: "Respondent may not apply for modification of this Agreed Order sooner than five (5) years from the effective date of this Agreed Order. The Commission's oversight and monitoring of the Respondent shall continue for five (5) years from the date of this Agreed Order and until the Respondent files a written petition for termination of the Commission's oversight

and personally appears before the Commission. Termination of the Commission's oversight shall be by written Order of the Commission."

1.8 Paragraph 4.8 of the Prior Order states: "Respondent shall obey all federal, state and local laws and all administrative rules governing the practice of the profession in Washington."

1.9 Paragraph 4.11 of the Prior Order states: "Respondent shall inform the Commission and Adjudicative Clerk's Office, in writing, of changes in her residential address."

1.10 On August 17, 2008, the Respondent submitted an email to the Commission, requesting to terminate the discipline of the Prior Order (the Termination Request). On August 27, 2008, the Commission signed a Notice of Hearing, Petition for Release. On September 11, 2008, the Commission signed an Amended Notice of Hearing, Petition for Release, setting the hearing on the Termination Request for October 10, 2008.

1.11 On her own initiative, the Respondent sought treatment from a psychiatrist following the incident described in Finding of Fact 1.2. The Respondent stated that following evaluation and treatment she was told by the psychiatrist that she no longer needs treatment.

1.12 The Respondent stated that she has not consumed alcohol for several years.

1.13 The Respondent stated that she applied for and has successfully had the convictions expunged from her record.



1.14 Following issuance of the Prior Order, the Respondent obtained employment with public health agencies in Tacoma and West Seattle. The Respondent is currently working for Providence Hospital, where she meets with patients and their families to facilitate communication.

1.15 As evidenced by the Compliance Requirement Summary and compliance documents contained in the Disciplinary Packet, the Respondent has fully complied with the requirements of the Prior Order.

## **II. CONCLUSIONS OF LAW**

2.1 The Commission has jurisdiction over Respondent and over the subject matter herein. Chapter 18.130 RCW

2.2 RCW 18.130.160 permits the Commission to fashion appropriate remedies in disciplining the Respondent, including imposing restrictions or limitations on Respondent's practice. The Prior Order provided for restrictions and/or limitations on Respondent's practice, and the Commission must consider what is necessary to protect the public in imposing (or continuing) sanctions. RCW 18.130.160.

2.3 Based Findings of Fact 1.1 through 1.15, the Commission concludes the Respondent has demonstrated that she has complied with the Prior Order and is safe to practice without restriction.

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### III. ORDER

Based on the foregoing Summary of the Proceeding, Findings of Fact, and Conclusions of Law, the Commission makes the following order:

3.1 The Respondent's Termination Request is GRANTED. The Respondent's license to practice medicine in the state of Washington is fully reinstated.

Dated this 24 day of October, 2008.



LESLIE M. BURGER, M.D.  
Panel Chair

FOR INTERNAL USE ONLY: (Internal tracking numbers) Program No. 2002-04-0030
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### NOTICE TO PARTIES

This order is subject to the reporting requirements of RCW 18.130.110, Section 1128E of the Social Security Act, and any other applicable interstate or national reporting requirements. If discipline is taken, it must be reported to the Healthcare Integrity Protection Data Bank.

Either party may file a petition for reconsideration. RCW 34.05.461(3); 34.05.470. The petition must be filed within 10 days of service of this order with:

Adjudicative Service Unit  
P.O. Box 47879  
Olympia, WA 98504-7879

and a copy must be sent to:

Department of Health Medical Program  
P.O. Box 47866  
Olympia, WA 98504-7866

ORDER ON REQUEST FOR  
TERMINATION OF AGREED ORDER

Page 6 of 7

Docket No. 02-11-A-1026MD  
Master Case No. M2003-59385

The petition must state the specific grounds for reconsideration and what relief is requested. WAC 246-11-580. The petition is denied if the Commission does not respond in writing within 20 days of the filing of the petition.

A petition for judicial review must be filed and served within 30 days after service of this order. RCW 34.05.542. The procedures are identified in chapter 34.05 RCW, Part V, Judicial Review and Civil Enforcement. A petition for reconsideration is not required before seeking judicial review. If a petition for reconsideration is filed, the above 30-day period does not start until the petition is resolved. RCW 34.05.470(3).

The order is in effect while a petition for reconsideration or review is filed. "Filing" means actual receipt of the document by the Adjudicative Service Unit. RCW 34.05.010(6). This order is "served" the day it is deposited in the United States mail. RCW 34.05.010(19).

For more information, visit our website at <http://www.doh.wa.gov/hearings>.